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COMP4418, Week 9

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  - Program draws conclusions from its knowledge
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- Want to model such environments
  - Action theory that models the actions and fluents
  - What does this theory entail?

### Overview of the Lecture

- Three Problems
- The Situation Calculus
- Projection by regression
- Projection by progression
- Knowledge and sensing
- Concluding words

### **Three Problems**

Commonsense problems, seemingly easy, yet very hard to formalise:

- 1. The Qualification Problem
- 2. The Frame Problem
- 3. The Ramification Problem

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### The Qualification Problem

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  - ▶ Important qualification: *d* is on *b*'s route
  - Minor qualification: fuel, driver, keys, ...
- Impractical to list all minor preconditions
- Non-monotonic reasoning
  - Action is possible when all important qualifications hold, unless a minor qualification prevents it
  - Not specific to actions: a bird flies unless it's abnormal

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- Frame axioms specify what does not change
  - ▶ If you are on a bus, then you're still on the bus when it moves.
  - ▶ If you are not on a bus, then you're still not on the bus when it moves.

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  - ▶ If you are on a bus, then you're still on the bus when it moves.
  - If you are not on a bus, then you're still not on the bus when it moves.
- $\blacksquare$  A actions, F fluents  $\implies$  about 2 × A × F frame axioms
  - ightharpoonup 100 actions, 100 fluents  $\implies$  20 000 frame axioms
  - Impractical to write down
  - Need to generate them or represent them implicitly

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- Indirect effect: action effects must adhere to state constraints
- Indirect qualification: action allowed only if state constraint won't be violated
- Constraints can often be compiled to qualifications, effects
  - When a bus moves, its passengers move along
  - You can get on a bus only if you're not on a bus already

# Our Approach (due to Ray Reiter)

We'll focus on the **frame problem**.

### The Frame Problem

Represent what is left unchanged by an action.

- Simple solution to the frame problem due to Reiter:
  - F holds after  $a \iff a$  enables F or

F holds before a and a does not disable F

- Ignore the minor qualifications
- Compile state constraints to qualifications and effects

Want: a way to generate frame axioms from given effect axioms. Why?

- Modularity: could easily add new fluents / actions
- Accuracy: wouldn't forget frame axioms

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Terms of two different sorts:

 $\blacksquare$  Variables, standard names, functions of sort  $\begin{cases} \text{object} \\ \text{action} \end{cases}$ 

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 $\underline{\text{Ex.}}$ : If M50 is an object standard name and getOn is an action function, then getOn(M50) is an action standard name.

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#### Formulas:

$$P(t_1,\ldots,t_j) \quad t_1=t_2 \quad \neg \alpha \quad (\alpha \vee \beta) \quad \exists x \, \alpha$$

- $[t] \alpha$   $\alpha$  holds after action t
- $\blacksquare \ \square \ \alpha$  holds after any sequence of actions
- Predicate Poss(t) represents precondition of action t

You don't fall off the bus when the bus moves:

$$\square \left( \forall b_1 \forall b_2 \forall d \left( \mathsf{On}(b_1) \to [\mathsf{goTo}(b_2, d)] \mathsf{On}(b_1) \right) \right)$$

You cannot be on two busses at once:

$$\square \left( \forall b_1 \forall b_2 \left( b_1 \neq b_2 \rightarrow \neg \operatorname{On}(b_1) \vee \neg \operatorname{On}(b_2) \right) \right)$$

lacksquare F holds after  $a \iff a$  enables F or

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$$\square \left( \forall a \, \forall \vec{x} \, \big( [a] F(\vec{x}) \leftrightarrow \gamma^+ \vee (F(\vec{x}) \wedge \neg \gamma^-) \big) \right)$$

#### Convention:

 $\forall \vec{t}$  stands for  $\forall t_1 \dots \forall t_j$ ,  $F(\vec{t})$  for  $F(t_1, \dots, t_j)$ 

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- Operator □ has maximum scope
- Free variables are implicitly universally quantified
- We sometimes identify a (finite) set  $\Sigma$  of sentences  $\{\alpha_1, \ldots, \alpha_j\}$  with the conjunction  $\alpha_1 \wedge \ldots \wedge \alpha_j$

### Worlds and Situations

```
w[On(M50), \langle \rangle] = 0

w[pos, \langle \rangle] = Central

w[On(M50), getOn(M50)] = 1

w[pos, getOn(M50)] = Central

w[On(M50), getOn(M50) \cdot goTo(M50, Uni)] = 1

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### 

pos = Central

Tree view of w:

# Worlds and Situations (2)

### Definition: situation, world

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- primitive atomic formulas  $P(\vec{n})$  and situations to  $\{0, 1\}$ .

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- **primitive atomic formulas**  $P(\vec{n})$  and situations to  $\{0,1\}$ .

The **denotation** of a ground term w.r.t. w in z is defined as

- $\mathbf{w}(n,z) \stackrel{\text{def}}{=} n$  for every standard name n
- $lacksquare w(f(n_1,\ldots,n_j),z) \stackrel{\mathsf{def}}{=} w[f(n_1,\ldots,n_j),z]$

Recall: for simplicity we don't consider nested functions, so f can only be applied to variables or names

### The Semantics of the Situation Calculus

#### **Definition:** semantics

- $w,z \models P(t_1,\ldots,t_j) \iff w[P(w(t_1,z),\ldots,w(t_j,z),z]=1$
- $\blacksquare w, z \models t_1 = t_2 \iff w(t_1, z) = w(t_2, z)$

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- $\blacksquare w, z \models \neg \alpha \iff w, z \not\models \alpha$
- $\blacksquare w, z \models (\alpha \lor \beta) \iff w, z \models \alpha \text{ or } w, z \models \beta$
- $\blacksquare w, z \models \exists x \alpha \iff w, z \models \alpha_n^x$  for some std. name n of x's sort

### The Semantics of the Situation Calculus

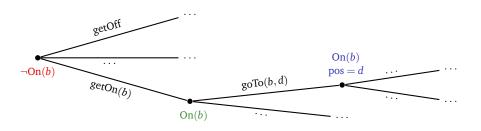
#### **Definition:** semantics

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- $\blacksquare w, z \models (\alpha \lor \beta) \iff w, z \models \alpha \text{ or } w, z \models \beta$
- $w, z \models \exists x \alpha \iff w, z \models \alpha_n^x$  for some std. name n of x's sort
- $w,z \models [n]\alpha \iff w,z \cdot n \models \alpha$
- $w, z \models \Box \alpha \iff w, z \cdot z' \models \alpha \text{ for all situations } z'$

 $\Sigma \models \alpha \iff \text{for all } w, \text{ if } w, \langle \rangle \models \beta \text{ for all } \beta \in \Sigma, \text{ then } w, \langle \rangle \models \alpha$ 

### Example

```
w \models \neg \text{On}(b)
w \models [\text{getOn}(b)] \text{On}(b)
w \models [\text{getOn}(b)] [\text{goTo}(b, d)] \text{On}(b)
w \models [\text{getOn}(b)] [\text{goTo}(b, d)] \text{pos} = d
w \models \exists a_1 \exists a_2 [a_1] [a_2] \text{pos} = d
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When are we on a bus?

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Effect axioms:

 $\square \left[ \mathsf{getOn}(b) \right] \mathsf{On}(b)$ 

 $\square \, [\mathsf{getOff}] \neg \mathsf{On}(b)$ 

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Assume **causal completeness**, i.e., assume:

$$\Box \neg \operatorname{On}(b) \wedge [a] \operatorname{On}(b) \rightarrow a = \operatorname{getOn}(b)$$

$$\square$$
  $\operatorname{On}(b) \wedge [a] \neg \operatorname{On}(b) \rightarrow a = \operatorname{getOff}$ 

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So we get:

$$\square [a] \mathsf{On}(b) \leftrightarrow a = \mathsf{getOn}(b) \lor (\mathsf{On}(b) \land \neg a = \mathsf{getOff})$$

Done! This is called a **successor-state axiom**.

Proof on paper

### Successor-State Axioms

#### Definition: successor-state axiom

A successor-state axiom has the form

$$\Box [a]F(\vec{x}) \leftrightarrow \gamma_F$$

or

$$\Box [a]f(\vec{x}) = y \leftrightarrow \gamma_f$$

where  $\gamma_F, \gamma_f$  do not mention  $\square$  or [t] operators.

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- lacksquare  $\gamma_F$  is  $\gamma_F^+ \lor (F(\vec{x}) \land \neg \gamma_F^-)$

Make sure that  $\models \gamma_f y_1 \wedge \gamma_f y_2 \rightarrow y_1 = y_2$ . Otherwise: inconsistency!

## **Examples**

■ You're on a bus ⇔ you got on it *or* you were on it and didn't get off it:

$$\square [a] \mathsf{On}(b) \leftrightarrow a = \mathsf{getOn}(b) \lor (\mathsf{On}(b) \land a \neq \mathsf{getOff})$$

■ Your position is  $p \iff$  you were on a bus that moved to p or you were at p already and not on a bus that moved:

$$\Box [a] \mathrm{pos} = p \leftrightarrow \exists b \left( a = \mathrm{goTo}(b, p) \land \mathrm{On}(b) \right) \lor \\ \left( \mathrm{pos} = p \land \neg \exists d \exists b \left( a = \mathrm{goTo}(b, d) \land \mathrm{On}(b) \right) \right)$$

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### Definition: basic action theory

 $\Sigma_0 \wedge \Sigma_{dyn}$  is a **basic action theory** over a set of fluents  ${\mathcal F}$  iff

- lacksquare  $\Sigma_{dyn}$  contains a successor-state axiom for every fluent in  ${\mathcal F}$
- lacksquare  $\Sigma_{ ext{dyn}}$  contains an axiom  $\square\operatorname{Poss}(a)\leftrightarrow\pi$
- $\Sigma_0$ ,  $\pi$  mention no Poss,  $\square$ , [t].

a = action, b = bus, d = destination, p = position

The initial situation:

 $pos = Central \land Route(M50, Uni)$ 

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You can move by being on a bus that moves:

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You can't get on (off) a bus when you're on one (none), and a bus can only go along its route:

$$\square \operatorname{Poss}(a) \leftrightarrow \left(\exists b \, a = \operatorname{getOn}(b) \to \forall b \, \neg \operatorname{On}(b)\right) \land \\ \left(a = \operatorname{getOff} \to \exists b \, \operatorname{On}(b)\right) \land \\ \forall b \, \forall d \, \left(a = \operatorname{goTo}(b, d) \to \operatorname{Route}(b, d)\right)$$

## The Projection Problem

The *central task* in reasoning about actions:

#### Definition: projection problem

Given a basic action theory:

Is a goal formula true in a future situation?

$$\Sigma_0 \wedge \Sigma_{\mathrm{dyn}} \models [t_1] \dots [t_j] \alpha$$

**Want:** a way to *eliminate* [t] *operators*.

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Two approaches:

- **Regression**: reduce to  $Σ_0 \models α^*$
- <u>Progression</u>: reduce to  $\Sigma_0^* \cup \Sigma_{dyn} \models \alpha$

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### Regression - The Idea

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Regression of  $\alpha$  is defined w.r.t. a basic action theory where  $\gamma_F, \gamma_f$  are the RHSs of the successor-state axioms and  $\pi$  is the RHS of the Poss axiom. We assume no variable in  $\alpha$  is quantified twice in the same scope (as in  $\exists x (\alpha \lor \exists x \beta)$ ):

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# The Regression Result

#### Theorem: regression

Let  $\Sigma_0 \wedge \Sigma_{dyn}$  be a basic action theory over  $\mathcal{F}.$ 

Let  $\alpha$  mention only fluents from  $\mathcal{F} \cup \{Poss\}$  and no  $\square$  .

$$\Sigma_0 \cup \Sigma_{dyn} \models \alpha \iff \Sigma_0 \models \mathcal{R}[\langle \rangle, \alpha]$$

Let  $\Sigma_0 \cup \Sigma_{dyn}$  be the bus scenario.

$$\Sigma_0 \cup \Sigma_{dyn} \models [\text{getOn}(\text{M50})][\text{goTo}(\text{M50},\text{Uni})] pos = \text{Uni ?}$$

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$$\Box [a] pos = p \leftrightarrow \exists b (a = goTo(b, p) \land On(b)) \lor (pos = p \land \neg \exists d \exists b (a = goTo(b, d) \land On(b)))$$

Let  $\Sigma_0 \cup \Sigma_{dvn}$  be the bus scenario.

$$\Sigma_0 \cup \Sigma_{dyn} \models [getOn(M50)][goTo(M50, Uni)]pos = Uni$$
?

$$\Leftrightarrow \; \Sigma_0 \models \mathcal{R}[\langle\rangle, [\text{getOn}(\text{M50})][\text{goTo}(\text{M50}, \text{Uni})] pos = \text{Uni}]$$

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$$\Leftrightarrow \Sigma_0 \models \mathcal{R}[\mathsf{getOn}(\mathsf{M50}), \gamma_{\mathsf{pos}} \overset{a}{\underset{\mathsf{goTo}(\mathsf{M50},\mathsf{Uni})}{\mathsf{Uni}}}]^p$$

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Let  $\Sigma_0 \cup \Sigma_{dvn}$  be the bus scenario.

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#### Overview of the Lecture

- Three Problems
- The Situation Calculus
- Projection by regression
- Projection by progression
- Knowledge and sensing
- Concluding words

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Formalisation of knowledge and sensing:

- Set of possible worlds *e*
- Doing *A* tells you the value of SF(*A*) in real world *w*
- Only consider those  $w' \in e$  which agree with wIf w says bus goes to UNSW, only consider w' where bus goes to UNSW

#### Definition: semantics of knowledge and sensing

 $w \simeq_z w' \iff w, w'$  agree on the sensing results:

- $\blacksquare w \simeq_{\langle\rangle} w'$
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- $e, w, z \models \mathbf{O}\alpha \iff \text{for all worlds } w', \\ w' \in e \text{ and } w \simeq_z w' \Leftrightarrow e, w', z \models \alpha$

 $\Sigma \models \alpha \iff$  for all e, w, if  $e, w, \langle \rangle \models \beta$  for all  $\beta \in \Sigma$ , then  $e, w, \langle \rangle \models \alpha$ 

# Basic Action Theories with Knowledge

#### An action theory must describe

- what is true the initial situation
- what is *known* about the initial situation
- lacktriangledown how fluents change  $\Longrightarrow$  successor-state axioms
- the action preconditions  $\implies$  axiom for Poss(a)
- how sensing works  $\implies$  axiom for SF(a)

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#### Definition: basic action theory

 $\Sigma_0 \wedge \Sigma_{dyn} \wedge \mathbf{O}(\Sigma_1 \wedge \Sigma_{dyn})$  is a basic action theory over  $\mathcal F$  iff

- $\blacksquare$   $\Sigma_{dyn}$  contains a successor-state axiom for every fluent in  ${\cal F}$
- $\Sigma_{\mathrm{dvn}}$  contains an axiom  $\square \operatorname{Poss}(a) \leftrightarrow \pi$
- $\Sigma_{dyn}$  contains an axiom  $\square$  SF $(a) \leftrightarrow \phi$
- $\Sigma_0$ ,  $\Sigma_1$ ,  $\pi$ ,  $\varphi$  mention no Poss, SF,  $\square$ , [t].

## Example: the Bus Scenario as Basic Action Theory

■ What is true, what is known initially:

```
\begin{array}{l} \Sigma_0 \ \stackrel{\text{\tiny def}}{=} \ pos = Central \land Route(M50, Uni) \\ \Sigma_1 \ \stackrel{\text{\tiny def}}{=} \ pos = Central \end{array}
```

## Example: the Bus Scenario as Basic Action Theory

■ What is true, what is known initially:

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- $\blacksquare \ \Box \ [a] \mathsf{On}(b) \leftrightarrow a = \mathsf{getOn}(b) \lor (\mathsf{On}(b) \land a \neq \mathsf{getOff})$
- $\Box[a] \mathrm{pos} = p \leftrightarrow \exists b \left( a = \mathrm{goTo}(b, p) \land \mathrm{On}(b) \right) \lor \\ \left( \mathrm{pos} = p \land \neg \exists d \exists b \left( a = \mathrm{goTo}(b, d) \land \mathrm{On}(b) \right) \right)$
- $\square \operatorname{Poss}(a) \leftrightarrow (\exists b \, a = \operatorname{getOn}(b) \rightarrow \forall b \, \neg \operatorname{On}(b)) \land (a = \operatorname{getOff} \rightarrow \exists b \, \operatorname{On}(b)) \land \forall b \, \forall d \, (a = \operatorname{goTo}(b, d) \rightarrow \operatorname{Route}(b, d))$

## Example: the Bus Scenario as Basic Action Theory

■ What is true, what is known initially:

$$\Sigma_0 \stackrel{\text{def}}{=} pos = Central \land Route(M50, Uni)$$
  
 $\Sigma_1 \stackrel{\text{def}}{=} pos = Central$ 

- $\square [a] On(b) \leftrightarrow a = getOn(b) \lor (On(b) \land a \neq getOff)$
- $\Box [a] pos = p \leftrightarrow \exists b \left( a = goTo(b, p) \land On(b) \right) \lor \\ \left( pos = p \land \neg \exists d \exists b \left( a = goTo(b, d) \land On(b) \right) \right)$
- $\square \operatorname{Poss}(a) \leftrightarrow (\exists b \, a = \operatorname{getOn}(b) \rightarrow \forall b \, \neg \operatorname{On}(b)) \land (a = \operatorname{getOff} \rightarrow \exists b \, \operatorname{On}(b)) \land \forall b \, \forall d \, (a = \operatorname{goTo}(b, d) \rightarrow \operatorname{Route}(b, d))$
- You can ask and learn whether the bus stops at a destination:

$$\Box \operatorname{SF}(a) \leftrightarrow \forall b \, \forall d \, \big( a = \operatorname{ask}(b, d) \to \operatorname{Route}(b, d) \big)$$

## Regression of Knowledge

#### Theorem: knowledge after action

$$\models [a]\mathbf{K}\alpha \leftrightarrow (\mathrm{SF}(a) \to \mathbf{K}(\mathrm{SF}(a) \to [a]\alpha)) \land (\neg \mathrm{SF}(a) \to \mathbf{K}(\neg \mathrm{SF}(a) \to [a]\alpha))$$

Looks like a successor-state axiom, but it's a theorem!

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Looks like a successor-state axiom, but it's a theorem!

### Definition: regression operator, subjective part

- $\blacksquare \mathcal{R}[\langle\rangle,\mathbf{K}\alpha] \stackrel{\text{def}}{=} \mathbf{K}\mathcal{R}[\langle\rangle,\alpha]$
- $\mathcal{R}[z \cdot r, \mathbf{K}\alpha] \stackrel{\text{def}}{=} \mathcal{R}[z, (SF(r) \to \mathbf{K}(SF(r) \to [r]\alpha))] \land \mathcal{R}[z, (\neg SF(r) \to \mathbf{K}(\neg SF(r) \to [r]\alpha))]$
- $\blacksquare \ \mathcal{R}[z, \mathrm{SF}(t)] \stackrel{\text{def}}{=} \mathcal{R}[z, \varphi_t^a]$

## The Regression Result with Knowledge

#### Theorem: regression

Let  $\Sigma_0 \wedge \Sigma_{dyn} \wedge \mathbf{O}(\Sigma_1 \wedge \Sigma_{dyn})$  be a basic action theory over  $\mathcal{F}$ . Let  $\alpha$  mention only fluents from  $\mathcal{F} \cup \{\text{Poss}, \text{SF}\}$  and no  $\mathbf{O}$  or  $\square$ .  $\Sigma_0 \wedge \Sigma_{dyn} \wedge \mathbf{O}(\Sigma_1 \wedge \Sigma_{dyn}) \models \alpha \iff \Sigma_0 \wedge \mathbf{O}\Sigma_1 \models \mathcal{R}[\langle \rangle, \alpha]$ 

# The Regression Result with Knowledge

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Reasoning about actions + knowledge

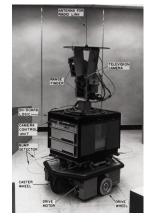
- + Regression (eliminates [t])
- + Representation theorem (eliminates K)
- = Non-modal reasoning!

#### Overview of the Lecture

- Three Problems
- The Situation Calculus
- Projection by regression
- Projection by progression
- Knowledge and sensing
- Concluding words

# Relationship to Planning

- Modelling dynamic systems is core AI
- In the beginning (1950ies, 1960ies): reasoning about action = planning
- McCarthy's situation calculus (1963, 1969): too expressive, impractical
- Shakey introduced STRIPS for planning
- Reasoning about action and planning diverged
- Past years: they converge again
  - Reasoning action gets more efficient
  - Planning gets more expressive
  - Both sides benefit



### **Relevant Questions?**

#### Reasoning about Knowledge

Why not classical logic?

#### Semantics of knowledge

- How is  $\mathbf{K}\alpha$  defined?
- How is  $\mathbf{O}\alpha$  defined?
- How does quantification work?

#### Knowing that vs knowing what/who

- What's the difference?
- Why is that semantic difference?

#### Representation theorem

- What are known instances?
- How does RES do it?

#### **Logical Omniscience**

- What does it mean?
  - Why is it a problem?

#### Limited belief I

- Why more worlds?
- What is true/false support?
- When good/bad complexity?
- Why?

#### Limited belief II

- What's unit propagation?
- What's subsumption?
- How is  $\mathbf{K}_k \alpha$  defined?
- Soundness vs completeness?

#### Implementation

- How does DPLL work?
- Idea behind watched lits?
- Idea behind CDCL?

#### **Reasoning about actions**

What are the problems?

#### Solution of frame problem

- What's a succ.-state axiom?
- What's a basic action theory?

#### Projection

- What's the projection task?
- What are the approaches?
- How does regression work?

#### Semantics of actions

- How are worlds defined?
- What does SF(t) mean?
- How is  $\mathbf{K}\alpha$  defined in sitcalc?

This list is not intended to be exhaustive.