

**COMP2111 Week 4**  
**Term 1, 2019**  
**Predicate Logic II**

# Summary of topics

- Re-introduction to Predicate Logic
- **Syntax of Predicate Logic**
- Semantics of Predicate Logic
- Natural Deduction for Predicate Logic

# Vocabulary

A **vocabulary** indicates what **predicates**, **functions** and **constants** we can use to build up our formulas. Very similar to C header files, or Java interfaces or *database schemas*.

A vocabulary  $V$  is a set of:

- Predicate “symbols”  $P, Q, \dots$ , each with an associated *arity* (number of arguments)
- Function “symbols”  $f, g, \dots$ , each with an associated *arity* (number of arguments)
- Constant “symbols”  $c, d, \dots$  (also known as 0-arity functions)

## Example

$V = \{\leq, +, 1\}$  where  $\leq$  is a binary predicate symbol,  $+$  is a binary function symbol, and  $1$  is a constant symbol.

## Vocabulary: example (databases)

### Example

A database schema identifies the various tables, their attributes, and their attributes' types. For example:

<b>Person</b>	
Name:	String
Surname:	String
Address:	String

<b>Employee</b>	
ID:	int
Surname:	String

Tables *relate* a number of attributes

The above schema would be represented by the vocabulary:

$$DB = \{\text{Person}, \text{Employee}\}$$

where **Person** is a ternary predicate symbol and **Employee** is a binary predicate symbol

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where **Person** is a ternary predicate symbol and **Employee** is a binary predicate symbol and *isString* and *isInteger* are unary predicate symbols.

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# Terms

A **term** is defined recursively as follows:

- A variable is a term
- A constant symbol is a term
- If  $f$  is a function symbol with arity  $k$ , and  $t_1, \dots, t_k$  are terms, then  $f(t_1, t_2, \dots, t_k)$  is a term.

## NB

*Terms will be interpreted as elements of the domain of discourse.*

## Terms: examples

### Example

Over  $V = \{\leq, +, 1\}$ , the following are all terms:

- $x$
- $1$
- $+(y, 1)$
- $+(y, +(x, 1))$



# Formulas

A **formula of Predicate Logic** is defined recursively as follows:

- If  $P$  is a predicate symbol with arity  $k$ , and  $t_1, \dots, t_k$  are terms, then  $P(t_1, t_2, \dots, t_k)$  is a formula
- If  $t_1$  and  $t_2$  are terms then  $(t_1 = t_2)$  is a formula
- If  $\varphi, \psi$  are a formulas then the following are formulas:
  - $\neg\varphi$
  - $(\varphi \wedge \psi)$
  - $(\varphi \vee \psi)$
  - $(\varphi \rightarrow \psi)$
  - $(\varphi \leftrightarrow \psi)$
  - $\forall x\varphi$
  - $\exists x\varphi$

## NB

*The base cases are known as **atomic** formulas: they play a similar role in the parse tree as propositional variables.*

## Formulas: examples

### Example

Over  $V = \{\leq, +, 1\}$ , the following are all formulas:

- $\leq(x, y)$
- $\leq(1, 1)$
- $x = +(y, 1)$
- $\leq(x, y) \rightarrow (x = +(y, 1))$
- $\exists x(1 = +(1, 1))$
- $\forall x \forall y \leq(x, y) \rightarrow (x = +(y, 1))$

## Formulas: example (databases)

In relational databases, formulas correspond to (select-)queries.

### Example

For the vocabulary

$DB = \{\text{Person, Employee, isString, isInteger}\}$ :

Select \*  
from Person

Person( $x, y, z$ )

## Formulas: example (databases)

In relational databases, formulas correspond to (select-)queries.

### Example

For the vocabulary

$DB = \{\text{Person, Employee, isString, isInteger, Arya}\}$ :

```
Select *  
  from Person  
  where Person.name = "Arya"
```

$\text{Person}(x, y, z) \wedge (x = \text{Arya})$

## Formulas: example (databases)

In relational databases, formulas correspond to (select-)queries.

### Example

For the vocabulary

$DB = \{\text{Person, Employee, isString, isInteger, Arya}\}$ :

```
Select Person.surname, Person.address  
from Person  
where Person.name = "Arya"
```

$\text{Person}(\text{Arya}, y, z)$

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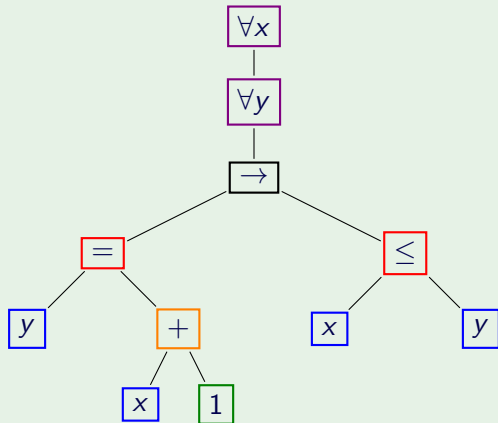
```
Select *  
  from Person inner join Employee  
  on Person.surname = Employee.surname
```

$\text{Person}(x, y, z) \vee \text{Employee}(w, y)$

# Parse trees

## Example

$$\forall x \forall y ((y = x + 1) \rightarrow (x \leq y))$$



## Free and Bound variables

A variable is **bound** to the closest matching quantifier that lies above it in the parse tree. A variable that is not bound is **free**.

### Example

In  $\varphi = \forall x \exists z \exists x P(x, y, z) \wedge Q(x)$ :

- $z$  is bound to  $\exists z$
- $y$  is free
- First  $x$  is bound to  $\exists x$
- Second  $x$  is free

A formula with no free variables is a **sentence**.

It can be useful to have “access” to the free variables of a formula. So if  $x_1, \dots, x_k$  are the free variables of  $\varphi$ , we may denote this as  $\varphi(x_1, \dots, x_k)$ .



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## Formulas as predicates

Formulas can be viewed as complex predicates: predicates that are built from other predicates, either by

- Combining them using the boolean operators
- “Simplifying” using quantification and term substitutions (**projection**)

The free variables represent the arity of the predicate, hence the notation  $\varphi(x_1, \dots, x_k)$ .

### Note

Variable names matter:  $\varphi(x)$  and  $\varphi(y)$  are different formulas!  
However, they will be *interpreted* as the same predicate.

# Formulas as predicates

## Example

From binary predicates  $P$  and  $Q$ ; and constant  $c$  we can build complex predicates like:

- $\alpha(w, x, y, z) = (P(x, w) \vee Q(y, z)) \wedge (w = y) \wedge (z = c)$
- $\beta(x, y, z) = (P(x, y) \vee Q(y, z)) \wedge (z = c)$
- $\gamma(x, y) = P(x, y) \vee Q(y, c)$
- $\delta(x) = \exists y P(x, y) \vee \exists y Q(y, c)$

## NB

*$\alpha$ ,  $\beta$  and  $\gamma$  are different predicates:  $\alpha$  represents a 4-ary predicate, whereas  $\beta$  represents a 3-ary predicate and  $\gamma$  represents a binary predicate.*

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# Substitution

If  $t$  is a term,  $\varphi$  a formula, and  $x \in FV(\varphi)$ , then the **substitution of  $t$  for  $x$  in  $\varphi$**  (denoted  $\varphi[t/x]$ ) is the formula obtained by replacing every free occurrence of  $x$  with  $t$ .

Alternatively (if the free variables are listed), substituting  $t$  for  $x$  in  $\varphi(x)$  can be written as  $\varphi(t)$ .

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# Models

Predicate formulas are interpreted in **Models**.

Given a vocabulary  $V$  a model  $\mathcal{M}$  defines:

- A (non-empty) domain  $D = \text{Dom}(\mathcal{M})$
- For every predicate symbol  $P \in V$  with arity  $k$ : a  $k$ -ary relation  $P^{\mathcal{M}}$  on  $D$
- For every function symbol  $f \in V$  with arity  $k$ : a function  $f^{\mathcal{M}} : D^k \rightarrow D$
- For every constant symbol  $c \in V$ : an element,  $c^{\mathcal{M}}$  of  $D$

**In this course (hopefully)**

Formulas have **predicates**; Models have **relations**.

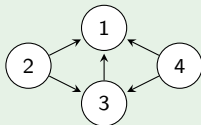


# Models: examples

## Example

For the vocabulary  $V = \{\leq, +, 1\}$  the following are models:

- $\mathbb{N}$  with the standard definitions of  $\leq$ ,  $+$ , and  $1$ .
- $\{0, 1, 2, 3, 4\}$  with the standard definition of  $\leq$  and  $1$ , and  $m + n$  defined as  $m + n \pmod{5}$ .
- The directed graph  $G = (V, E)$  shown below with  $\leq = E$ ; and  $v + w$  defined to be  $w$ .



## Models: example (databases)

### Example

For the vocabulary  $DB = \{\text{Person}, \text{Employee}, \text{isString}, \text{isInteger}\}$ , the following **database** is a model:

Person		
Name	Surname	Address
Arya	Stark	Winterfell
Jon	Snow	Winterfell
Cersei	Lannister	King's Landing

Employee	
ID	Surname
31415	Tyrell
27182	Lannister
16180	Targaryen

`isString` and `isInteger` are defined by what values are permitted in each of the columns (*sanitizing* the input).

# Environments

Given a model  $\mathcal{M}$ , an **environment for  $\mathcal{M}$**  (or **lookup table**) is a function from the set of variables to  $\text{Dom}(\mathcal{M})$ .

Given an environment  $\eta$ , we denote by  $\eta[x \mapsto c]$  the environment that agrees with  $\eta$  everywhere except possibly at  $x$  (where it has value  $c$ ).

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An interpretation  $(\mathcal{M}, \eta)$  maps terms to elements of  $\text{Dom}(\mathcal{M})$  recursively as follows:

- $\llbracket x \rrbracket_{\mathcal{M}}^{\eta} = \eta(x)$
- $\llbracket c \rrbracket_{\mathcal{M}}^{\eta} = c^{\mathcal{M}}$
- $\llbracket f(t_1, \dots, t_k) \rrbracket_{\mathcal{M}}^{\eta} = f^{\mathcal{M}}(\llbracket t_1 \rrbracket_{\mathcal{M}}^{\eta}, \dots, \llbracket t_k \rrbracket_{\mathcal{M}}^{\eta})$

## Notation

We write  $\mathcal{M}, \eta \models \varphi$  if  $\llbracket \varphi \rrbracket_{\mathcal{M}}^{\eta} = \text{true}$

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# Interpretations: examples

## Example

$$\forall x \forall y ((y = x + 1) \rightarrow (x \leq y))$$

- $\mathbb{N}$  with the standard definitions of  $\leq$ ,  $+$ , and  $1$ : true
- $\{0, 1, 2, 3, 4\}$  with the standard definition of  $\leq$  and  $1$ , and  $m + n$  defined as  $m + n \pmod{5}$ :
- The directed graph  $G = (V, E)$  shown below with  $\leq = E$ ; and  $v + w$  defined to be  $w$ .



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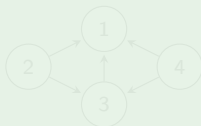


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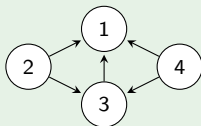


# Interpretations: examples

## Example

$$\forall x \forall y ((y = x + 1) \rightarrow (x \leq y))$$

- $\mathbb{N}$  with the standard definitions of  $\leq$ ,  $+$ , and  $1$ : true
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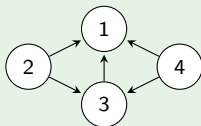
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true

## Why separate the environment from the model?

In the definition of  $\llbracket \varphi \rrbracket_{\mathcal{M}}^{\eta}$ ,  $\eta$  is only used to define values for the free variables. In particular, if  $\varphi$  is a sentence then  $\llbracket \varphi \rrbracket_{\mathcal{M}}^{\eta}$  is independent of  $\eta$ .

Define  $\llbracket \cdot \rrbracket_{\mathcal{M}}$  by “delaying” the assigning of values to free variables, and propagating them out. That is, define:

$$\llbracket \varphi(x_1, x_2, \dots, x_n) \rrbracket_{\mathcal{M}} = \llbracket \varphi \rrbracket_{\mathcal{M}}(x_1, x_2, \dots, x_n)$$

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# Interpretations: example (databases)

## Example

- Vocabulary: database schema
- Formulas: queries ( $\varphi$ )
- Models: databases ( $\mathcal{D}$ )
- Interpretation:  $[[\varphi]]_{\mathcal{D}}$  is a relation on  $\text{Dom}(\mathcal{D})$ , i.e. a (derived) table in  $\mathcal{D}$
- Environment:
- $[[\varphi]]_{\mathcal{D}}^?$ : Success/fail outcome of looking up a specific entry in a query result on  $\mathcal{D}$ .

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# Satisfiability, truth, validity

A formula  $\varphi$  of predicate logic is:

- **satisfiable** if there is some model  $\mathcal{M}$  and some environment  $\eta$  such that  $\mathcal{M}, \eta \models \varphi$ . That is, there is some interpretation  $(\mathcal{M}, \eta)$  that **satisfies**  $\varphi$ .
- **true in a model**  $\mathcal{M}$  if for all environments  $\eta$  we have  $\mathcal{M}, \eta \models \varphi$ .
- a **logical validity** if it is true in all models.

## NB

*For sentences the first two definitions coincide.*

## Example

The sentence  $\forall x \forall y ((y = x + 1) \rightarrow (x \leq y))$  is satisfiable but not a logical validity.

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# Entailment, Logical equivalence

- A theory  $T$  **entails** a formula  $\varphi$ ,  $T \models \varphi$ , if  $\varphi$  is satisfied by any interpretation that satisfies all formulas in  $T$ .
- $\varphi$  is **logically equivalent** to  $\psi$ ,  $\varphi \equiv \psi$ , if  $\llbracket \varphi \rrbracket_{\mathcal{M}}^{\eta} = \llbracket \psi \rrbracket_{\mathcal{M}}^{\eta}$  for all interpretations  $(\mathcal{M}, \eta)$ .

## Theorem

- $\varphi_1, \dots, \varphi_n \models \psi$  if, and only if,  $(\varphi_1 \wedge \dots \wedge \varphi_n) \rightarrow \psi$  is a logical validity.
- $\varphi \equiv \psi$  if, and only if,  $\varphi \leftrightarrow \psi$  is a logical validity.



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# Summary of topics

- Re-introduction to Predicate Logic
- Syntax of Predicate Logic
- Semantics of Predicate Logic
- **Natural Deduction for Predicate Logic**

# Motivation

Demonstrating satisfiability (and invalidity) is easy: just provide an interpretation which does (not) satisfy the formula. Note: *finding* such an interpretation is a different question.

How can you show a formula/entailment is valid?

*Answer:* Find a proof in a proof system that is sound.

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# Natural deduction for Predicate Logic

Inference rules for Propositional Logic + seven rules for quantifiers and equality

Operator	Introduction	Elimination
$\forall$	$\forall$ -I	$\forall$ -E
$\exists$	$\exists$ -I	$\exists$ -E
$=$	$=$ -I	$=$ -E1 $=$ -E2

## Arbitrary variables

Formulas of Predicate Logic involve variables. Unsurprisingly, the new inference rules involve manipulating variables.

A variable is **arbitrary** if it does not occur (as a free variable) in any undischarged assumption.

Intuitively: an arbitrary variable can be assigned any element of the domain and the formula will still hold.

## ∀ Introduction and Elimination

∀-elimination:

$$\frac{\forall xA(x)}{A(c)} \quad (\forall\text{-E})$$

∀-introduction:

$$\frac{A(c) \quad \begin{array}{l} (c \text{ is arbitrary}) \\ (x \text{ not free in } A(c)) \\ (c \text{ not free in } A(x)) \end{array}}{\forall xA(x)} \quad (\forall\text{-I})$$

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## Proof example

Prove:  $\forall x \forall y P(x, y) \vdash \forall y \forall x P(x, y)$

Line	Premises	Formula	Rule	References
1		$\forall x \forall y P(x, y)$	Premise	
2	1	$\forall y P(a, y)$	$\forall$ -E	1
3	1	$P(a, b)$	$\forall$ -E	2
4	1	$\forall x P(x, b)$	$\forall$ -I	3
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$$\frac{A(c) \quad (x \text{ not free in } A)}{\exists x A(x)} \quad (\exists\text{-I})$$

$\exists$ -elimination: 
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## Proof example (Fitch)

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## = Introduction and Elimination

=-introduction:

$$\frac{}{a = a} \text{ (=I)}$$

=-elimination (1):

$$\frac{a = b \quad A(a)}{A(b)} \text{ (=E1)}$$

=-elimination (2):

$$\frac{a = b \quad A(b)}{A(a)} \text{ (=E2)}$$



## Proof example (Fitch)

Prove:  $\vdash \forall x \forall y (x = y) \rightarrow (y = x)$

	1. $a = b$	
	2. $a = a$	$=I$
	3. $b = a$	$=E1: 1,2$
	4. $(a = b) \rightarrow (b = a)$	$\rightarrow I: 1-3$
	5. $\forall y (a = y) \rightarrow (y = a)$	$\forall I: 4$
	6. $\forall x \forall y (x = y) \rightarrow (y = x)$	$\forall I: 5$

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Prove:  $\vdash \forall x \forall y (x = y) \rightarrow (y = x)$

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		2. $a = a$ <span style="float: right;">==I</span>
		3. $b = a$ <span style="float: right;">==E1: 1,2</span>
	4. $(a = b) \rightarrow (b = a)$ <span style="float: right;"><math>\rightarrow</math>-I: 1-3</span>	
	5. $\forall y (a = y) \rightarrow (y = a)$ <span style="float: right;"><math>\forall</math>-I: 4</span>	
	6. $\forall x \forall y (x = y) \rightarrow (y = x)$ <span style="float: right;"><math>\forall</math>-I: 5</span>	

## Proof example (Fitch)

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	3. $b = a$	$=-E1: 1,2$
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	5. $\forall y (a = y) \rightarrow (y = a)$	$\forall-I: 4$
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# Soundness and completeness

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*Natural deduction is sound and complete for Predicate Logic:*

$$T \vdash \varphi \quad \text{if, and only if,} \quad T \models \varphi$$

- Use proofs to show validity
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