# 10. Randomized Algorithms: color coding and monotone local searchCOMP6741: Parameterized and Exact Computation

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# 2 Vertex Cover

3 Feedback Vertex Set

# 4 Color Coding

# 5 Monotone Local Search

# 1 Introduction

# 2 Vertex Cover

3 Feedback Vertex Set

# 4 Color Coding

# 5 Monotone Local Search

- Turing machines do not inherently have access to randomness.
- Assume algorithm is also given access apart to a stream of random bits.
- With r random bits, the probability space is the set of all  $2^r$  possible strings of random bits (with uniform distribution).

# Definition 1

- A Monte Carlo algorithm is an algorithm whose output is incorrect with probability at most *p*.
- A one sided error means that an algorithm's input is incorrect only on true outputs, or false outputs but not both.
- A false negative Monte Carlo algorithm is always correct when it returns false.

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Suppose we have an algorithm A for a decision problem which:

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Suppose we have an algorithm A for a decision problem which:

- If no-instance: returns "no".
- If yes-instance: returns "yes" with probability p.

Algorithm A is a one-sided Monte Carlo algorithm with false negatives.

# Problem

Suppose A is a one-sided Monte Carlo algorithm with false negatives, that with probability p returns "yes" when the input is a yes-instance. How can we use A and design an a new algorithm which ensures a new success probability of a constant C?

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Let  $t = -\frac{\ln(1-C)}{p}$  and repeat t times. Failure probability is

$$(1-p)^t \le (e^{-p})^t = \frac{1}{e^{pt}} = 1 - C$$

via the inequality  $1 - x \leq e^{-x}$ .

If a one-sided error Monte Carlo Algorithm has success probability at least p, then repeating it independently  $\lceil \frac{1}{p} \rceil$  times gives constant success probability. In particular if  $p = \frac{1}{f(k)}$  for some computable function f, then we get an FPT one-sided error Monte Carlo Algorithm with additional f(k) overhead in the running time bound.

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For a graph G = (V, E) a vertex cover  $X \subseteq V$  is a set of vertices such that every edge is adjacent to a vertex in X.

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Input:	Graph $G$ , integer $k$
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# Theorem 3

There exists a randomized algorithm that, given a VERTEX COVER instance (G, k), in time  $2^k n^{O(1)}$  either reports a failure or finds a vertex cover on k vertices in G. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

- Pick an edge at random and then pick one of the endpoints of that edge with probability  $\frac{1}{2}$ .
- Repeating this k times finds a vertex cover with probability at least  $\frac{1}{2^k}$ .
- Applying Theorem 2 gives a randomized FPT running time of  $2^k \cdot n^{O(1)}$ .

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A feedback vertex set of a multigraph G = (V, E) is a set of vertices  $S \subset V$  such that G - S is acyclic.

FEEDBACK VERTEX SETInput:Multigraph G, integer kParameter:kQuestion:Does G have a feedback vertex of size k?

A feedback vertex set of a multigraph G = (V, E) is a set of vertices  $S \subset V$  such that G - S is acyclic.

• Recall 5 simplification rules for FEEDBACK VERTEX SET.

- **(**) Loop: If loop at vertex v, remove v and decrease k by 1
- Ø Multiedge: Remove all edges of multiplicity greater than 2, to exactly 2.
- Degree-1: If v has degree at most 1 then remove v.
- Degree-2: If v has degree 2 with neighbors u, w then delete 2 edges uv, vw and replace with new edge uw.
- **(**) Budget: If k < 0, terminate algorithm and return no.

Refer to Lecture 6 for soundness of simplification rules.

# Lemma 4

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#### Proof.

The graph G has minimum degree 3, this means it has at least 3n/2 edges. Let  $G \setminus X = F$  be the forest that remains. There at most n-1 edges in the forest F. This means that at least  $\frac{1}{3}$  of the edges are in X.

There is a randomized algorithm that, given a Feedback Vertex Set instance (G, k), in time  $6^k n^{O(1)}$  either reports a failure or finds a feedback vertex set in G of at most k. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

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- Lemma 4 implies with probability greater than  $\frac{1}{3}$ , a randomly chosen edge e has at least one endpoint in X'. So with probability greater than  $\frac{1}{2} \times \frac{1}{3} = \frac{1}{6}$ , a randomly chosen endpoint of e belongs to X'.

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- By inductive process, a recursive call finds a feedback vertex set in graph  $G' \{v\}$  of size k' 1 with probability  $\left(\frac{1}{6}\right)^{k-1}$ . Hence X' can be found with probability at least  $\left(\frac{1}{6}\right)^k$ .

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- Applying Theorem 2 gives a randomized FPT running time of  $6^k \cdot n^{O(1)}$ .

# Lemma 6

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**Hint:** Let H = G - X be a forest. The statement is equivalent to:

 $|E(G) \setminus E(H)| > |V(H)| > |E(H)|$ 

Let  $J \subseteq E(G)$  denote edges with one endpoint in X, and the other in V(H). Show:

|J| > |V(H)|

# Proof.

• Let  $V_{\leq 1}, V_2, V_{\geq 3}$  be set of vertices that have degree at most 1, exactly 2, and at least 3 respectively in H.

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- Note H is a forest, we inductively show  $|V_{\geq 3}| < |V_{\leq 1}|$ .
  - Trivially true for empty forest and single vertex.
  - Assume true for forests of size n-1, i.e.  $|V_{\geq 3}'| < |V_{\leq 1}'|$
  - For any forest of size n, consider removing a leaf (which must always exist). If  $|V_{\geq 3}| = |V'_{\geq 3}| + 1$  then  $|V_{\leq 1}| = |V'_{\leq 1}| + 1$ .

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- This results in:

$$E(G) \backslash E(H)| \geq |J| \geq 2|V_{\leq 1}| + |V_2| > |V_{\leq 1}| + |V_2| + |V_{\geq 3}| = |V(H)|$$

# Lemma 7

There exists a randomized algorithm that, given a FEEDBACK VERTEX SET instance (G, k), in time  $4^k n^{O(1)}$  either reports a failure or finds a path on k vertices in G. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

# Corollary 8

Given a Feedback Vertex Set instance (G, k), in time  $4^k n^{O(1)}$  there is an algorithm that either reports a failure or if given a yes-instance finds a feedback vertex set in G of size at most k with constant probability.

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Longest Path	
Input:	Graph $G$ , integer $k$
Parameter:	k
Question:	Does $G$ have a simple path of size $k$ ?

# Problem

• Show that LONGEST PATH is NP-hard.

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# Problem

• Show that LONGEST PATH is NP-hard.

Reduction from Hamiltonian Path with k = n - 1.

#### Lemma 9

Let U be a set of size n, and let  $X \subseteq U$  be a subset of size k. Let  $\chi : U \to [k]$  be a coloring of the elements of U, chosen uniformly at random. Then the probability that the elements of X are colored with pairwise distinct colors is at least  $e^{-k}$ .

#### Lemma 9

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# Proof.

There are  $k^n$  possible colorings  $\chi$  and  $k!k^{n-k}$  of them are injective on X. The lemma follows from the inequality

 $k! > (k/e)^k.$ 

A path is *colorful* if all vertices of the path are colored with pairwise distinct colors.

# Lemma 10

Let G be an undirected graph, and let  $\chi: V(G) \to [k]$  be a coloring of its vertices with k colors. There exists a determinisitic algorithm that checks in time  $2^k n^{\mathcal{O}(1)}$ whether G contains a colorful path on k vertices and, if this is the case, returns one such path.

# Proof.

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- For |S| = 1, P(S, u) = true for  $u \in V(G)$  iff  $S = \{\chi(u)\}$ .
- For |S| > 1

$$P(S, u) = \begin{cases} \bigvee_{uv \in E(G)} P(S \setminus \{\chi(u)\}, v) & \text{ if } \chi(u) \in S \\ false & \text{ otherwise} \end{cases}$$

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$$P(S, u) = \begin{cases} \bigvee_{uv \in E(G)} P(S \setminus \{\chi(u)\}, v) & \text{ if } \chi(u) \in S\\ false & \text{ otherwise} \end{cases}$$

All values of P can be computed in  $2^k n^{O(1)}$  time and there exists a colorful k-path iff P([k], v) is true for some vertex  $v \in V(G)$ .

There exists a randomized algorithm that, given a LONGEST PATH instance (G, k), in time  $(2e)^k n^{O(1)}$  either reports a failure or finds a path on k vertices in G. Moreover, if the algorithm is given a yes-instance, it returns a solution with constant probability.

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#### Exact Exponential Algorithms

- Find exact solutions with respect to parameter *n*, the input size.
- Feedback Vertex set  $O(1.7347^n)$ [Fomin, Todinca and Villanger 2015]
- Running Time:  $O(\alpha^n n^{O(1)})$

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# Parameterized Algorithms

- Include parameter k, commonly the solution size.
- Feedback Vertex Set:  $O(3.592^k)$ [Kociumaka and Pilipczuk 2013]
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#### Parameterized Algorithms

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Can we use Parameterized Algorithms to design fast Exact Exponential Algorithms?

# Subset Problems

An *implicit set system* is a function  $\Phi$  with:

- Input: instance  $I \in \{0,1\}^*$ , |I| = N
- Output: set system  $(U_I, \mathcal{F}_I)$ :
  - universe  $U_I$ ,  $|U_I| = n$
  - family  $\mathcal{F}_I$  of subsets of  $U_I$

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$\Phi$ -Subset			
Input:	Instance I		
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$\Phi$ -Subset			
Input: Question:	Instance I Is $ \mathcal{F}_I  > 0$		

Φ-Extension	DN
Input:	Instance $I$ , a set $X \subseteq U_I$ , and an integer $k$
Question:	Does there exist a subset $S \subseteq (U_I \setminus X)$ such that $S \cup X \in \mathcal{F}_I$ and
	$ S  \leq k$ ?

Suppose  $\Phi$ -EXTENSION has a  $O^*(c^k)$  time algorithm B.

Algorithm for checking whether  $\mathcal{F}_{t}$  contains a set of size k

- Set  $t = \max\left(0, \frac{ck-n}{c-1}\right)$
- Uniformly at random select a subset  $X \subseteq U_I$  of size t
- Run B(I, X, k-t)

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Algorithm for checking whether  $\mathcal{F}_{I}$  contains a set of size

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- Uniformly at random select a subset  $X \subseteq U_I$  of size t
- Run B(I, X, k-t)

Running time: [Fomin, Gaspers, Lokshtanov & Saurabh 2016]

$$O^*\left(\frac{\binom{n}{t}}{\binom{k}{t}} \cdot c^{k-t}\right) = O^*\left(2 - \frac{1}{c}\right)^n$$

# Brute-force randomized algorithm

- Pick *k* elements of the universe one-by-one.
- Suppose  $\mathcal{F}_I$  contains a set of size k.

Success probability:

$$\frac{k}{n} \cdot \frac{k-1}{n-1} \cdot \dots \cdot \frac{k-t}{n-t} \cdot \dots \cdot \frac{2}{n-(k-2)} \frac{1}{n-(k-1)} = \frac{1}{\binom{n}{k}}$$

$$\parallel$$

$$\frac{1}{c}$$

If there exists an algorithm for  $\Phi$ -EXTENSION with running time  $c^k n^{O(1)}$  then there exists a randomized algorithm for  $\Phi$ -SUBSET with running time  $(2 - \frac{1}{c})^n \cdot n^{O(1)}$ 

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 $\bullet\,$  Can be derandomized at the expense of a multiplicative  $2^{o(1)}$  factor in the running time.

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 $\bullet\,$  Can be derandomized at the expense of a multiplicative  $2^{o(1)}$  factor in the running time.

# Theorem 13

For a graph G there exists a randomized algorithm which finds a smallest feedback vertex set in time  $\left(2 - \frac{1}{3.592}\right)^n \cdot n^{O(1)} = 1.7217^n \cdot n^{O(1)}$ .

- Chapter 5, Randomized methods in parameterized algorithms by Marek Cygan, Fedor V. Fomin, Łukasz Kowalik, Daniel Lokshtanov, Dániel Marx, Marcin Pilipczuk, Michał Pilipczuk, and Saket Saurabh. Parameterized Algorithms. Springer, 2015.
- *Exact Algorithms via Monotone Local Search*, Fedor V. Fomin, Serge Gaspers, Daniel Lokshtanov, Saket Saurabh. ACM symposium on Theory of Computing, 2016.

Deletion
Graph $G = (V, E)$ , integer k
k
Does there exist $X \subseteq V$ with $ X  \leq k$ such that $G - X$ is 1-regular?

 ${\ }$  Design a randomized FPT algorithm with running time  $O^*(4^k)$ 

- If there is a vertex with degree 0, then remove it and reduce k by 1.
- If v has degree 1, remove all vertices at distance at most 2 from v, and reducing k by the number of vertices at distance 2 from v.
- Graph now has minimum degree 2. If yes-instance then deletion set X is incident to at least  $\frac{|E|}{2}$  edges.
- Choose edge at random and then an endpoint of the chosen at at random for a  $\frac{1}{4}$  probability of selecting a vertex in X.

# TRIANGLE PACKINGInput:Graph G, integer kParameter:kQuestion:Does G have k-vertex disjoint triangles?

#### • Design a randomized FPT algorithm for TRIANGLE PACKING.

- By considering a random 3k coloring  $\chi$  of the vertices, Lemma 9 provides an algorithm to return a subset X of size 3k are pairwise distinct with  $e^{-3k}$  success probability.
- For a graph G and coloring  $\chi: V(G) \to [3k]$ , in a similar manner to Lemma 10 we design an algorithm that checks whether G contains a triangle packing on 3k vertices such that all vertices are pairwise distinctly colored. We do the following:
  - Enumerate though all possible ways of partitioning 3k colors into k bags of exactly 3 colors each. There are exactly <sup>3k!</sup>/<sub>(31)kk!</sub> of these ways.
  - For a bag, let these colors be *i*, *j*, *k* and consider the vertex partition V<sub>i</sub>, V<sub>j</sub>, V<sub>k</sub>. Using these vertices we check if there exists a triangle using vertices from V<sub>i</sub> ∪ V<sub>j</sub> ∪ V<sub>k</sub> such that each vertex is a different color. This can be computed in time n<sup>3</sup>. Repeating this for all k bags only requires k ⋅ n<sup>3</sup> time.
  - Running time of this algorithm is still FPT.