## 10. Randomized Algorithms: color coding and monotone local search

## COMP6741: Parameterized and Exact Computation

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Semester 2, 2017

## Outline

(1) Introduction
(2) Vertex Cover
(3) Feedback Vertex Set
(4) Color Coding
(5) Monotone Local Search

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4 Color Coding
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## Randomized Algorithms

- Turing machines do not inherently have access to randomness.
- Assume algorithm is also given access apart to a stream of random bits.
- With $r$ random bits, the probability space is the set of all $2^{r}$ possible strings of random bits (with uniform distribution).


## Monte Carlo algorithms

## Definition 1

- A Monte Carlo algorithm is an algorithm whose output is incorrect with probability at most $p$.
- A one sided error means that an algorithm's input is incorrect only on true outputs, or false outputs but not both.
- A false negative Monte Carlo algorithm is always correct when it returns false.


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Suppose we have an algorithm $A$ for a decision problem which:

- If no-instance: returns "no".
- If yes-instance: returns "yes" with probability $p$.


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Suppose we have an algorithm $A$ for a decision problem which:

- If no-instance: returns "no".
- If yes-instance: returns "yes" with probability $p$.

Algorithm $A$ is a one-sided Monte Carlo algorithm with false negatives.

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Suppose $A$ is a one-sided Monte Carlo algorithm with false negatives, that with probability $p$ returns "yes" when the input is a yes-instance. How can we use $A$ and design an a new algorithm which ensures a new success probability of a constant $C$ ?

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Suppose $A$ is a one-sided Monte Carlo algorithm with false negatives, that with probability $p$ returns "yes" when the input is a yes-instance. How can we use $A$ and design an a new algorithm which ensures a new success probability of a constant $C$ ?

Let $t=-\frac{\ln (1-C)}{p}$ and repeat $t$ times. Failure probability is

$$
(1-p)^{t} \leq\left(e^{-p}\right)^{t}=\frac{1}{e^{p t}}=1-C
$$

via the inequality $1-x \leq e^{-x}$.

## Amplification

## Theorem 2

If a one-sided error Monte Carlo Algorithm has success probability at least $p$, then repeating it independently $\left\lceil\frac{1}{p}\right\rceil$ times gives constant success probability. In particular if $p=\frac{1}{f(k)}$ for some computable function $f$, then we get an FPT one-sided error Monte Carlo Algorithm with additional $f(k)$ overhead in the running time bound.

## Outline

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## (3) Feedback Vertex Set

## 4 Color Coding

(5) Monotone Local Search

## Vertex Cover

For a graph $G=(V, E)$ a vertex cover $X \subseteq V$ is a set of vertices such that every edge is adjacent to a vertex in $X$.

```
Vertex Cover
    Input: Graph G}\mathrm{ , integer }
    Parameter: k
    Question: Does }G\mathrm{ have a vertex cover of size }k\mathrm{ ?
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    Input: Graph G, integer k
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```


## Theorem 3

There exists a randomized algorithm that, given a Vertex Cover instance $(G, k)$, in time $2^{k} n^{O(1)}$ either reports a failure or finds a vertex cover on $k$ vertices in $G$. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

## Solution

## Proof.

- Pick an edge at random and then pick one of the endpoints of that edge with probability $\frac{1}{2}$.
- Repeating this $k$ times finds a vertex cover with probability at least $\frac{1}{2^{k}}$.
- Applying Theorem 2 gives a randomized FPT running time of $2^{k} \cdot n^{O(1)}$.


## Outline

## (1) Introduction

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## Feedback Vertex Set

A feedback vertex set of a multigraph $G=(V, E)$ is a set of vertices $S \subset V$ such that $G-S$ is acyclic.

```
Feedback Vertex Set
    Input: Multigraph G, integer k
    Parameter: k
    Question: Does }G\mathrm{ have a feedback vertex of size k
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Feedback Vertex Set
    Input: Multigraph G, integer k
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```

- Recall 5 simplification rules for Feedback Vertex Set.


## Solution: Simplification

(1) Loop: If loop at vertex $v$, remove $v$ and decrease $k$ by 1
(2) Multiedge: Remove all edges of multiplicity greater than 2 , to exactly 2 .
( Degree-1: If $v$ has degree at most 1 then remove $v$.
(1) Degree-2: If $v$ has degree 2 with neighbors $u, w$ then delete 2 edges $u v, v w$ and replace with new edge $u w$.
(0) Budget: If $k<0$, terminate algorithm and return no.

Refer to Lecture 6 for soundness of simplification rules.

## Lemma

## Lemma 4

Let $G$ be a multigraph on $n$ vertices, with minimum degree at least 3. Then, for every feedback vertex set $X$ of $G$, at least $1 / 3$ of the edges have at least one end point in $X$.

## Lemma

## Lemma 4

Let $G$ be a multigraph on $n$ vertices, with minimum degree at least 3. Then, for every feedback vertex set $X$ of $G$, at least $1 / 3$ of the edges have at least one end point in $X$.

## Proof.

The graph $G$ has minimum degree 3 , this means it has at least $3 n / 2$ edges. Let $G \backslash X=F$ be the forest that remains. There at most $n-1$ edges in the forest $F$. This means that at least $\frac{1}{3}$ of the edges are in $X$.

## Random Algorithm

## Theorem 5

There is a randomized algorithm that, given a Feedback Vertex Set instance $(G, k)$, in time $6^{k} n^{O(1)}$ either reports a failure or finds a feedback vertex set in $G$ of at most $k$. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

## Solution

## Proof.

- First apply simplification rules 1-5 in order to obtain a multigraph $G^{\prime}$ with minimum degree at least 3 and we wish to find feedback vertex set $X^{\prime}$ of size $k^{\prime}$.


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- First apply simplification rules 1-5 in order to obtain a multigraph $G^{\prime}$ with minimum degree at least 3 and we wish to find feedback vertex set $X^{\prime}$ of size $k^{\prime}$.
- Lemma 4 implies with probability greater than $\frac{1}{3}$, a randomly chosen edge $e$ has at least one endpoint in $X^{\prime}$. So with probability greater than $\frac{1}{2} \times \frac{1}{3}=\frac{1}{6}$, a randomly chosen endpoint of $e$ belongs to $X^{\prime}$.


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- By inductive process, a recursive call finds a feedback vertex set in graph $G^{\prime}-\{v\}$ of size $k^{\prime}-1$ with probability $\left(\frac{1}{6}\right)^{k-1}$. Hence $X^{\prime}$ can be found with probability at least $\left(\frac{1}{6}\right)^{k}$.


## Solution

## Proof.

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- Applying Theorem 2 gives a randomized FPT running time of $6^{k} \cdot n^{O(1)}$.


## Lemma 2

## Lemma 6

Let $G$ be a multigraph on $n$ vertices, with minimum degree 3. For every feedback vertex set $X$, then at least $\frac{1}{2}$ of the edges of $G$ have at least one endpoint in $X$.

## Lemma 2

## Lemma 6

Let $G$ be a multigraph on $n$ vertices, with minimum degree 3 . For every feedback vertex set $X$, then at least $\frac{1}{2}$ of the edges of $G$ have at least one endpoint in $X$.

Hint: Let $H=G-X$ be a forest. The statement is equivalent to:

$$
|E(G) \backslash E(H)|>|V(H)|>|E(H)|
$$

Let $J \subseteq E(G)$ denote edges with one endpoint in $X$, and the other in $V(H)$. Show:

$$
|J|>|V(H)|
$$

## Solution

## Proof.

- Let $V_{\leq 1}, V_{2}, V_{\geq 3}$ be set of vertices that have degree at most 1 , exactly 2 , and at least 3 respectively in $H$.


## Solution

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- Let $V_{\leq 1}, V_{2}, V_{\geq 3}$ be set of vertices that have degree at most 1 , exactly 2 , and at least 3 respectively in $H$.
- Since $G$ has min degree 3 then each vertex in $V_{\leq 1}$ contributes at least 2 edges to $J$. Each vertex $V_{2}$ contributes at least 1 edge to $J$.


## Solution

## Proof.

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- Since $G$ has min degree 3 then each vertex in $V_{\leq 1}$ contributes at least 2 edges to $J$. Each vertex $V_{2}$ contributes at least 1 edge to $J$.
- Note $H$ is a forest, we inductively show $\left|V_{\geq 3}\right|<\left|V_{\leq 1}\right|$.
- Trivially true for empty forest and single vertex.
- Assume true for forests of size $n-1$, i.e. $\left|V_{\geq 3}^{\prime}\right|<\left|V_{\leq 1}^{\prime}\right|$
- For any forest of size $n$, consider removing a leaf (which must always exist). If $\left|V_{\geq 3}\right|=\left|V_{\geq 3}^{\prime}\right|+1$ then $\left|V_{\leq 1}\right|=\left|V_{\leq 1}^{\prime}\right|+1$.


## Solution

## Proof.

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- This results in:

$$
|E(G) \backslash E(H)| \geq|J| \geq 2\left|V_{\leq 1}\right|+\left|V_{2}\right|>\left|V_{\leq 1}\right|+\left|V_{2}\right|+\left|V_{\geq 3}\right|=|V(H)|
$$

## Random Algorithm 2

## Lemma 7

There exists a randomized algorithm that, given a Feedback Vertex Set instance ( $G, k$ ), in time $4^{k} n^{O(1)}$ either reports a failure or finds a path on $k$ vertices in $G$. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

## Corollary

## Corollary 8

Given a Feedback Vertex Set instance $(G, k)$, in time $4^{k} n^{O(1)}$ there is an algorithm that either reports a failure or if given a yes-instance finds a feedback vertex set in $G$ of size at most $k$ with constant probability.

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## Longest Path

A simple path is a sequence of edges which connect a sequence of distinct vertices.
Longest Path

| Input: | $G$ Graph $G$, integer $k$ |
| :--- | :--- |
| Parameter: | $k$ |
| Question: | Does $G$ have a simple path of size $k$ ? |

## Longest Path

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## Longest Path

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## Problem

- Show that Longest Path is NP-hard.


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## Problem

- Show that Longest Path is NP-hard.

Reduction from Hamiltonian Path with $k=n-1$.

## Color Coding

## Lemma 9

Let $U$ be a set of size $n$, and let $X \subseteq U$ be a subset of size $k$. Let $\chi: U \rightarrow[k]$ be a coloring of the elements of $U$, chosen uniformly at random. Then the probability that the elements of $X$ are colored with pairwise distinct colors is at least $e^{-k}$.

## Color Coding

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## Proof.

There are $k^{n}$ possible colorings $\chi$ and $k!k^{n-k}$ of them are injective on $X$. The lemma follows from the inequality

$$
k!>(k / e)^{k} .
$$

## Colorful Path

A path is colorful if all vertices of the path are colored with pairwise distinct colors.

## Lemma 10

Let $G$ be an undirected graph, and let $\chi: V(G) \rightarrow[k]$ be a coloring of its vertices with $k$ colors. There exists a determinisitic algorithm that checks in time $2^{k} n{ }^{\mathcal{O}}{ }^{(1)}$ whether $G$ contains a colorful path on $k$ vertices and, if this is the case, returns one such path.

## Solution

## Proof.

Parition $V(G)$ into $V_{1}, \ldots, V_{k}$ subsets such that vertices in $V_{i}$ are colored $i$.

## Solution

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Parition $V(G)$ into $V_{1}, \ldots, V_{k}$ subsets such that vertices in $V_{i}$ are colored $i$. Apply dynamic programming on nonempty $S \subseteq\{1, \ldots, k\}$. For $u \in \bigcup_{i \in S} V_{i}$ let $P(S, u)=$ true if there is a colorful path with colors from $S$ and $u$ as an endpoint.

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- For $|S|=1, P(S, u)=$ true for $u \in V(G)$ iff $S=\{\chi(u)\}$.
- For $|S|>1$

$$
P(S, u)= \begin{cases}\bigvee_{u v \in E(G)} P(S \backslash\{\chi(u)\}, v) & \text { if } \chi(u) \in S \\ \text { false } & \text { otherwise }\end{cases}
$$

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$$

All values of $P$ can be computed in $2^{k} n^{O(1)}$ time and there exists a colorful $k$-path iff $P([k], v)$ is true for some vertex $v \in V(G)$.

## Longest Path

## Theorem 11

There exists a randomized algorithm that, given a Longest Path instance $(G, k)$, in time $(2 e)^{k} n^{O(1)}$ either reports a failure or finds a path on $k$ vertices in $G$. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

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## Exact Exponential Algorithms vs Parameterized Algorithms

## Exact Exponential Algorithms

- Find exact solutions with respect to parameter $n$, the input size.
- Feedback Vertex set $O\left(1.7347^{n}\right)$ [Fomin, Todinca and Villanger 2015]
- Running Time: $O\left(\alpha^{n} n^{O(1)}\right)$


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Parameterized Algorithms

- Include parameter $k$, commonly the solution size.
- Feedback Vertex Set: $O\left(3.592^{k}\right)$ [Kociumaka and Pilipczuk 2013]
- Running Time: $O\left(f(k) \cdot n^{O(1)}\right)$


## Exact Exponential Algorithms vs Parameterized Algorithms

## Exact Exponential Algorithms

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Can we use Parameterized Algorithms to design fast Exact Exponential Algorithms?

## Subset Problems

An implicit set system is a function $\Phi$ with:

- Input: instance $I \in\{0,1\}^{*},|I|=N$
- Output: set system $\left(U_{I}, \mathcal{F}_{I}\right)$ :
- universe $U_{I},\left|U_{I}\right|=n$
- family $\mathcal{F}_{I}$ of subsets of $U_{I}$


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```
\Phi-SubSET
    Input: Instance I
    Question: Is }|\mp@subsup{\mathcal{F}}{I}{}|>
```


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## $\Phi$-Subset

| Input: | Instance I |
| :--- | :--- |
| Question: | Is $\left\|\mathcal{F}_{I}\right\|>0$ |

Ф-EXTENSION
Input: $\quad$ Instance $I$, a set $X \subseteq U_{I}$, and an integer $k$
Question: Does there exist a subset $S \subseteq\left(U_{I} \backslash X\right)$ such that $S \cup X \in \mathcal{F}_{I}$ and $|S| \leq k$ ?

## Algorithm

Suppose $\Phi$-Extension has a $O^{*}\left(c^{k}\right)$ time algorithm $B$.

## Algorithm for checking whether contains a set of size

- Set $t=\max \left(0, \frac{c k-n}{c-1}\right)$
- Uniformly at random select a subset $X \subseteq U_{I}$ of size $t$
- Run $B(I, X, k-t)$


## Algorithm

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## Algorithm for checking whether contains a set of size

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- Run $B(I, X, k-t)$

Running time: [Fomin, Gaspers, Lokshtanov \& Saurabh 2016]

$$
O^{*}\left(\frac{\binom{n}{t}}{\binom{k}{t}} \cdot c^{k-t}\right)=O^{*}\left(2-\frac{1}{c}\right)^{n}
$$

## Intuition

## Brute-force randomized algorithm

- Pick $k$ elements of the universe one-by-one.
- Suppose $\mathcal{F}_{I}$ contains a set of size $k$.

Success probability:

$$
\begin{gathered}
\frac{k}{n} \cdot \frac{k-1}{n-1} \cdot \ldots \cdot \frac{k-t}{n-t} \cdot \ldots \cdot \frac{2}{n-(k-2)} \frac{1}{n-(k-1)}=\frac{1}{\binom{n}{k}} \\
\\
\frac{1}{c}
\end{gathered}
$$

## Theorem

## Theorem 12

If there exists an algorithm for $\Phi$-EXTENSION with running time $c^{k} n^{O(1)}$ then there exists a randomized algorithm for $\Phi$-SUBSET with running time $\left(2-\frac{1}{c}\right)^{n} \cdot n^{O(1)}$

## Theorem

## Theorem 12

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- Can be derandomized at the expense of a multiplicative $2^{o(1)}$ factor in the running time.


## Theorem

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- Can be derandomized at the expense of a multiplicative $2^{o(1)}$ factor in the running time.


## Theorem 13

For a graph $G$ there exists a randomized algorithm which finds a smallest feedback vertex set in time $\left(2-\frac{1}{3.592}\right)^{n} \cdot n^{O(1)}=1.7217^{n} \cdot n^{O(1)}$.

## References

- Chapter 5, Randomized methods in parameterized algorithms by Marek Cygan, Fedor V. Fomin, Łukasz Kowalik, Daniel Lokshtanov, Dániel Marx, Marcin Pilipczuk, Michał Pilipczuk, and Saket Saurabh. Parameterized Algorithms. Springer, 2015.
- Exact Algorithms via Monotone Local Search, Fedor V. Fomin, Serge Gaspers, Daniel Lokshtanov, Saket Saurabh. ACM symposium on Theory of Computing, 2016.


## Exercise 1

```
1-Regular Deletion
    Input: \(\quad\) Graph \(G=(V, E)\), integer \(k\)
    Parameter: \(k\)
    Question: Does there exist \(X \subseteq V\) with \(|X| \leq k\) such that \(G-X\) is
    1-regular?
```

- Design a randomized FPT algorithm with running time $O^{*}\left(4^{k}\right)$


## Solution 1

## Solution

- If there is a vertex with degree 0 , then remove it and reduce $k$ by 1 .
- If $v$ has degree 1 , remove all vertices at distance at most 2 from $v$, and reducing $k$ by the number of vertices at distance 2 from $v$.
- Graph now has minimum degree 2 . If yes-instance then deletion set $X$ is incident to at least $\frac{|E|}{2}$ edges.
- Choose edge at random and then an endpoint of the chosen at at random for a $\frac{1}{4}$ probability of selecting a vertex in $X$.


## Exercise 2

```
Triangle Packing
    Input: Graph G, integer k
    Parameter: k
    Question: Does G have k-vertex disjoint triangles?
```

- Design a randomized FPT algorithm for Triangle Packing.


## Solution 2

- By considering a random $3 k$ coloring $\chi$ of the vertices, Lemma 9 provides an algorithm to return a subset $X$ of size $3 k$ are pairwise distinct with $e^{-3 k}$ success probability.
- For a graph $G$ and coloring $\chi: V(G) \rightarrow[3 k]$, in a similar manner to Lemma 10 we design an algorithm that checks whether $G$ contains a triangle packing on $3 k$ vertices such that all vertices are pairwise distinctly colored. We do the following:
- Enumerate though all possible ways of partitioning $3 k$ colors into $k$ bags of exactly 3 colors each. There are exactly $\frac{3 k!}{(3!)^{k} k!}$ of these ways.
- For a bag, let these colors be $i, j, k$ and consider the vertex partition $V_{i}, V_{j}, V_{k}$. Using these vertices we check if there exists a triangle using vertices from $V_{i} \cup V_{j} \cup V_{k}$ such that each vertex is a different color. This can be computed in time $n^{3}$. Repeating this for all $k$ bags only requires $k \cdot n^{3}$ time.
- Running time of this algorithm is still FPT.

