

# COMP4418: Knowledge Representation and Reasoning

## Nonmonotonic Reasoning

Maurice Pagnucco

School of Computer Science and Engineering  
The University of New South Wales  
Sydney, NSW, 2052

Thursday 1 October, 2020

# Nonmonotonic Reasoning

- Suppose you are told “Tweety is a bird”
- What conclusions would you draw?
- Now, consider being further informed that “Tweety is an emu”
- What conclusions would you draw now? Do they differ from the conclusions that you would draw without this information? In what way(s)?
- Nonmonotonic reasoning is an attempt to capture a form of *commonsense* reasoning



- 1 Nonmonotonicity
- 2 Closed World Assumption
- 3 Predicate Completion
- 4 Circumscription
- 5 Default Logic
- 6 Nonmonotonic Consequence
  - KLM Systems

# Nonmonotonic Reasoning

- In classical logic the more facts (premises) we have, the more conclusions we can draw
- This property is known as *Monotonicity*

$$\text{If } \Delta \subseteq \Gamma, \text{ then } Cn(\Delta) \subseteq Cn(\Gamma)$$

(where  $Cn$  denotes classical consequence)

- However, the previous example shows that we often do not reason in this manner
- Might a nonmonotonic logic—one that does not satisfy the Monotonicity property—provide a more effective way of reasoning?

# Why Nonmonotonicity?

- Problems with the classical approach to consequence
  - It is usually not possible to write down all we would like to say about a domain
  - Inferences in classical logic simply make implicit knowledge explicit; we would also like to reason with tentative statements
  - Sometimes we would like to represent knowledge about something that is not *entirely* true or false; uncertain knowledge
- Nonmonotonic reasoning is concerned with getting around these shortcomings

# Makinson's Classification

Makinson has suggested the following classification of nonmonotonic logics:

- Additional background assumptions
- Restricting the set of valuations
- Additional rules

David Makinson, *Bridges from Classical to Nonmonotonic Logic*, Texts in Computing, Volume 5, King's College Publications, 2005.

# Nonmonotonicity

- Classical logic satisfies the following property
- Monotonicity: If  $\Delta \subseteq \Gamma$ , then  $Cn(\Delta) \subseteq Cn(\Gamma)$   
(equivalently,  $\Gamma \vdash \phi$  implies  $\Gamma \cup \Delta \vdash \phi$ )
- However, we often draw conclusions based on ‘what is normally the case’ or ‘true by default’
- More information can lead us to retract previous conclusions
- We shall adopt the following notation
  - $\vdash$  classical consequence relation
  - $\vdash\sim$  nonmonotonic consequence relation

# Consequence Operation $Cn$

Other properties of consequence operation  $Cn$ :

**Inclusion**  $\Delta \subseteq Cn(\Delta)$

**Cumulative Transitivity**  $\Delta \subseteq \Gamma \subseteq Cn(\Delta)$  implies  $Cn(\Gamma) \subseteq Cn(\Delta)$

**Compactness** If  $\phi \in Cn(\Delta)$  then there is a finite  $\Delta' \subseteq \Delta$  such that  $\phi \in Cn(\Delta')$

**Disjunction in the Premises**

$$Cn(\Delta \cup \{a\}) \cap Cn(\Delta \cup \{b\}) \subseteq Cn(\Delta \cup \{a \vee b\})$$

**Note:**  $\Delta \vdash \phi$  iff  $\phi \in Cn(\Delta)$

alternatively:  $Cn(\Delta) = \{\phi : \Delta \vdash \phi\}$



# Example

Suppose I tell you 'Tweety is a bird'

You might conclude 'Tweety flies'

I then tell you 'Tweety is an emu'

You conclude 'Tweety does not fly'

---

$bird(Tweety) \vdash flies(Tweety)$

$bird(Tweety) \wedge emu(Tweety) \vdash \neg flies(Tweety)$

# The Closed World Assumption

- A *complete* theory is one in which for every ground atom in the language, either the atom or its negation appears in the theory
- The *closed world assumption* (CWA) completes a base (non-closed) set of formulae by including the negation of a ground atom whenever the atom does not follow from the base
- In other words, if we have no evidence as to the truth of (ground atom)  $P$ , we assume that it is false
- Given a base set of formulae  $\Delta$  we first calculate the *assumption set*

$$\neg P \in \Delta_{asm} \text{ iff for ground atom } P, \Delta \not\vdash P$$
- $CWA(\Delta) = Cn\{\Delta \cup \Delta_{asm}\}$

## Example

$$\Delta = \{P(a), P(b), P(a) \rightarrow Q(a)\}$$

$$\Delta_{asm} = \{\neg Q(b)\}$$

**Theorem:** The CWA applied to a consistent set of formulae  $\Delta$  is inconsistent iff there are positive ground literals  $L_1, \dots, L_n$  such that  $\Delta \models L_1 \vee \dots \vee L_n$  but  $\Delta \not\models L_i$  for  $i = 1, \dots, n$ .

- Note that in the example above we limited our attention to the object constants that appeared in  $\Delta$  however the language could contain other constants. This is known as the *Domain Closure Assumption* (DCA)

- Another common assumption is the *Unique-Names Assumption* (UNA).

*If two ground terms can't be proved equal, assume that they are not.*

# Predicate Completion

**Idea:** The only objects that satisfy a predicate are those that must

- For example, suppose we have  $P(a)$ . Can view this as

$$\forall x. x = a \rightarrow P(x)$$

the *if*-half of a definition

- Can add the *only if* part:

$$\forall x. P(x) \rightarrow x = a$$

- Giving:

$$\forall x. P(x) \leftrightarrow x = a$$

# Predicate Completion

- **Definition:** A clause is *solitary* in a predicate  $P$  if whenever the clause contains a positive instance of  $P$ , it contains only one instance of  $P$ .
  - For example,  $Q(a) \vee P(a) \vee \neg P(b)$  is not solitary in  $P$   
 $Q(a) \vee R(a) \vee P(b)$  is solitary in  $P$
- Completion of a predicate is only defined for sets of clauses solitary in that predicate

# Predicate Completion

- Each clause can be written:

$$\forall y. Q_1 \wedge \dots \wedge Q_m \rightarrow P(t) \quad (P \text{ not contained in } Q_i)$$

$$\forall y. \forall x. (x = t) \wedge Q_1 \wedge \dots \wedge Q_m \rightarrow P(x)$$

$$\forall x. (\forall y. (x = t) \wedge Q_1 \wedge \dots \wedge Q_m \rightarrow P(x)) \quad (\text{normal form of clause})$$

- Doing this to every clause gives us a set of clauses of the form:

$$\forall x. E_1 \rightarrow P(x)$$

...

$$\forall x. E_n \rightarrow P(x)$$

- Grouping these together we get:

$$\forall x. E_1 \vee \dots \vee E_n \rightarrow P(x)$$

- Completion becomes:  $\forall x. P(x) \leftrightarrow E_1 \vee \dots \vee E_n$   
and we can add this to the original set of formulae

# Example

- Suppose  $\Delta = \{\forall x. \text{Emu}(x) \rightarrow \text{Bird}(x),$   
 $\text{Bird}(\text{Tweety}),$   
 $\neg \text{Emu}(\text{Tweety})\}$

- We can write this as

$$\forall x. (\text{Emu}(x) \vee x = \text{Tweety}) \rightarrow \text{Bird}(x)$$

- Predicate completion of  $P$  in  $\Delta$  becomes

$$\Delta \cup \{\forall x. \text{Bird}(x) \rightarrow \text{Emu}(x) \vee x = \text{Tweety}\}$$

# Circumscription

- **Idea:** Make extension of predicate as small as possible

- Example:

$$\forall x. Bird(x) \wedge \neg Ab(x) \rightarrow Flies(x)$$

$$Bird(Tweety), \quad Bird(Sam), \quad Tweety \neq Sam, \\ \neg Flies(Sam)$$

- Want to be able to conclude  $Flies(Tweety)$  but  $\neg Flies(Sam)$
- Accept interpretations where  $Ab$  predicate is as “small” as possible
- That is, we *minimise abnormality*



# Circumscription

- Given interpretations  $\mathbf{I}_1 = \langle D, I_1 \rangle$ ,  $\mathbf{I}_2 = \langle D, I_2 \rangle$ ,  $\mathbf{I}_1 \leq \mathbf{I}_2$  iff for every predicate  $P \in \mathbf{P}$ ,  $I_1[P] \subseteq I_2[P]$ .
- $\Gamma \models_{circ} \phi$  iff for every interpretation  $\mathbf{I}$  such that  $\mathbf{I} \models \Gamma$ , either  $\mathbf{I} \models \phi$  or there is a  $\mathbf{I}' < \mathbf{I}$  and  $\mathbf{I}' \models \Gamma$ .
- $\phi$  is true in all minimal models
- Now consider
$$\forall x. Bird(x) \wedge \neg Ab(x) \rightarrow Flies(x)$$
$$\forall x. Emu(x) \rightarrow Bird(x) \wedge \neg Flies(x)$$
$$Bird(Tweety)$$

## Reiter's Default Logic (1980)

- Add default rules of the form  $\frac{\alpha:\beta}{\gamma}$ 
  - “If  $\alpha$  can be proven and consistent to assume  $\beta$ , then conclude  $\gamma$ ”
- Often consider *normal* default rules  $\frac{\alpha:\beta}{\beta}$
- Example:  $\frac{bird(x):flies(x)}{flies(x)}$
- Default theory  $\langle D, W \rangle$ 
  - $D$  – set of defaults;  $W$  – set of facts
- *Extension* of default theory contains as many default conclusions as possible and must be consistent (and is closed under classical consequence  $Cn$ )
- Concluding whether formula  $\phi$  follows from  $\langle D, W \rangle$ 
  - Sceptical inference:  $\phi$  occurs in *every* extension of  $\langle D, W \rangle$
  - Credulous inference:  $\phi$  occurs in *some* extension of  $\langle D, W \rangle$

# Examples

- $W = \{\}; D = \{\frac{p}{\neg p}\}$  – no extensions
- $W = \{p \vee r\}; D = \{\frac{p:q}{q}, \frac{r:q}{q}\}$  – one extension  $\{p \vee r\}$
- $W = \{p \vee q\}; D = \{\frac{p}{\neg p}, \frac{q}{\neg q}\}$  – two extensions  
 $\{\neg p, p \vee q\}, \{\neg q, p \vee q\}$
- $W = \{emu(Tweety), \forall x.emu(x) \rightarrow bird(x)\};$   
 $D = \{\frac{bird(x):flies(x)}{flies(x)}\}$  – one extension
- What if we add  $\frac{emu(x):\neg flies(x)}{\neg flies(x)}$ ?
- Poole (1988) achieves a similar effect (but not quite as general) by changing the way the underlying logic is used rather than introducing a new element into the syntax

# Default Theories—Properties

**Observation:** Every normal default theory (default rules are all normal) has an extension

**Observation:** If a normal default theory has several extensions, they are mutually inconsistent

**Observation:** A default theory has an inconsistent extension iff  $D$  is inconsistent

**Theorem:** (Semi-monotonicity)

Given two normal default theories  $\langle D, W \rangle$  and  $\langle D', W \rangle$  such that  $D \subseteq D'$  then, for any extension  $\mathcal{E}(D, W)$  there is an extension  $\mathcal{E}(D', W)$  where  $\mathcal{E}(D, W) \subseteq \mathcal{E}(D', W)$

(The addition of normal default rules does not lead to the retraction of consequences.)

# Nonmonotonic Consequence

- Abstract study and analysis of nonmonotonic consequence relation  $\vdash$  in terms of general properties Kraus, Lehmann and Magidor (1991)
- Some common properties include:
  - Supraclassicality** If  $\phi \vdash \psi$ , then  $\phi \vdash \psi$
  - Left Logical Equivalence** If  $\vdash \phi \leftrightarrow \psi$  and  $\phi \vdash \chi$ , then  $\psi \vdash \chi$
  - Right Weakening** If  $\vdash \psi \rightarrow \chi$  and  $\phi \vdash \psi$ , then  $\phi \vdash \chi$
  - And** If  $\phi \vdash \psi$  and  $\phi \vdash \chi$ , then  $\phi \vdash \psi \wedge \chi$
- Plus many more!



# Summary

- Nonmonotonic reasoning attempts to capture a form of commonsense reasoning
- Nonmonotonic reasoning often deals with inferences based on defaults or ‘what is usually the case’
- Belief change and nonmonotonic reasoning: two sides of the same coin?
- Can introduce abstract study of nonmonotonic consequence relations in same way as we study classical consequence relations
- Similar links exist with conditionals
- One area where nonmonotonic reasoning is important is reasoning about action (dynamic systems)