## Exercise sheet 3 – Solutions COMP6741: Parameterized and Exact Computation

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**Exercise 1.** Suppose there exists a  $O^*(1.2^n)$  time algorithm, which, given a graph G on n vertices, computes the size of a largest independent set of G.

Design an algorithm, which, given a graph G, finds a largest independent set of G in time  $O^*(1.2^n)$ . Solution sketch.

- Compute k, the size of a largest independent set of G
- Find a vertex v belonging to an independent set of size k
  - We can do this by going through each vertex u of G, and checking whether  $G N_G[u]$  has an independent set of size k 1
- Recurse on  $(G N_G[v], k 1)$

**Exercise 2.** Let A be a branching algorithm, such that, on any input of size at most n its search tree has height at most n and for the number of leaves L(n), we have

$$L(n) = 3 \cdot L(n-2)$$

Upper bound the running time of A, assuming it spends only polynomial time at each node of the search tree.

**Solution.** We need to minimize  $L(n) = 2^{\alpha}$  subject to  $1 \ge 3 \cdot 2^{\alpha \cdot (-2)}$ .

This solves to  $2^{\alpha} = 3^{1/2} = \sqrt{3}$ . The running time of A is  $O^*(3^{n/2})$ .

**Exercise 3.** Same question, except that

$$L(n) \le \max \begin{cases} 2 \cdot L(n-3) \\ L(n-2) + L(n-4) \\ 2 \cdot L(n-2) \\ L(n-1) \end{cases}$$

**Solution.** By the Balance property,  $(3,3) \le (2,4)$ . By the Dominance property,  $(2,4) \le (2,2)$ . For every positive *alpha*,  $1 \ge 2^{-\alpha}$  is satisfied.

Thus, it suffices to minimize  $L(n) = 2^{\alpha}$  subject to  $1 \ge 2 \cdot 2^{\alpha \cdot (-2)}$ 

This solves to  $2^{\alpha} = 2^{1/2} = \sqrt{2}$ . The running time of A is  $O^*(2^{n/2})$ .

Exercise 4. Consider the MAX 2-CSP problem

## MAX 2-CSP

Input: A graph G = (V, E) and a set S of score functions containing

- a score function  $s_e: \{0,1\}^2 \to \mathbb{N}_0$  for each edge  $e \in E$ ,
- a score function  $s_v: \{0,1\} \to \mathbb{N}_0$  for each vertex  $v \in V$ , and
- a score "function"  $s_{\emptyset} : \{0,1\}^0 \to \mathbb{N}_0$  (which takes no arguments and is just a constant convenient for bookkeeping).

Output: The maximum score  $s(\phi)$  of an assignment  $\phi: V \to \{0, 1\}$ :

$$s(\phi) := s_{\emptyset} + \sum_{v \in V} s_v(\phi(v)) + \sum_{uv \in E} s_{uv}(\phi(u), \phi(v)).$$

- 1. Design simplification rules for vertices of degree  $\leq 2$ .
- 2. Using the simple analysis, design and analyze an  $O^*(2^{m/4})$  time algorithm, where m = |E|.
- 3. Use the measure  $\mu := w_e \cdot m + \left(\sum_{v \in V} w_{d_G(v)}\right)$  to improve the analysis to  $O^*(2^{m/5})$ .

Solution sketch. (a) Simplification rules

- S0 If there is a vertex y with d(y) = 0, then set  $s_{\emptyset} = s_{\emptyset} + \max_{C \in \{0,1\}} s_y(C)$  and delete y from G.
- S1 If there is a vertex y with d(y) = 1, then denote  $N(y) = \{x\}$  and replace the instance with (G', S') where G' = (V', E') = G y and S' is the restriction of S to V' and E' except that for all  $C \in \{0, 1\}$  we set

$$s'_{x}(C) = s_{x}(C) + \max_{D \in \{0,1\}} \{ s_{xy}(C,D) + s_{y}(D) \}.$$

S2 If there is a vertex y with d(y) = 2, then denote  $N(y) = \{x, z\}$  and replace the instance with (G', S') where  $G' = (V', E') = (V - y, (E \setminus \{xy, yz\}) \cup \{xz\})$  and S' is the restriction of S to V' and E', except that for  $C, D \in \{0, 1\}$  we set

$$s'_{xz}(C,D) = s_{xz}(C,D) + \max_{F \in \{0,1\}} \{s_{xy}(C,F) + s_{yz}(F,D) + s_y(F)\}$$

if there was already an edge xz, discarding the first term  $s_{xz}(C, D)$  if there was not.

- (b) Branching rules
- B Let y be a vertex of maximum degree. There is one subinstance  $(G', s^C)$  for each color  $C \in \{0, 1\}$ , where G' = (V', E') = G y and  $s^C$  is the restriction of s to V' and E', except that we set

$$(s^C)_{\emptyset} = s_{\emptyset} + s_y(C),$$

and, for every neighbor x of y and every  $D \in \{0, 1\}$ ,

$$(s^C)_x(D) = s_x(D) + s_{xy}(D,C).$$

Simple analysis

- Branching on a vertex of degree  $\geq 4$  removes  $\geq 4$  edges from both subinstances
- Branching on a vertex of degree 3 removes  $\geq 6$  edges from both subinstances since G is 3-regular.

The recurrence  $T(m) \leq 2 \cdot T(m-4)$  solves to  $2^{m/4}$ 

(c) Measure based analysis Using the measure

$$\mu := w_e \cdot m + \left(\sum_{v \in V} w_{d_G(v)}\right)$$

we constrain that

$w_d \leq 0$	for all $d \ge 0$ to ensure that $\mu \le w_e m$
$d \cdot w_e/2 + w_d \ge 0$	for all $d \ge 0$ to ensure that $\mu(G) \ge 0$
$-w_0 \leq 0$	constraint for S0
$-w_2 - w_e \le 0$	constraint for S2

$$1 - w_d - d \cdot w_e - d \cdot (w_j - w_{j-1}) \le 0$$

for all  $d, j \geq 3$ .

Using  $w_e = 0.2$ ,  $w_0 = 0$ ,  $w_1 = -0.05$ ,  $w_2 = -0.2$ ,  $w_3 = -0.05$ , and  $w_d = 0$  for  $d \ge 4$ , all constraints are satisfied and  $\mu(G) \le m/5$  for each graph G.