## NOTES ON CRYPTARITHM SOLVER AND PERMUTATIONS

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## 1. HEAP'S ALGORITHM

Let a nonzero natural number $n$ be given. Heap's algorithm generates all permutations of a set $S$ with $n+1$ elements, in such a way that any permutation, the first one excepted, is obtained from the previous one by exchanging two of $S$ 's elements. Without loss of generality, take for $S$ the set $\{0,1, \ldots, n\}$. The recursive version of Heap's algorithm can be illustrated as follows.


So the algorithm generates all permutations of the form $L \star n$, then all permutations of the form $L \star m_{0}$, then all permutations of the form $L \star m_{1}, \ldots$, and eventually all permutations of the form $L \star m_{n-1}$. The scheme is correct if $\left\{m_{0}, m_{1}, \ldots, m_{n-1}\right\}=\{0, \ldots, n-1\}$ : at every stage, the algorithm has to select a new number from the first $n$ ones (and exchange it with the current $(n+1)$ st number). Heap's algorithm uses the following strategy:

- In case $n$ is odd, select the first number, then the second number, then the third number...
- In case $n$ is even, always select the first number.

The following diagram illustrates with $n=3$.


Note that starting with $(0,1,2,3)$, Heap's algorithm produces $(1,2,3,0)$ as last permutation. We will see that starting with $(0,1,2,3,4,5)$, it would produce $(3,4,1,2,5,0)$ as last permutation; starting with $(0,1,2,3,4,5,6,7)$, it would produce $(5,6,1,2,3,4,7,0)$ as last permutation. More generally, starting with $(0,1,2, \ldots, 2 n+1)$, Heap's algorithm will produce as last permutation $(2 n-1,2 n, 1,2, \ldots, 2 n-2,2 n+1,0)$.
Note that starting with $(0,1,2)$, Heap's algorithm produces $(2,1,0)$ as last permutation. We will see that starting with $(0,1,2,3,4)$, it would produce $(4,1,2,3,0)$ as last permutation; starting with $(0,1,2,3,4,5,6)$, it would produce $(6,1,2,3,4,5,0)$ as last permutation. More generally, starting with $(0,1,2, \ldots, 2 n)$, Heap's algorithm will produce as last permutation $(2 n, 1,2, \ldots, 2 n-1,0)$.

The previous formulas can be used to generalise Heap's algorithm and generate all sequences of $k$ numbers chosen from $\{0,1, \ldots, n\}$ : when the last number has been selected, and the penultimate number has been selected, ..., and the
$(n-k+1)$ st number has been selected, it suffices to stop the recursion and "simulate" all permutations of the remaining $n+1-k$ numbers by applying those formulas.
The following is a possible implementation of Heap's algorithm.

```
def permute(L):
    for L in heap_permute(L, len(L)):
        yield L
def heap_permute(L, length):
    if length=1:
        yield L
    else:
        length -= 1
        for i in range(length):
            for L in heap_permute(L, length):
                yield L
            if length % 2:
                L[i], L[length] = L[length], L[i]
            else:
                L[0], L[length] = L[length], L[0]
        for L in heap_permute(L, length):
            yield L
```


## 2. Proof of correctness

We prove that Heap's algorithm is correct and that moreover, the following holds for all $n \geq 1$ :
(1) starting with $(0,1,2, \ldots, 2 n)$, all permutations of $(0,1,2, \ldots, 2 n)$ are generated, ending in

$$
(2 n, 1,2, \ldots, 2 n-1,0)
$$

(2) starting with $(0,1,2, \ldots, 2 n+1)$, all permutations of $(0,1,2, \ldots, 2 n+1)$ are generated, ending in

$$
(2 n-1,2 n, 1,2, \ldots, 2 n-2,2 n+1,0)
$$

Proof is by induction. The base case $n=1$ is straightforward, so let $n \geq 1$ be given, and assume that (1) holds. We show that (2) holds too.

- Starting from $(0,1,2, \ldots, 2 n-1,2 n) \star 2 n+1$, Heap's algorithm generates all permutations of the form $L \star 2 n+1$, ending with $(2 n, 1,2, \ldots, 2 n-1,0) \star 2 n+1$.
- Permuting first and last elements, $(2 n, 1,2, \ldots, 2 n-1,0) \star 2 n+1$ is changed to $(2 n+1,1,2, \ldots, 2 n-1,0) \star 2 n$. Starting from $(2 n+1,1,2, \ldots, 2 n-1,0) \star 2 n$, the algorithm then generates all permutations of the form $L \star 2 n$, ending with $(0,1,2, \ldots, 2 n-1,2 n+1) \star 2 n$.
- Permuting second and last elements, $(0,1,2, \ldots, 2 n-1,2 n+1) \star 2 n$ is changed to $(0,2 n, 2, \ldots, 2 n-1,2 n+1) \star 1$. Starting from $(0,2 n, 2, \ldots, 2 n-1,2 n+1) \star 1$, the algorithm then generates all permutations of the form $L \star 1$, ending with $(2 n+1,2 n, 2, \ldots, 2 n-1,0) \star 1$.
- Permuting third and last elements, $(2 n+1,2 n, 2, \ldots, 2 n-1,0) \star 1$ is changed to $(2 n+1,2 n, 1,3 \ldots, 2 n-1,0) \star 2$. Starting from $(2 n+1,2 n, 1,3 \ldots, 2 n-1,0) \star 2$, the algorithm then generates all permutations of the form $L \star 2$, ending with $(0,2 n, 1,3 \ldots, 2 n-1,2 n+1) \star 2$.
- Permuting fourth and last elements, $(0,2 n, 1,3 \ldots, 2 n-1,2 n+1) \star 2$ is changed to $(2 n+1,2 n, 1,2 \ldots, 2 n-1,0) \star 3$. Starting from $(2 n+1,2 n, 1,2 \ldots, 2 n-1,0) \star 3$, the algorithm then generates all permutations of the form $L \star 3 \ldots$ till all permutations of the form $L \star 2 n-1$, ending in $(2 n+1,2 n, 1,2, \ldots, 2 n-2,0) \star 2 n-1$.
- Permuting last two elements, $(2 n+1,2 n, 1,2, \ldots, 2 n-2,0) \star 2 n-1$ is changed to $(2 n+1,2 n, 1,2, \ldots, 2 n-2,2 n-1) \star 0$. Starting from $(2 n+1,2 n, 1,2, \ldots, 2 n-2,2 n-1) \star 0$, the algorithm then generates all permutations of the form $L \star 0$, ending with $(2 n-1,2 n, 1,2, \ldots, 2 n-2,2 n+1) \star 0$.

So we have established that (2) holds.
Now assume that (2) holds. We show that (1) with $n$ replaced by $n+1$ holds too. The inner circle of the following diagram shows how elements move from one position to another one after all permutations of a list consisting of the $2 n+2$
numbers $0, \ldots, 2 n+1$ have been performed by Heap's algorithm. For instance, the first element ends up as the last element, moving from position (index) 0 and eventually ending up at position (index) $2 n+1$. After all permutations of $(0,1,2, \ldots, 2 n, 2 n+1)$ have been generated, ending in $(2 n-1,2 n, 1,2, \ldots, 2 n-2,2 n+1,0)$, so after all permutations of $(0,1,2, \ldots, 2 n, 2 n+1) \star 2 n+2$ have been generated, ending in $(2 n-1,2 n, 1,2, \ldots, 2 n-2,2 n+1,0) \star 2 n+2$, Heap's algorithm replaces the element now at position 0 , that is, $2 n-1$ (originally at position $2 n-1$ ), with $2 n+2$. This is depicted in the following diagram with $2 n+2$ on the outer circle facing $2 n-1$ on the inner circle. At the end of each of the following stages, the algorithm permutes the element currently at position 0 with the element currently at position $2 n+2$, that is, the element at position 0 at the end of previous stage. Hence as illustrated in the diagram, move to position $2 n+2$ : first $2 n-1$ replaced by $2 n+2$, then $2 n-2$ replaced by $2 n-1, \ldots$, then 2 replaced by 3 , then 1 replaced by 2 , then $2 n$ replaced by 1 , then $2 n+1$ replaced by $2 n$, and eventually 0 replaced by $2 n+1$. Finally, all permutations of the numbers then at position $0, \ldots, 2 n+1$ (those numbers being $1,2, \ldots, 2 n+2$ ) are generated, corresponding to a last, $(2 n+2)$ nd rotation in the following diagram, hence a rotation following a "full circle". This means that:

- ends up at position 0 the element which at the beginning of this last round of permutations, is a position $2 n-1$, that is, $2 n+2$,
- ends up at position 1 the element which at the beginning of this last round of permutations, is a position $2 n$, that is, 1 ,
resulting in the final list $(2 n+2,1,2,3, \ldots, 2 n-1,2 n, 2 n+1) \star 0$. So we have established that (1) with $n$ replaced by $n+1$ holds.



## 3. Notes on the implementation of the cryptarithm solver

The first version is a minor adaptation of code written by Raymond Hettinger as part of ActiveState Code Recipes. It uses the permutations function, imported from itertools (and also the findall function, imported from re). The second version does not import anything.
The first version filters out the permutations that assign 0 to one of the letters that start a word; the second version does not produce those permutations. The first version creates a string where the letters starting a word come first, followed by the letters not starting a word; it is the other way around for the second version. For instance, with the cryptarithm SEND + MORE == MONEY, the first version creates a string which could be SMENDORY, whereas the second version creates a string which could be ENDORYSM. Let us still use that example to explain how we proceed in the second version.

- Starting with the list $L=(0,1,2,3,4,5,6,7,8,9)$, we use the generalisation of Heap's algorithm to generate lists of the form $L_{1} L_{2}$, one for each possible list $L_{2}$ of two nonzero digits (so we ignore 0 , as if $L$ started with the element of index 1). This determines a possible assignment to SM.
- For each list of the form $L_{1} L_{2}$ generated as described, we make a copy of $L_{1} L_{2}$ and we use again the generalisation of Heap's algorithm to generate from the copy lists of the form $L_{11} L_{12} L_{2}$, one for each possible list $L_{12}$ of six digits, amongst those in $L_{1}$ (so 0 is now allowed but we ignore $L_{2}$, as if we were working with a list that ended at index 7). We return the last 8 digits of $L_{11} L_{12} L_{2}$, that is, $L_{12} L_{2}$, allocating the digits in $L_{12}$ to ENDORY and the digits in $L_{2}$ to SM .

