

Tractable Reasoning with Limited Belief

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Computational Aspects of Reasoning

- Good news:

$\mathbf{OKB} \models \alpha$ reduces to $\mathbf{KB} \models \phi_1, \dots, \mathbf{KB} \models \phi_k$ (Representation Theorem)

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First-order case: $\text{KB} \models \phi$ is only semidecidable

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Propositional case: $\mathbf{KB} \models \phi$ is intractable (or $P = NP$)

- ▶ $\mathbf{KB} \models \phi$ is co-NP-complete
- ▶ co-NP contains all problems whose complement is in NP

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But: The underlying logic would be hopelessly complex.
2. We could restrict the expressivity of our representation language.
 - ▶ Horn logic
 - ▶ Description logicsBut: Humans can deal with very complex representations.

Logical Omniscience

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- Inconsistent knowledge implies knowing everything (incl. nonsense)

$$\text{E.g., } \models \mathbf{K}(p \wedge \neg p) \rightarrow \mathbf{K}q$$

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This is different from restricting expressiveness:

- Horn logic, description logics restrict the *language*
- Limited belief restricts the *semantics* (mainly)

Overview of the Lecture

- **Limited Belief – First Attempt**
- Limited Belief – Second Attempt
- Data structures and algorithms for ASP solvers

Limited Belief — First Attempt

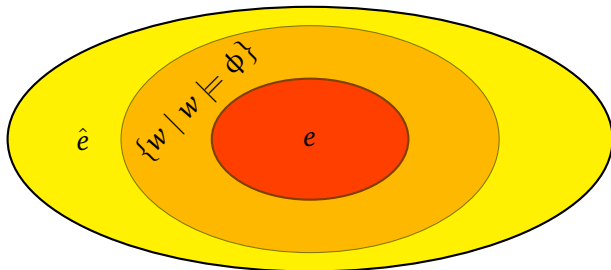
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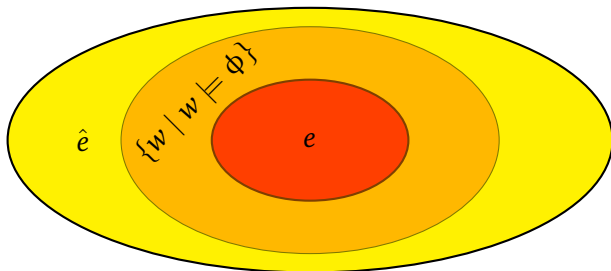


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Simplification: propositional logic for now, no nested \mathbf{O} , \mathbf{K} .

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We will define *true support* and *false support*.

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An **epistemic state** e is a set of multi-valued worlds.

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$\mathbf{OKB} \approx \mathbf{K}\alpha \iff \text{for all } e, v, e, v \models_{\mathbf{T}} \mathbf{OKB} \Rightarrow e, v \models_{\mathbf{T}} \mathbf{K}\alpha$

Examples

Let $e \models_{\mathbf{T}} \mathbf{O}((p \vee q \vee r) \wedge (p \vee q \vee \neg r))$.

- $e = \{v \mid v \models_{\mathbf{T}} (p \vee q \vee r) \wedge (p \vee q \vee \neg r)\}$

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So $\mathbf{O}((p \vee q \vee r) \wedge (p \vee q \vee \neg r))$ really doesn't entail much...

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- Inconsistent knowledge does not imply knowing everything

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Let p_1, \dots, p_n be the propositions in KB and ϕ .

$$\mathbf{KB} \models \phi \iff \mathbf{O}(\mathbf{KB} \wedge \underbrace{\bigwedge_i (p_i \vee \neg p_i)}_{\text{prevent "conflicting information"}}) \approx \mathbf{K}(\phi \vee \underbrace{\bigvee_i (p_i \wedge \neg p_i)}_{\text{ignore "never heard of" worlds}})$$

Complexity (2)

Good news: Reasoning gets very easy when KB and ϕ are in CNF.

Theorem: decision procedure for CNF KB, ϕ

Let $\text{KB} \stackrel{\text{def}}{=} c_1 \wedge \dots \wedge c_m$ and $\phi \stackrel{\text{def}}{=} d_1 \wedge \dots \wedge d_n$ for clauses c_i, d_j .

$\mathbf{OKB} \models \mathbf{K}\phi$ is decidable in $\mathcal{O}(m \cdot n)$.

$\mathbf{OKB} \models \mathbf{K}\phi \iff$ for every d_j , there is a c_i with $c_i \subseteq d_j$.

Ex.: $\mathbf{O}((p \vee \neg q) \wedge q) \models \mathbf{K}(p \vee \neg q \vee r)$ since $\{p, \neg q\} \subseteq \{p, \neg q, r\}$.

$\mathbf{O}((p \vee \neg q) \wedge q) \not\models \mathbf{K}p$ since $\{p, \neg q\} \not\subseteq \{p\}$, $\{q\} \not\subseteq \{p\}$.

Proof on paper.

The First-Order Case

Generalise to first-order \mathcal{OL} (function symbols aside):

- Predicates: $P(t_1, \dots, t_j)$ where t_i is variable or standard name
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Generalise the true and false support semantics to this language:

Definition: multi-valued world, first-order case

$P(\vec{n})$ is **primitive** iff all n_i are standard names.

A **multi-valued world** v is a function from the primitive atomic formulas to $\{\{\}, \{0\}, \{1\}, \{0, 1\}\}$.

- $e, v \models_{\mathbf{T}} \exists x \alpha \iff e, v \models_{\mathbf{T}} \alpha_n^x$ for some standard name n
- $e, v \models_{\mathbf{F}} \exists x \alpha \iff e, v \models_{\mathbf{F}} \alpha_n^x$ for every standard name n

Complexity in the First-Order Case

Bad news: Too complex.

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$\mathbf{KB} \models \phi \iff$

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Overview of the Lecture

- Limited Belief – First Attempt
- **Limited Belief – Second Attempt**
- Data structures and algorithms for ASP solvers

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What went wrong in the First Attempt?

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Simplification: propositional logic for now, no nested \mathbf{O} , \mathbf{K} .

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- If $P(n) \in \text{KB}$ and $\forall x (P(x) \rightarrow Q(x)) \in \text{KB}$, then $\mathbf{OKB} \models \mathbf{K}_0 Q(n)$

Hard Inferences

What should not count as explicit belief?

Things that are not obvious (requires to consider different cases).

For example, only one of the following KBs entails $\exists x(P(x) \wedge Q(x))$:

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$P(a) \vee P(e) \vee P(f)$	$P(a) \vee Q(e) \vee Q(c)$
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Let $w \models P(a) \wedge P(d) \wedge Q(b) \wedge Q(c)$.

Then $w \models \text{KB}_1$ but $w \not\models \exists x(P(x) \wedge Q(x))$.

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Let $w \models \text{KB}_2$.

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Then $w \models \exists x(P(x) \wedge Q(x))$.

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- At level 1, we can split cases for r :
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Ex.: Let $\text{KB} \stackrel{\text{def}}{=} ((p \vee r) \wedge (q \vee \neg r))$.

- At level 0, we do not know $(p \vee q)$
- At level 1, we can split cases for r :
 - ▶ $\text{KB} \wedge r \implies q \implies (p \vee q)$
 - ▶ $\text{KB} \wedge \neg r \implies p \implies (p \vee q)$

Semantic representation: set of clauses instead of set of worlds

- Set of worlds \approx disjunction of conjunctions (DNF)
- Set of clauses \approx conjunction of disjunctions (CNF)
- CNF is often more compact than DNF

Setups, Unit Propagation, Subsumption

- Identify clause $l_1 \vee \dots \vee l_j$ with $\{l_1, \dots, l_j\}$
- We write \bar{l} to flip the sign of l , e.g., \bar{p} is $\neg p$, and $\overline{\neg p}$ is p
- Recall: empty clause is unsatisfiable

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- Recall: empty clause is unsatisfiable

Definition: unit propagation, subsumption, setup

A **setup** s is a (possibly infinite) set of ground clauses.

Unit propagation infers $c \setminus \{\bar{l}\}$ from c and l .

Subsumption infers $c \cup d$ from c .

$UP(s)$ closes s under unit propagation.

$UP^+(s)$ adds subsumed clauses.

$UP^-(s)$ removes subsumed clauses.

Examples

Ex.: $c_1 = (p \vee q \vee r)$, $c_2 = (p \vee q \vee \neg r)$

- $UP(\{c_1, c_2\}) = \{c_1, c_2\}$
- $UP(\{c_1, c_2, r\}) = \{c_1, c_2, r, (p \vee q)\}$
- $UP(\{c_1, c_2, \neg r\}) = \{c_1, c_2, \neg r, (p \vee q)\}$
- $UP^+(\{c_1, c_2, \neg r\}) = \{c_1, c_2, \neg r, (p \vee q)\} \cup \{c \mid c \supseteq \neg r \text{ or } c \supseteq (p \vee q)\}$
- $UP^-(\{c_1, c_2, \neg r\}) = \{\neg r, (p \vee q)\}$

Examples

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- $UP^-(\{c_1, c_2, \neg r\}) = \{\neg r, (p \vee q)\}$

Unit propagation = forward chaining

$UP(s)$ can be computed in linear time (if s is finite).

Semantics of Limited Belief

Definition: semantics of limited belief

- $s \models c \iff c \in \text{UP}^+(s)$ if c is a clause
- $s \models (\alpha \vee \beta) \iff s \models \alpha \text{ or } s \models \beta$ if $(\alpha \vee \beta)$ is not a clause

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- $s \models \neg\neg\alpha \iff s \models \alpha$
- $s \models \mathbf{K}_0\phi \iff s$ is *obviously inconsistent* or $s \models \phi$
- $s \models \mathbf{K}_{k+1}\phi \iff$ for some atomic proposition P ,
 - (1) $s \cup \{P\} \models \mathbf{K}_k\phi$ and
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s is *obviously inconsistent* when $\text{UP}(s)$ contains the empty clause.

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- $s \models \mathbf{O}\phi \iff s \models \phi$ and $s' \not\models \phi$ for all s' with $\text{UP}^+(s') \subsetneq \text{UP}^+(s)$

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Examples

Let $s \models \mathbf{O}((p \vee q \vee r) \wedge (p \vee q \vee \neg r))$.

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- $\text{UP}^+(s) = \text{UP}^+(\{(p \vee q \vee r), (p \vee q \vee \neg r)\})$
- $s \models \mathbf{K}_0(p \vee q)$

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\iff for some atom P , (1) and (2) succeed:

(1) $s \cup \{P\} \models \mathbf{K}_0(p \vee q)$

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Let $s \models \mathbf{O}((p \vee q \vee r) \wedge (p \vee q \vee \neg r))$.

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because UP infers $(p \vee q)$ from $(p \vee q \vee \neg r)$ and r

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But what about $\neg \mathbf{K}_1 \neg p$? And $\neg \mathbf{K}_2 \neg p$? And so on?

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\mathbf{K}_k is incomplete (see first example).

So how to find out with certainty that p is unknown?

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So how to find out with certainty that p is unknown?

Need a dual operator to $\mathbf{K}_k \phi$, call it $\mathbf{M}_k \phi$, to say that ϕ is possible.

Semantics of Limited Belief (2)

The semantics of unlimited $\mathbf{M}\alpha$ in \mathcal{OL} is:

Definition: semantics \mathbf{M}

- $e, w \models \mathbf{M}\alpha \iff$ for some w , $w \in e$ and $e, w \models \alpha$

Note: $e, w \models \mathbf{M}\alpha \iff e, w \models \neg\mathbf{K}\neg\alpha$

Definition: semantics \mathbf{M}_k

- $s \approx \mathbf{M}_0\phi \iff$ s is *obviously consistent* and $s \approx \phi$
- $s \approx \mathbf{M}_{k+1}\phi \iff$ for some literal L , $s \cup \{L\} \approx \mathbf{M}_k\phi$
- $s \approx \neg\mathbf{M}_k\phi \iff s \not\approx \mathbf{M}_k\phi$

s is *obviously consistent* when $\text{UP}^-(s)$ does not contain the empty clause and does not contain any clauses that contain complementary literals ($c_1, c_2 \in \text{UP}^-(s)$, $P \in c_1$, $\neg P \in c_2$ for some P)

Examples

Let $s \models \mathbf{O}((p \vee q \vee r) \wedge (p \vee q \vee \neg r))$.

- $\text{UP}^+(s) = \text{UP}^+(\{(p \vee q \vee r), (p \vee q \vee \neg r)\})$
- $s \not\models \mathbf{K}_0(p \vee q)$ ✗
- $s \models \mathbf{K}_1(p \vee q)$ ✓
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\iff s is obv. consistent and $s \models p$

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⇔ s is obv. consistent and $s \models p$

⇔ s is obv. consistent and $p \in UP^+(s)$ ✗

because s is not obv. consistent (r occurs pos. and neg. in $UP^-(s)$)
and also $p \notin UP^+(s)$

Examples

Let $s \models \mathbf{O}((p \vee q \vee r) \wedge (p \vee q \vee \neg r))$.

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↔ for some atom P , $s \cup \{P\} \models \mathbf{M}_0 p$

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⇔ for some atom P , $s \cup \{P\} \models \mathbf{M}_0 p$

⇔ $s \cup \{P\}$ is obv. consistent and $s \cup \{P\} \models p$

Examples

Let $s \models \mathbf{O}((p \vee q \vee r) \wedge (p \vee q \vee \neg r))$.

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■ $s \models \mathbf{M}_1 p$

⇐ $s \cup \{p\} \models \mathbf{M}_0 p$

⇔ $s \cup \{p\}$ is obv. consistent and $s \cup \{p\} \models p$ ✓
because s is obv. consistent ($UP^-(s \cup \{p\}) = \{p\}$)
and $p \in UP^+(s \cup \{p\})$

Examples

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- $s \not\models \mathbf{M}_0 \neg p$ ✗

Examples

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- $s \not\models \mathbf{M}_0 p$ ✗
- $s \models \mathbf{M}_1 p$ ✓
- $s \not\models \mathbf{M}_0 \neg p$ ✗
- $s \not\models \mathbf{M}_1 \neg p$ ✗
- $s \models \mathbf{M}_2 \neg p$ ✓

Some Properties

Theorem: monotonicity

$$\models \mathbf{K}_k \phi \rightarrow \mathbf{K}_{k+1} \phi.$$

$$\models \mathbf{M}_k \phi \rightarrow \mathbf{M}_{k+1} \phi.$$

Definition: proper⁺ KB

A KB is proper⁺ when it is a conjunction of clauses (CNF).

Let KB be proper⁺ of the form $c_1 \wedge \dots \wedge c_j$.

Theorem: unique-model property

$$s \models \mathbf{OKB} \iff \text{UP}^+(s) = \text{UP}^+(\{c_1, \dots, c_j\}).$$

Some Properties (2)

Let KB be proper⁺.

Theorem: soundness

$$\mathbf{OKB} \approx \mathbf{K}_k \phi \implies \mathbf{OKB} \models \mathbf{K} \phi.$$

$$\mathbf{OKB} \approx \mathbf{M}_k \phi \implies \mathbf{OKB} \models \mathbf{M} \phi.$$

Theorem: eventual completeness

$$\mathbf{OKB} \models \mathbf{K} \phi \implies \mathbf{OKB} \approx \mathbf{K}_k \phi \text{ for large enough } k.$$

$$\mathbf{OKB} \models \mathbf{M} \phi \implies \mathbf{OKB} \approx \mathbf{M}_k \phi \text{ for large enough } k.$$

Theorem: complexity

$\mathbf{OKB} \models \mathbf{K} \phi$ and $\mathbf{OKB} \models \mathbf{M} \phi$ is tractable for small k :

$$\mathcal{O}(2^k \cdot (|\mathbf{KB}| + |\phi|)^{k+3}).$$

Generalisation of the Logic of Limited Belief

■ Introspection

- ▶ Extend semantics to keep track of original setup without splits
- ▶ Representation theorem translates to limited belief

■ First-order logic

- ▶ $s \models \exists x \phi \iff s \models \phi_n^x$ for some n
- ▶ Proper⁺ means CNF without \exists , i.e., $\forall \vec{x} \bigwedge_i c_i$

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Theorem: decidability

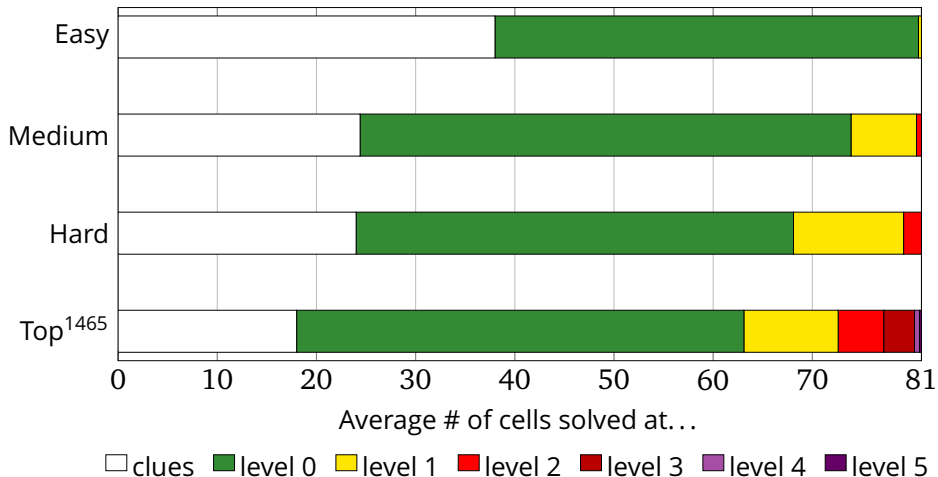
$$\mathbf{OKB} \models \sigma \text{ is decidable.}$$

Does Limited Belief Work?

Experiment: Sudoku

- Fill 9×9 board with numbers $1, \dots, 9$ such that no identical numbers in rows, columns, 3×3 blocks
- Has a unique solution
- Difficulty depends on how many and which clues we get
 - ▶ Newspaper: easy (≈ 38 clues), medium (≈ 24 clues), hard (≈ 24 clues)
 - ▶ Top 1465: extremely difficult (18 clues, proven minimum is 17)
- Question: do belief level and difficulty correlate?

Sudoku with Limited Belief



Overview of the Lecture

- Limited Belief – First Attempt
- Limited Belief – Second Attempt
- **Implementation Techniques**

Implementation of a Solver

- Same techniques can be used for
 - ▶ Propositional Satisfiability
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 - ▶ Limited Belief: case splits, subsumption
- Data structures and algorithms:
 - ▶ Davis-Putnam-Logemann-Loveland (DPLL) algorithm
 - ▶ Watched-Literal Scheme
 - ▶ Conflict-Driven Clause Learning (CDCL)

While SAT is NP-complete for propositional logic and for ASP, modern solvers can solve large instances (millions of variables).

DPLL Algorithm

Definition: DPLL algorithm

A literal ℓ is **assigned** in s iff $\ell \in s$ or $\bar{\ell} \in s$.

Input: set of clauses s

Output: 1 iff s is satisfiable in propositional logic

DPLL(s) procedure:

1. If s contains the empty clause, return 0
2. If all literals are assigned in s , return 1
3. Select some unassigned literal ℓ
4. Return $\min\{\text{DPLL}(\text{UP}(s \cup \{\ell\})), \text{DPLL}(\text{UP}(s \cup \{\bar{\ell}\}))\}$

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DPLL is sound and complete for SAT in propositional logic.

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How to select literal? Prefer ones that trigger UP

Watched-Literal Scheme

- DPLL uses backtracking:
 1. Add ℓ to s , close under unit propagation
 2. (recursive calls)
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Watched-Literal Scheme: Observation

Let s be a set of clauses which is closed under unit propagation. Let $c \in s$ with $|c| \geq 2$. If c contains at least two unassigned literals, select two of them as *watched* literals; otherwise select two of them randomly. When we add a new literal ℓ to s , then unit propagation of c with all the unit clauses in s together with ℓ produces a new unit clause *only if* $\bar{\ell}$ is one of the watched literals.

Why?

- Case 1: Suppose there are two unassigned literals in c that are not assigned initially. Then the watched literals ℓ_1, ℓ_2 are unassigned. Suppose unit propagation of c with all the unit clauses in s together with ℓ produces a new unit clause c' . Then $|c'| = 1 < |c|$, so either $\ell_1 \notin c'$ or $\ell_2 \notin c'$. Since ℓ_1, ℓ_2 were not assigned before adding ℓ , either ℓ_1 or ℓ_2 must be $\bar{\ell}$.
- Case 2: There are no two unassigned literals in c . Then there is at most one unassigned literal ℓ_1 in c , in which case we have ℓ_1 already as a unit clause in s .

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1. Push ℓ onto s .
2. If $\bar{\ell} \in s$, mark s as inconsistent and return.
3. For every $c \in s$ with $|c| \geq 2$, check if $\bar{\ell}$ is watched.
If yes, propagate the unit clauses from s with c to infer c' .
If $|c'| = 0$, mark s as inconsistent.
If $|c'| = 1$, add c' to s (i.e., recursive call to **AddUnit**(c')).
If $|c'| > 1$, select literals from c' as new watched literals for c .

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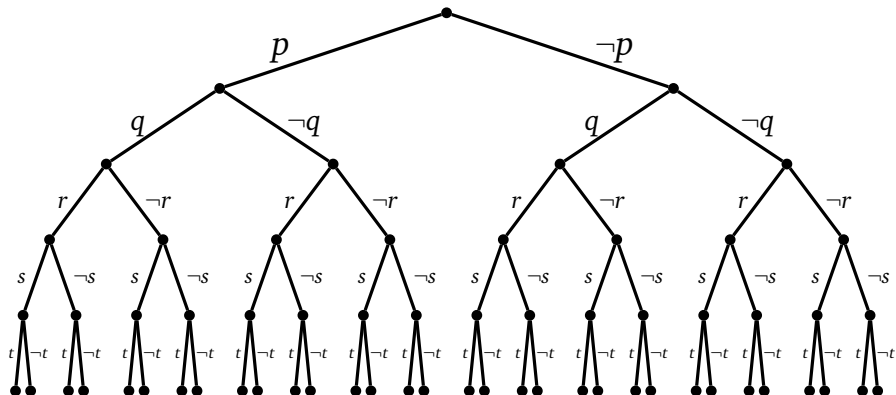
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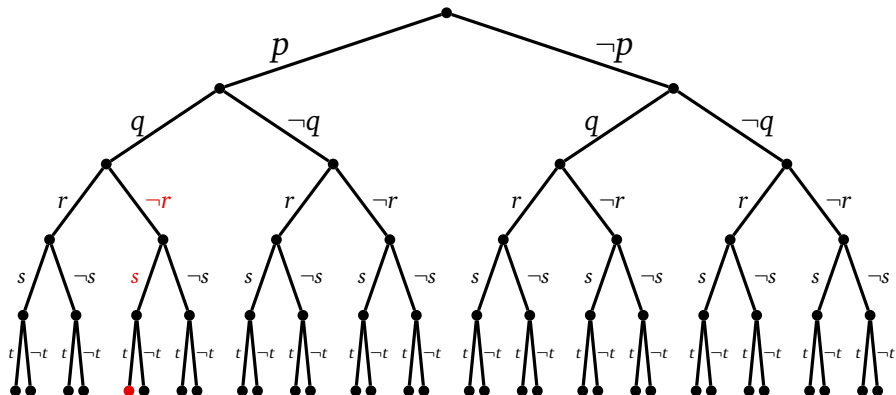
Backtrack procedure:

1. Store $n := |s|$
2. (recursive calls)
3. Pop from s until $|s| = n$

Conflict-Driven Clause Learning

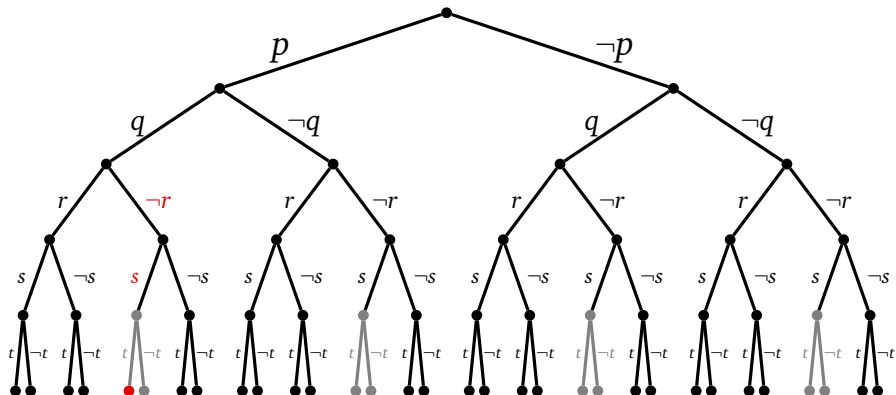


Conflict-Driven Clause Learning



Conflict caused by $\neg r$ and s ! Add conflict clause $(r \vee \neg s)$.

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Implicitly prunes future subtrees.

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DPLL + CDCL is sound and complete for SAT in propositional logic.

Example on paper