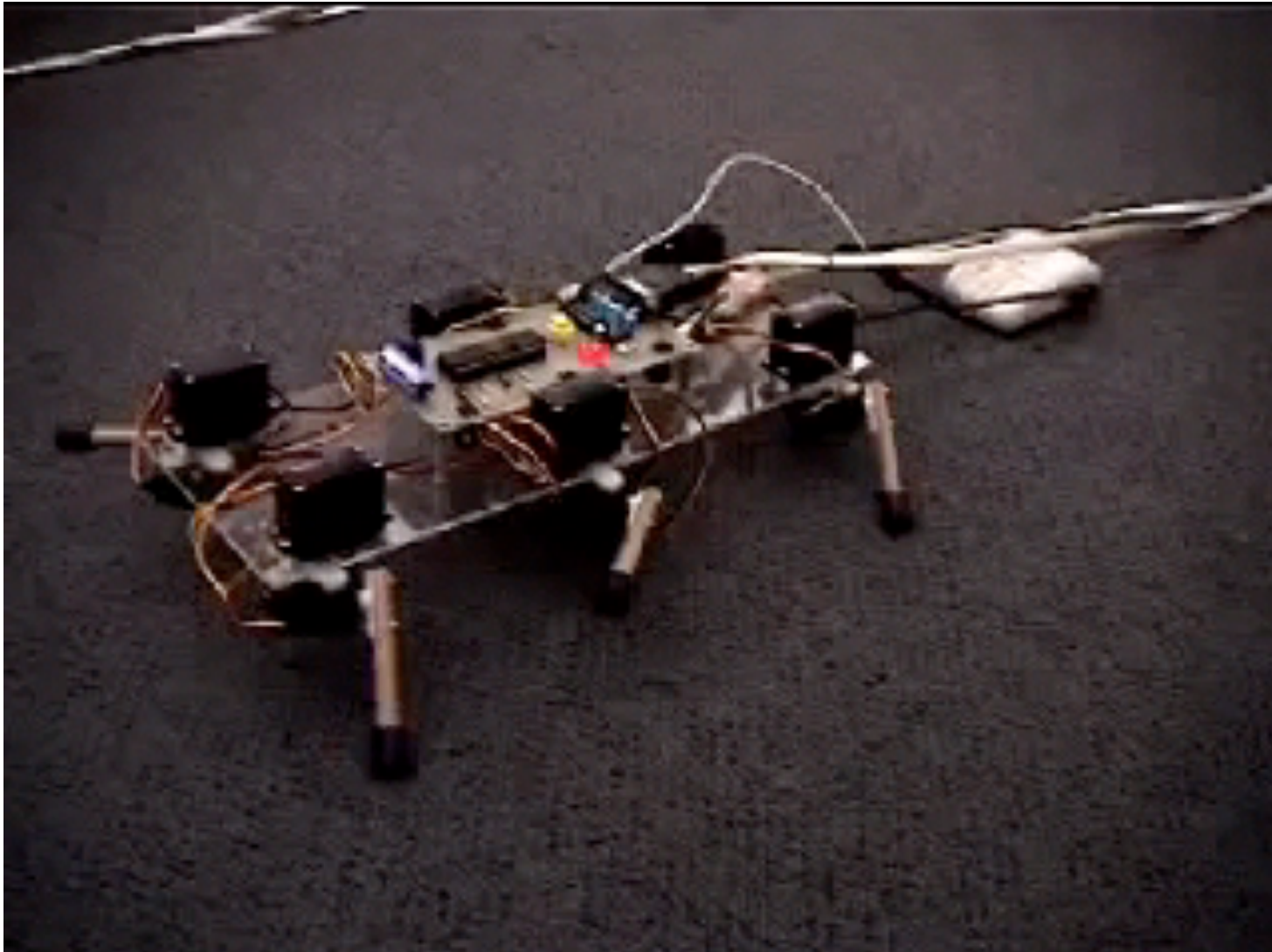


# Reinforcement Learning

COMP3431 Robot Software Architectures

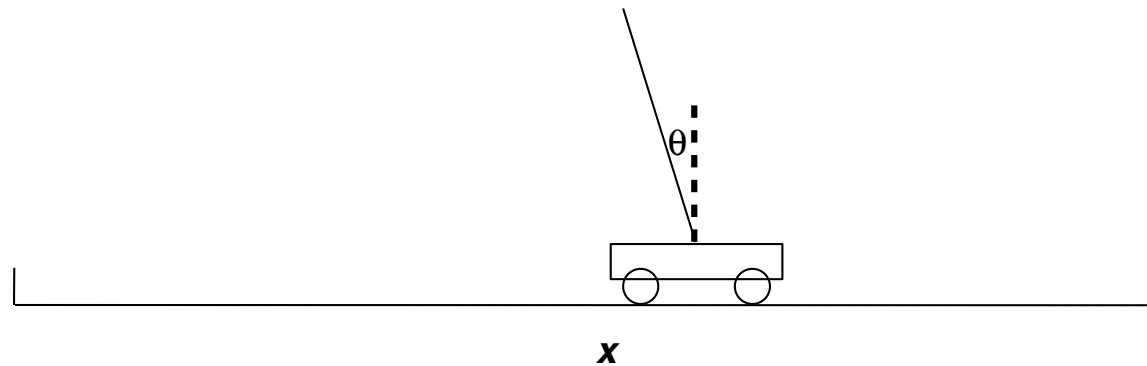
# A Simple Learning Robot



# Reinforcement Learning

- “Stumpy” receives a *reward* after each action
  - Did it move forward or not?
- After each move, updates its *policy*
- Continues trying to maximise its reward

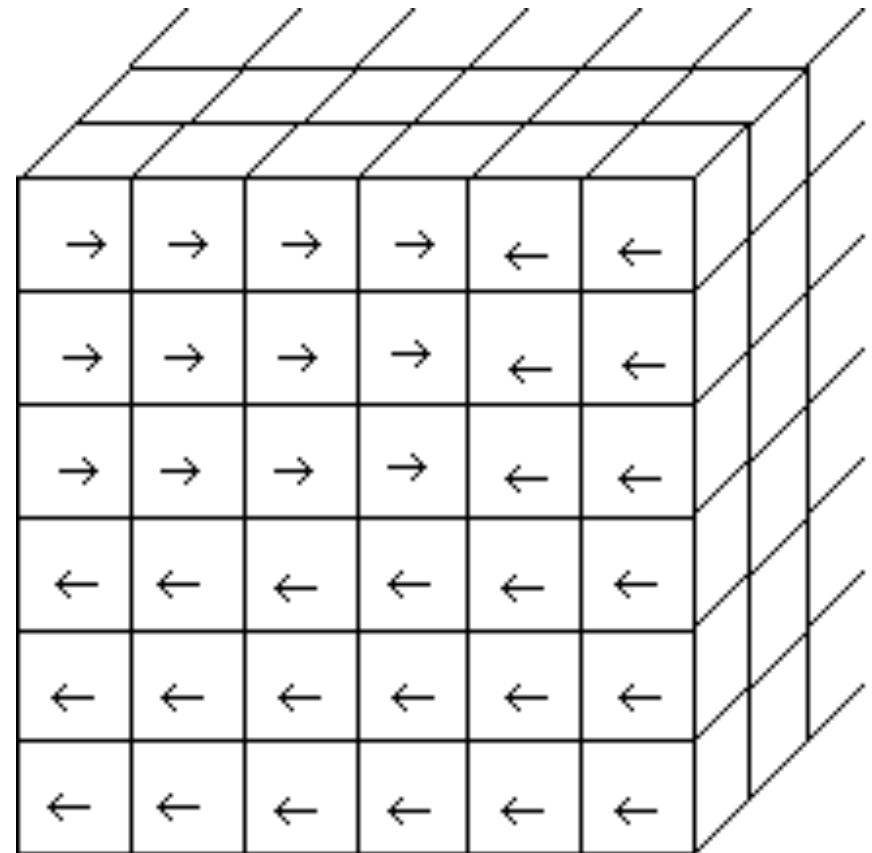
# Pole Balancing



- Pole balancing can be learned the same way except that reward is only received at the end
  - after falling or hitting the end of the track

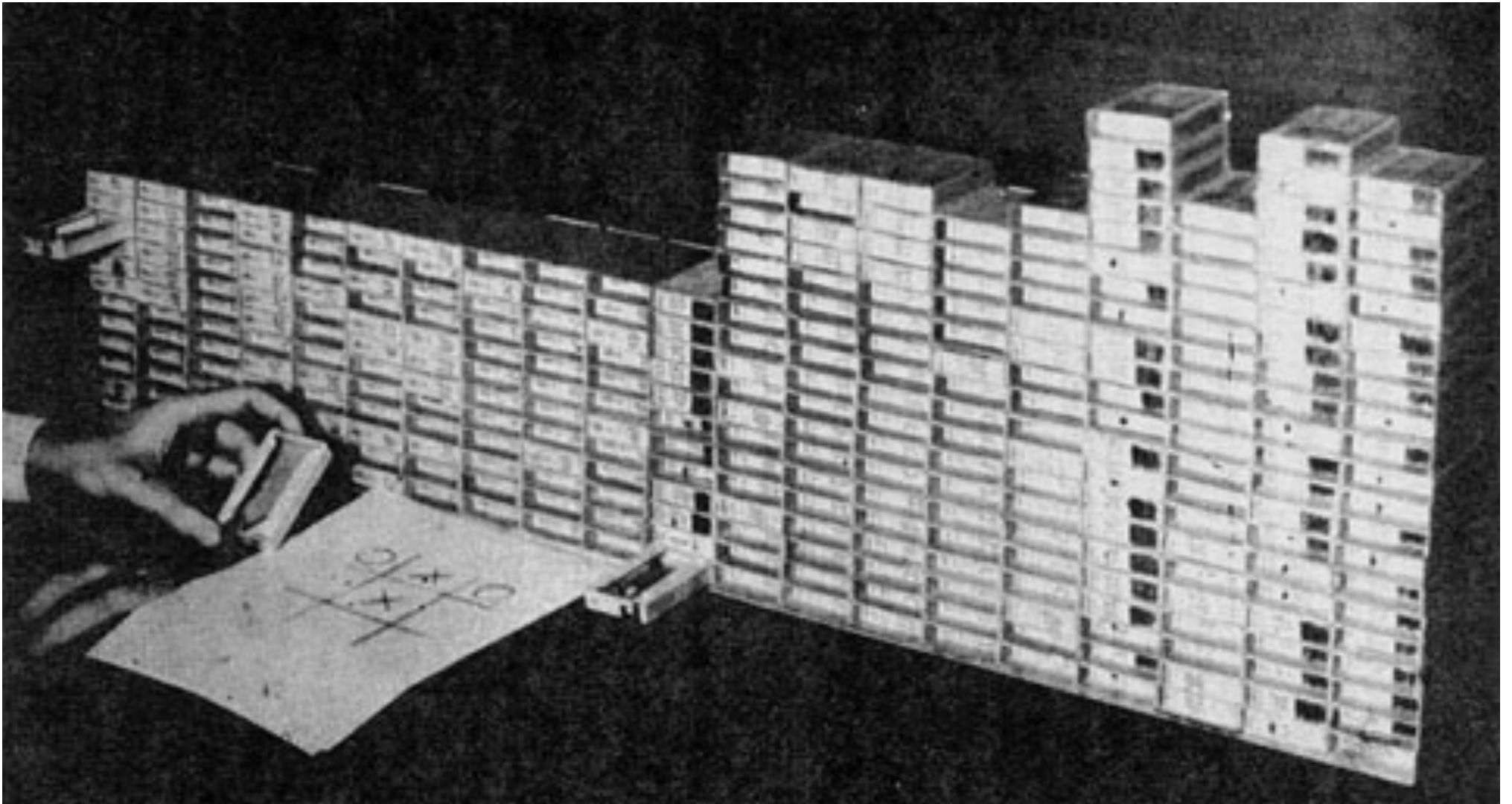
# Boxes

- State space is discretised
- Each “box” represents a subset of state space
- When system lands in a box, execute action specified
  - left push
  - right push



# MENACE

(Machine Educable Noughts and Crosses Engine – D.Michie, 1961)



# Simulation

$$x_{t+l} = x_t + \tau \dot{x}_t$$

$$\dot{x}_{t+l} = \dot{x}_t + \tau \ddot{x}_t$$

$$\theta_{t+l} = \theta_t + \tau \dot{\theta}_t$$

$$\dot{\theta}_{t+l} = \dot{\theta}_t + \tau \ddot{\theta}_t$$

$$\ddot{x}_t = \frac{F_t + m_p l [\dot{\theta}^2 \sin \theta_t - \ddot{\theta}_t \cos \theta_t]}{m_c + m_p}$$

$$\ddot{\theta}_t = \frac{g \sin \theta_t + \cos \theta_t \left[ \frac{-F_t - m_p l \dot{\theta}_t^2 \sin \theta_t}{m_c + m_p} \right]}{l \left[ \frac{4}{3} - \frac{m_p \cos^2 \theta_t}{m_c + m_p} \right]}$$

# Parameters

$m_c = 1.0 \text{ kg}$     *mass of cart*

$m_p = 1.0 \text{ kg}$     *mass of pole*

$l = 0.5 \text{ m}$     *distance of centre of mass of pole from the pivot*

$g = 9.8 \text{ ms}^{-2}$     *acceleration due to gravity*

$F_t = \pm 10 \text{ N}$     *force applied to cart*

$t = 0.02 \text{ s}$     *time interval of simulation*



# The BOXES Algorithm

- Each box contains statistics on performance of controller, which are updated after each failure
  - How many times each action has been performed (*usage*)
  - The sum of lengths of time the system has survived after taking a particular action (*LifeTime*)
- Each sum is weighted by a number less than one which places a discount on earlier experience.

# Update Rule

**if** an action has not been tested

choose that action

**else if**  $\frac{LeftLife}{LeftUsage^k} > \frac{RightLife}{RightUsage^k}$

choose left

**else**

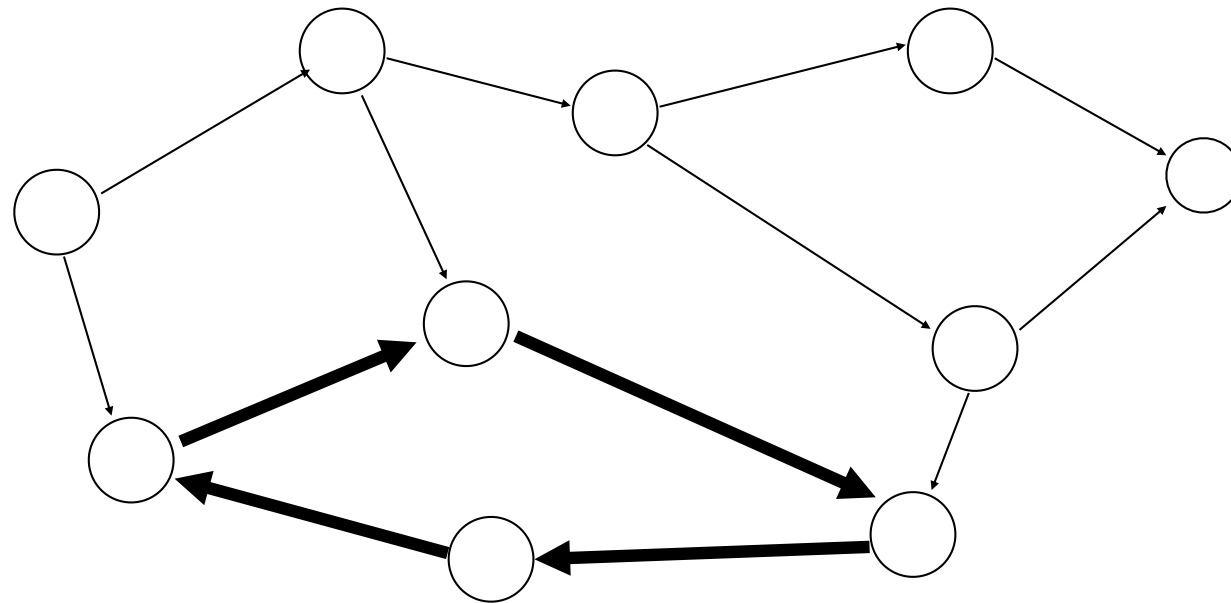
choose right

$k$  is a bias to force exploration  
e.g.  $k = 1.4$

# Performance

- BOXES is *much* faster than genetic algorithm
  - Only 75 trials, on average, to reach 10,000 time steps
- But only works for *episodic* problems
  - i.e. has a specific termination
- Doesn't work for continuous problems like Stumpy

# State Transition Graph



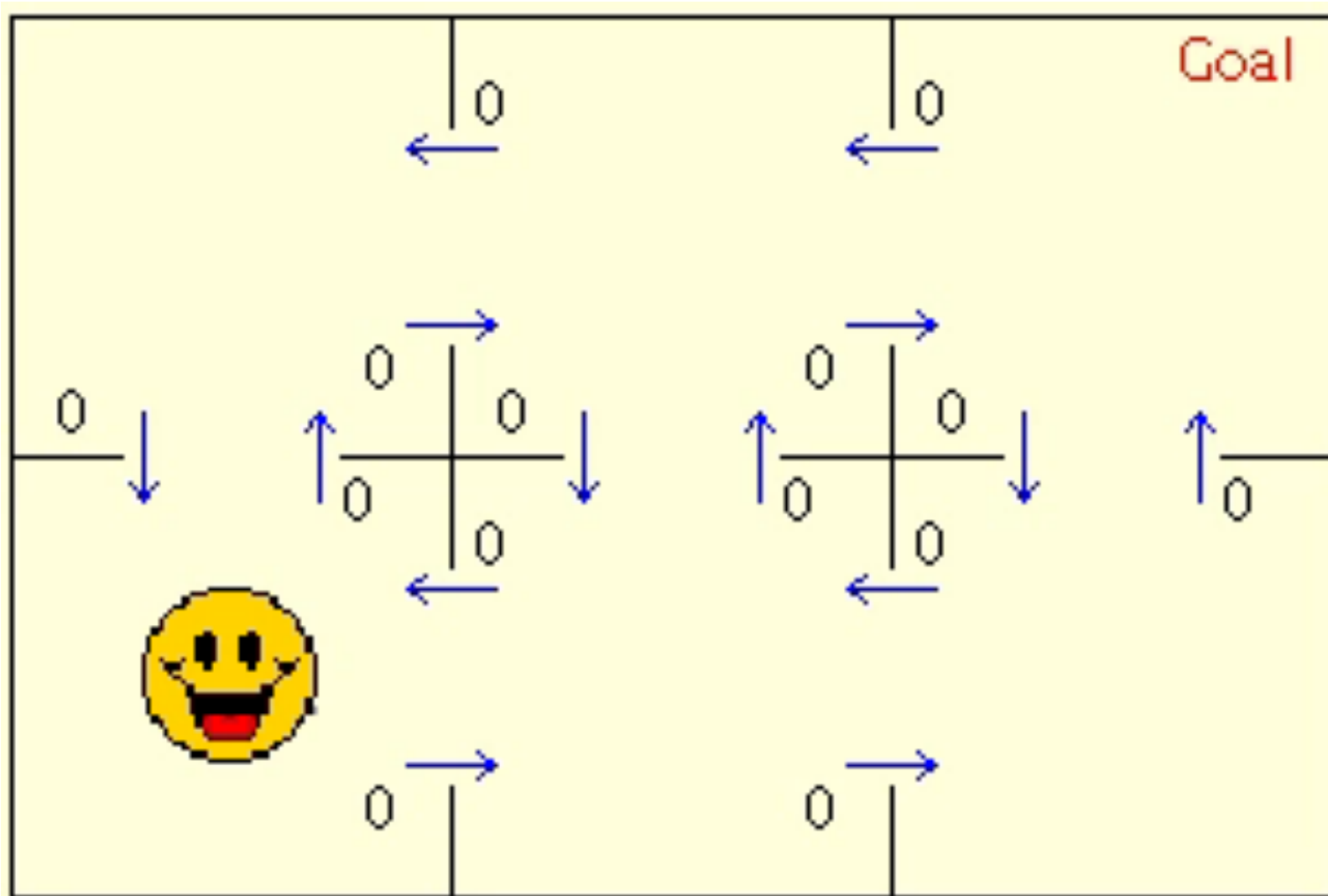
# States and Actions

- Each node is a *state*
- *Actions* cause transitions from one state to another
- A *policy* is the set of transition rules
  - i.e. which action to apply in a given state
- Agent receives a *reward* after each action
- Actions may be non-deterministic
  - Same action may not always produce same state

# Markov Decision Process (MDP)

- Assume that current state has all the information needed to decide which action to take

# Grid World Example



# Expected Reward

- Try to maximise expected future reward:

$$\begin{aligned} V^\pi(s_t) &= r_t + \gamma r_{t+1} + \gamma^2 r_{t+2} + \dots \\ &= \sum_{i=0}^{\infty} \gamma^i r_{t+i} \end{aligned}$$

- $V$  is the value of state  $S$  under policy  $\pi$
- $\gamma$  is a discount factor (0..1)



# Q Function

- How to choose an action in a state?

$$Q(s,a) = r(s,a) + \gamma V^*(\delta(s,a))$$

- The Q value for an action,  $a$ , in a state,  $s$ , is the immediate reward for the action plus the discounted value of following the optimal policy after that
- $V^*$  is value obtained by following the optimal policy
- $\delta(s,a)$  is the succeeding state, assuming the optimal policy

# Q Learning

initialise  $Q(s,a)=0$  for all  $s$  and  $a$

observe current state  $s$

repeat

    select an action  $a$  and execute it

    observe immediate reward  $r$  and next state  $s'$

$$Q(s,a) \leftarrow r + \max_{a'} Q(s',a')$$

$$s \leftarrow s'$$

# Exploration vs Exploitation

- How do you choose an action?
  - Random
  - Pick the current “best” action
  - Combination:
    - most of the time pick the best action
    - occasionally throw in random action

# Background

- Reinforcement learning is based in earlier work in optimisation: dynamic programming
- Text book: Sutton & Barto

# Reinforcement Learning Variants

- There are *many* variations on reinforcement learning to improve search.
- RL was one of the components of alphaGo and AlphaZero, which is now the best Chess and Go player in the world
- Used to learn helicopter aerobatics