# 13. Review <br> COMP6741: Parameterized and Exact Computation 

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## 1 Review

### 1.1 Upper Bounds

## Dynamic Programming across Subsets

- very general technique
- uses solutions of subproblems
- typically stored in a table of exponential size


## Analysis of Branching Algorithm

Lemma 1 (Measure Analysis Lemma). Let

- A be a branching algorithm
- $c \geq 0$ be a constant, and
- $\mu(\cdot), \eta(\cdot)$ be two measures for the instances of $A$,
such that on input $I$, A calls itself recursively on instances $I_{1}, \ldots, I_{k}$, but, besides the recursive calls, uses time $O\left(|I|^{c}\right)$, such that

$$
\begin{align*}
(\forall i) \quad \eta\left(I_{i}\right) & \leq \eta(I)-1, \text { and }  \tag{1}\\
2^{\mu\left(I_{1}\right)}+\ldots+2^{\mu\left(I_{k}\right)} & \leq 2^{\mu(I)} . \tag{2}
\end{align*}
$$

Then $A$ solves any instance $I$ in time $O\left(\eta(I)^{c+1}\right) \cdot 2^{\mu(I)}$.

## Inclusion-Exclusion

Theorem 2 (IE-theorem - intersection version). Let $U=A_{0}$ be a finite set, and let $A_{1}, \ldots, A_{k} \subseteq U$.

$$
\left|\bigcap_{i \in\{1, \ldots, k\}} A_{i}\right|=\sum_{J \subseteq\{1, \ldots, k\}}(-1)^{|J|}\left|\bigcap_{i \in J} \overline{A_{i}}\right|,
$$

where $\overline{A_{i}}=U \backslash A_{i}$ and $\bigcap_{i \in \emptyset}=U$.

Theorem 3. The number of covers with $k$ sets and the number of ordered partitions with $k$ sets of a set system $(V, H)$ can be computed in polynomial space and

1. $O^{*}\left(2^{n}|H|\right)$ time if $H$ can be enumerated in $O^{*}(|H|)$ time and poly space,
2. $O^{*}\left(3^{n}\right)$ time if membership in $H$ can be decided in polynomial time, and
3. $\sum_{j=0}^{n}\binom{n}{j} T_{H}(j)$ time if there is a $T_{H}(j)$ time poly space algorithm to count for any $W \subseteq V$ with $|W|=j$ the number of sets $S \in H$ st. $S \cap W=\emptyset$.

## Main Complexity Classes

P: class of problems that can be solved in time $n^{O(1)}$
FPT: class of problems that can be solved in time $f(k) \cdot n^{O(1)}$
W[•]: parameterized intractability classes
XP: class of problems that can be solved in time $f(k) \cdot n^{g(k)}$

$$
\mathrm{P} \subseteq \mathrm{FPT} \subseteq \mathrm{~W}[1] \subseteq \mathrm{W}[2] \cdots \subseteq \mathrm{W}[P] \subseteq \mathrm{XP}
$$

Known: If FPT $=\mathrm{W}[1]$, then the Exponential Time Hypothesis fails, i.e. 3 -SAT can be solved in time $2^{o(n)}$.

## Kernelization: definition

Definition 4. A kernelization for a parameterized problem $\Pi$ is a polynomial time algorithm, which, for any instance $I$ of $\Pi$ with parameter $k$, produces an equivalent instance $I^{\prime}$ of $\Pi$ with parameter $k^{\prime}$ such that $\left|I^{\prime}\right| \leq f(k)$ and $k^{\prime} \leq f(k)$ for a computable function $f$. We refer to the function $f$ as the size of the kernel.

## Search trees

Recall: A search tree models the recursive calls of an algorithm. For a $b$-way branching where the parameter $k$ decreases by $a$ at each recursive call, the number of nodes is at most $b^{k / a} \cdot(k / a+1)$.


If $k / a$ and $b$ are upper bounded by a function of $k$, and the time spent at each node is FPT (typically, polynomial), then we get an FPT running time.

## Tree decompositions (by example)

- A graph $G$

- A tree decomposition of $G$


Conditions: covering and connectedness.

## Iterative Compression

For a minimization problem:

- Compression step: Given a solution of size $k+1$, compress it to a solution of size $k$ or prove that there is no solution of size $k$
- Iteration step: Incrementally build a solution to the given instance by deriving solutions for larger and larger subinstances
- Often, we can get a solution of size $k+1$ with only a polynomial overhead


### 1.2 Lower Bounds

## Reductions

We have seen several reductions, which, for an instance $(I, k)$ of a problem $\Pi$, produce an equivalent instance $I^{\prime}$ of a problem $\Pi^{\prime}$.

|  | time | parameter | special features | used for |
| :---: | :---: | :---: | :---: | :---: |
| kernelization | poly | $k^{\prime} \leq g(k)$ | $\begin{aligned} & \left\|I^{\prime}\right\| \leq g(k) \\ & \Pi=\Pi^{\prime} \end{aligned}$ | $g(k)$-kernels |
| parameterized reduction | FPT | $k^{\prime} \leq g(k)$ |  | W[]-hardness |
| OR-composition | poly | $k^{\prime} \leq \operatorname{poly}(k)$ | $\Pi=\mathrm{OR}\left(\Pi^{\prime}\right)$ | Kernel LBs |
| AND-composition | poly | $k^{\prime} \leq \operatorname{poly}(k)$ | $\Pi=\operatorname{AND}\left(\Pi^{\prime}\right)$ | Kernel LBs |
| polynomial parameter transformation | poly | $k^{\prime} \leq \operatorname{poly}(k)$ |  | Kernel LBs <br> (S)ETH LBs |
| SubExponential Reduction Family | subexp ( $k$ ) | $k^{\prime} \in O(k)$ | Turing reduction $\left\|I^{\prime}\right\|=\|I\|^{O(1)}$ | ETH LBs |

## 2 Research in Parameterized and Exact Computation

## News

- Recently solved open problems from [Downey Fellows, 2013]
- Biclique is W[1]-hard [Lin, SODA 2015]
- research focii
- enumeration algorithms and combinatorial bounds
- randomized algorithms
- backdoors
- treewidth: computation, bounds on the treewidth of grid or planar subgraphs / minors
- bidimensionality
- bottom-up: improving the quality of subroutines of heuristics
- (S)ETH widely used now, also for poly-time lower bounds
- quests for multivariate algorithms, lower bounds for Turing kernels
- FPT-approximation algorithms


## Resources

- FPT wiki: http://fpt.wikidot.com
- FPT newsletter: http://fpt.wikidot.com/fpt-news:the-parameterized-complexity-newsletter
- Blog: http://fptnews.org
- cstheory stackexchange: http://cstheory.stackexchange.com
- FPT school 2014: http://fptschool.mimuw.edu.pl


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- UNSW Algorithms group

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