# 13. Review COMP6741: Parameterized and Exact Computation

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- Upper Bounds
- Lower Bounds



- Upper Bounds
- Lower Bounds



- very general technique
- uses solutions of subproblems
- typically stored in a table of exponential size

#### Lemma 1 (Measure Analysis Lemma)

Let

- A be a branching algorithm
- $c \ge 0$  be a constant, and
- $\mu(\cdot), \eta(\cdot)$  be two measures for the instances of A,

such that on input I, A calls itself recursively on instances  $I_1, \ldots, I_k$ , but, besides the recursive calls, uses time  $O(|I|^c)$ , such that

$$(\forall i) \quad \eta(I_i) \le \eta(I) - 1, \text{ and}$$
 (1)

$$2^{\mu(I_1)} + \ldots + 2^{\mu(I_k)} \le 2^{\mu(I)}.$$
(2)

Then A solves any instance I in time  $O(\eta(I)^{c+1}) \cdot 2^{\mu(I)}$ .

### Inclusion-Exclusion

#### Theorem 2 (IE-theorem – intersection version)

Let  $U = A_0$  be a finite set, and let  $A_1, \ldots, A_k \subseteq U$ .

$$\left. \bigcap_{i \in \{1, \dots, k\}} A_i \right| = \sum_{J \subseteq \{1, \dots, k\}} (-1)^{|J|} \left| \bigcap_{i \in J} \overline{A_i} \right|,$$

where  $\overline{A_i} = U \setminus A_i$  and  $\bigcap_{i \in \emptyset} = U$ .

#### Theorem 3

The number of covers with k sets and the number of ordered partitions with k sets of a set system  $(V\!,H)$  can be computed in polynomial space and

- **9**  $O^*(2^n|H|)$  time if H can be enumerated in  $O^*(|H|)$  time and poly space,
- ${f O}^*(3^n)$  time if membership in H can be decided in polynomial time, and
- $\sum_{j=0}^{n} {n \choose j} T_H(j)$  time if there is a  $T_H(j)$  time poly space algorithm to count for any  $W \subseteq V$  with |W| = j the number of sets  $S \in H$  st.  $S \cap W = \emptyset$ .

P: class of problems that can be solved in time  $n^{O(1)}$ FPT: class of problems that can be solved in time  $f(k) \cdot n^{O(1)}$ W[·]: parameterized intractability classes XP: class of problems that can be solved in time  $f(k) \cdot n^{g(k)}$ 

 $\mathsf{P} \subseteq \mathsf{FPT} \subseteq \mathsf{W}[1] \subseteq \mathsf{W}[2] \cdots \subseteq \mathsf{W}[P] \subseteq \mathsf{XP}$ 

Known: If FPT = W[1], then the Exponential Time Hypothesis fails, i.e. 3-SAT can be solved in time  $2^{o(n)}$ .

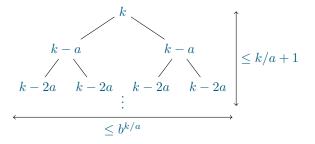
#### Definition 4

A kernelization for a parameterized problem  $\Pi$  is a **polynomial time** algorithm, which, for any instance I of  $\Pi$  with parameter k, produces an **equivalent** instance I' of  $\Pi$  with parameter k' such that  $|I'| \leq f(k)$  and  $k' \leq f(k)$  for a computable function f.

We refer to the function f as the size of the kernel.

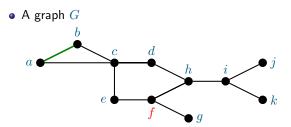
**Recall**: A search tree models the recursive calls of an algorithm. For a *b*-way branching where the parameter k decreases by a at each recursive

call, the number of nodes is at most  $b^{k/a} \cdot (k/a+1)$ .

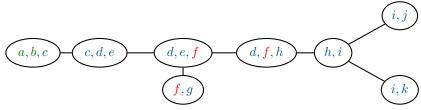


If k/a and b are upper bounded by a function of k, and the time spent at each node is FPT (typically, polynomial), then we get an FPT running time.

## Tree decompositions (by example)



• A tree decomposition of G



Conditions: covering and connectedness.

For a minimization problem:

- **Compression step:** Given a solution of size k + 1, compress it to a solution of size k or prove that there is no solution of size k
- **Iteration step:** Incrementally build a solution to the given instance by deriving solutions for larger and larger subinstances
- Often, we can get a solution of size k + 1 with only a polynomial overhead



We have seen several reductions, which, for an instance (I,k) of a problem  $\Pi,$  produce an equivalent instance I' of a problem  $\Pi'.$ 

|                    | time      | parameter         | special features    | used for     |
|--------------------|-----------|-------------------|---------------------|--------------|
| kernelization      | poly      | $k' \le g(k)$     | $ I'  \le g(k)$     | g(k)-kernels |
|                    |           |                   | $\Pi = \Pi'$        |              |
| parameterized      | FPT       | $k' \leq g(k)$    |                     | W[]-hardness |
| reduction          |           |                   |                     |              |
| OR-composition     | poly      | $k' \leq poly(k)$ | $\Pi = OR(\Pi')$    | Kernel LBs   |
| AND-composition    | poly      | $k' \leq poly(k)$ | $\Pi = AND(\Pi')$   | Kernel LBs   |
| polynomial parame- | poly      | $k' \leq poly(k)$ |                     | Kernel LBs   |
| ter transformation |           |                   |                     | (S)ETH LBs   |
| SubExponential Re- | subexp(k) | $k' \in O(k)$     | Turing reduction    | ETH LBs      |
| duction Family     |           |                   | $ I'  =  I ^{O(1)}$ |              |



- Upper Bounds
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- BICLIQUE has been solved (the first Open problem among "The Most Infamous" in [Downey Fellows, 2013]): it is W[1]-hard [Lin, SODA 2015]
- research focii
  - enumeration algorithms and combinatorial bounds
  - randomized algorithms
  - backdoors
  - treewidth: computation, bounds on the treewidth of grid or planar subgraphs / minors
  - bidimensionality
  - bottom-up: improving the quality of subroutines of heuristics
  - (S)ETH widely used now, also for poly-time lower bounds
  - quests for multivariate algorithms, lower bounds for Turing kernels
  - FPT-approximation algorithms

- FPT wiki: http://fpt.wikidot.com
- FPT newsletter: http://fpt.wikidot.com/fpt-news: the-parameterized-complexity-newsletter
- Blog: http://fptnews.org
- cstheory stackexchange: http://cstheory.stackexchange.com
- FPT school 2014: http://fptschool.mimuw.edu.pl