COMP3153/9153
Algorithmic Verification

Lecture 1: Course Introduction, Logics and Automata
I would like to acknowledge and pay my respect to the Bedegal people who are the Traditional Custodians of the land on which UNSW is built, and of Elders past and present.
I am Dr Paul Hunter. My research is on graph theory, algorithms, and formal verification.

- PhD Thesis: *Complexity and Infinite Games*
- Recent(ish) papers:
  - *Expressive completeness of MTL* (2013),
  - *When is MTL expressively complete?* (2013)

Gerald Huang will be taking tutorials.

Dr Liam O’Connor, Dr Rob van Glabbeek, and A/Prof. Peter Höfner are the former lecturers for this course.
Contacting Us

http://www.cse.unsw.edu.au/~cs3153

Forum

There is an ed forum available on the website. Questions about course content should typically be made there. You can ask us private questions to avoid spoiling solutions to other students.

Administrative questions should be sent to paul.hunter@unsw.edu.au.
Hardware Bugs: 1994 FDIV Bug

4195835
3145727 =

Missing entries in a hardware lookup table lead to 3-5 million defective floating point units. Consequences: Intel image badly damaged $450$ million to replace FPUs.
Hardware Bugs: 1994 FDIV Bug

\[
\frac{4195835}{3145727} = 1.33370
\]

Missing entries in a hardware lookup table lead to 3-5 million defective floating point units.

**Consequences:**

- Intel image badly damaged
- $450$ million to replace FPUs.
Software Bugs: Asiana 777 Crash in 2014

Airline Blames Bad Software in San Francisco Crash

The New York Times
Software Bugs: Therac-25 (1980s)

- Radiation therapy machine.
- Two operation modes: high and low energy.
- Only supposed to use high energy mode with a shield.
Software Bugs: Therac-25 (1980s)

- Radiation therapy machine.
- Two operation modes: high and low energy.
- Only supposed to use high energy mode with a shield.
- Bug caused high energy mode to be used without shield.
- At least five patients died and many more exposed to high levels of radiation.
Software Bugs: Toyota Prius (2005)

- Sudden stalling at highway speeds.
- Bug triggered "fail-safe" mode (heh).
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- Sudden stalling at highway speeds.
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**Consequences:**
- 75000 cars recalled.
- Cost unknown... but high.

- Reuse of software from Ariane 4
- Overflow converting from 64 bit to 16 bit unsigned integers.

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- Overflow converting from 64 bit to 16 bit unsigned integers.

Consequences:
- Rocket exploded after 37 seconds.
- US$370 million cost

- Alarm went unnoticed.
- Bug in alarm system, probably due to a race condition.

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Consequences:
- Total power failure for 7 hours, some areas up to 2 days.
- 55 million people affected
- More than US$6 billion cost
Tesla Recall (Feb 2022)

- Self-driving software would roll through stop signs.
- “Feature” enabled in certain circumstances (30 mph zone, no cars or pedestrians detected)
- Cars will drive through stop signs at up to 6 mph
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- Self-driving software would roll through stop signs.
- “Feature” enabled in certain circumstances (30 mph zone, no cars or pedestrians detected)
- Cars will drive through stop signs at up to 6 mph

**Consequences:**
- 54,000 vehicles recalled
- Cost: Have you bought a car recently?
Verification

Ensuring that software or hardware satisfies requirements.
Verification

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Requirements are:

- That it does what it’s supposed to (morally, liveness)
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- That it doesn’t do what it’s not supposed to (morally, safety)
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Requirements are:

- That it does what it’s supposed to (morally, liveness)
- That it doesn’t do what it’s not supposed to (morally, safety)

We’ll get to more precise definitions later.
Does a program satisfy requirements?

We could try testing, but it’s not exhaustive.
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*Program testing can be used to show the presence of bugs, but never to show their absence!*

Edsger W. Dijkstra (1970) "Notes On Structured Programming" (EWD249)
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We want a rigorous and exhaustive method of verification.
Formal Verification

Source Code
in a PL Syntax

Requirements
in English
Formal Verification

Formal Model

Formal Semantics

\[
\cdot
\]

(\text{COMP3161/9164})

Source Code

in a PL Syntax

Requirements

in English
Formal Verification

Formal Model

Source Code
in a PL Syntax

Formal Semantics
(COMP3161/9164)

Requirements
in Logic

Requirements
in English

Formalisation
Formal Verification

\[ J \cdot K \overset{\text{mathematically}}{\Rightarrow} \]

Formal Model

Formal Semantics (COMP3161/9164)

Source Code in a PL Syntax

Requirements in Logic

Requirements in English

Formalisation
# Methods of Formal Verification

<table>
<thead>
<tr>
<th>Method</th>
<th>Automation</th>
<th>Speed</th>
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<th>Courses</th>
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The twin foci of this course: **Model Checking** and **Static Analysis**.
Model Checking

Introduced independently by Clarke, Emerson and Sistla (1980) and Queille and Sifakis (1980). Turing Award 2007

Formal Model

Some kind of finite automata.
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Requirements

Specify dynamic requirements with a temporal logic (Pnueli 1977 - Turing Award 1996).

By dynamic we mean a property of the program’s executions.
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Formal Model

Some kind of finite automata.

Requirements

Specify dynamic requirements with a temporal logic (Pnueli 1977 - Turing Award 1996).

By dynamic we mean a property of the program’s executions.

Model checkers work by exhaustively checking the state space of the program against requirements.

Any foreseeable problems with that?
State space explosion

Imagine a program with a 100 integer variables $\in [0, 9]$. 
State space explosion

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- \(10^{100}\) possible states.
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Concurrency/nondeterminism also exhibits this problem. How many states are there for a program with $n$ processes consisting of $m$ steps each?
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<th>5</th>
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<tr>
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<td>90</td>
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<td>113400</td>
<td>$2^{22.8}$</td>
</tr>
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<td>4</td>
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<td>34650</td>
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<tr>
<td>5</td>
<td>252</td>
<td>$2^{19.5}$</td>
<td>233.4</td>
<td>249.1</td>
<td>266.2</td>
</tr>
<tr>
<td>6</td>
<td>924</td>
<td>$2^{24.0}$</td>
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<td>260.2</td>
<td>281.1</td>
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State space explosion

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- \( 10^{100} \) possible states.
- Number of atoms in the universe: \( 10^{78} \).

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\[
\frac{(nm)!}{m!n}
\]
State Space Explosion

There are many techniques to make model checking a more tractable problem, such as symbolic and bounded model checking, SAT-based techniques, and abstraction/refinement. We will examine these techniques throughout the course.

Tools

- SPIN, an explicit LTL model checker used for protocols, which uses heuristics to control state space.
- nuSMV, a symbolic model checker using binary decision diagrams.
- SLAM and CBMC, which are SAT-based tools using bounded model checking.
Static Analysis

Check *static* invariants about programs, about data or control flow.
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Check static invariants about programs, about data or control flow.

Example (Static Invariants)

No NULL-pointer dereferences, no array out-of-bound accesses.
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Example (Static Invariants)

No NULL-pointer dereferences, no array out-of-bound accesses.

Based on the abstract interpretation technique of Cousot and Cousot (1977). We’ll look at this around Week 6, but:

Key Idea

Abstract from specific values to classes of values, increasing the non-determinism of the program but making it easier to analyse possible effects of the program.

Tools: ASTREE, Absint, Coverity, Grammatech, Polyspace, PVS-Studio, Goanna etc. etc.
## Course schedule

A (very) tentative course schedule, subject to change:

<table>
<thead>
<tr>
<th>Week</th>
<th>Topic</th>
<th>Assignment Details</th>
</tr>
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<tbody>
<tr>
<td>1</td>
<td>Background, logic, automata</td>
<td>Assmt 1 released</td>
</tr>
<tr>
<td>2</td>
<td>Model checking, Safety and Liveness</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Tool: Spin</td>
<td>Assmt 1 due</td>
</tr>
<tr>
<td>4</td>
<td>Simulation &amp; Bisimulation</td>
<td>Assmt 2 released</td>
</tr>
<tr>
<td>5</td>
<td>Static analysis, Tool: Skink</td>
<td>Assmt 2 due</td>
</tr>
<tr>
<td>6</td>
<td>Flexibility week</td>
<td>Assmt 3 released</td>
</tr>
<tr>
<td>7</td>
<td>Symbolic Model Checking</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Binary Decision Diagrams</td>
<td>Assmt 3 due</td>
</tr>
<tr>
<td>9</td>
<td>Timed automata and languages</td>
<td>Assmt 4 released</td>
</tr>
<tr>
<td>10</td>
<td>Tool: Uppaal</td>
<td>Assmt 4 due</td>
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What do we expect?

**Maths**

This course uses a significant amount of *discrete mathematics*. You will need to be reasonably comfortable with *logic, set theory* and *induction*. MATH1081 ought to be sufficient for aptitude in these skills, but experience has shown this is not always true.
What do we expect?

**Maths**
This course uses a significant amount of *discrete mathematics*. You will need to be reasonably comfortable with *logic*, *set theory* and *induction*. MATH1081 ought to be sufficient for aptitude in these skills, but experience has shown this is not always true.

**Programming**
We expect you to be familiar with imperative programming languages like C. Course assignments may require some programming in modelling languages. Some self-study may be needed for these tools.
Assessment

There are four homework assignments for this course.

The final assessment is made up of your assignments plus the final exam, with equal weighting between all assignments and the exam.
Resources

Lecture Recordings

In previous years, no recordings were made available for this course. I will endeavour make them available this year, however their quality and availability is not guaranteed.

Lectures are intended to be an interactive experience – I will be delivering them in real-time.

The only way to ensure you have the best lecture experience for this course is to attend the lectures!
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Textbooks
This course follows more than one textbook. Each week’s slides will include a bibliography. A list of books is given in the course outline, all of the books listed are available from the library.
Logic

We typically state our requirements with a logic.
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**Definition**

A logic is a formal language designed to express logical reasoning. Like any formal language, logics have a syntax and semantics.
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**Example (Propositional Logic Syntax)**

- A set of atomic propositions \( \mathcal{P} = \{a, b, c, \ldots \} \)
- An inductively defined set of formulae:
  - Each \( p \in \mathcal{P} \) is a formula.
  - If \( P \) and \( Q \) are formulae, then \( P \land Q \) is a formula.
  - If \( P \) is a formula, then \( \neg P \) is a formula.

(Other connectives are just sugar for these, so we omit them)
### Semantics

Semantics are a mathematical representation of the meaning of a piece of syntax. There are many ways of giving a logic semantics, but we will use models.

**Example (Propositional Logic Semantics)**

A model for propositional logic is a valuation $V \subseteq P$, a set of "true" atomic propositions. We can extend a valuation over an entire formula, giving us a satisfaction relation:

- $V \models p \iff p \in V$
- $V \models \phi \land \psi \iff V \models \phi$ and $V \models \psi$
- $V \models \neg \phi \iff \overline{V} \models \phi$

We read $V \models \phi$ as $V$ "satisfies" $\phi$. 

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25
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Example (Propositional Logic Semantics)

A model for propositional logic is a valuation $\mathcal{V} \subseteq \mathcal{P}$, a set of “true” atomic propositions. We can extend a valuation over an entire formula, giving us a satisfaction relation:

\[
\begin{align*}
\mathcal{V} \models p & \iff p \in \mathcal{V} \\
\mathcal{V} \models \varphi \land \psi & \iff \mathcal{V} \models \varphi \text{ and } \mathcal{V} \models \psi \\
\mathcal{V} \models \neg \varphi & \iff \mathcal{V} \not\models \varphi
\end{align*}
\]

We read $\mathcal{V} \models \varphi$ as $\mathcal{V}$ “satisfies” $\varphi$. 
Automata

We will model our computations using finite automata.
Automata

We will model our computations using finite automata.

**Definition**

A finite automata (FA) is a quintuple \((Q, q_0, \Sigma, \delta, F)\) where:

- \(Q\) is a finite set of states.
- \(q_0 \in Q\) is the initial state.
- \(\Sigma\) is a finite set of actions called an alphabet.
- \(\delta\) is a transition relation \(Q \times \Sigma \rightarrow 2^Q\).
- \(F \subseteq Q\) is a set of final states.

A FA is called deterministic iff \(\delta\) is a function, i.e.

\[\forall (s, a) \in Q \times \Sigma. \ |\delta(s, a)| \leq 1\]

Example: binary strings ending with double zero
Automata

A run from an automata $A$ is a sequence of transitions:

$$q_0 \xrightarrow{a_1} q_1 \xrightarrow{a_2} \cdots \xrightarrow{a_{n-1}} q_{n-1} \xrightarrow{a_n} q_n$$

This run can also be written $q_0 \xrightarrow{a_1 a_2 \ldots a_n} q_n$ or, if we don’t care about the actions $q_0 \xrightarrow{\ast} q_n$. 
Automata

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This run can also be written $q_0 \xrightarrow{a_1a_2\ldots a_n} q_n$ or, if we don’t care about the actions $q_0 \xrightarrow{*} q_n$.

The language $\mathcal{L}(A)$ of an automata $A$ is all sequences of actions (words) whose runs end in the set of final states $F$:

$$\mathcal{L}(A) = \{ w \in \Sigma^* \mid q_0 \xrightarrow{w} q, q \in F \}$$
Non-determinism

Non-deterministic finite automata can be converted to deterministic finite automata, by using sets of NFA states as the set of states for the DFA (the subset construction).
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\(\varepsilon\)-transitions

We can enrich NFAs with transitions that do not have actions (or equivalently, transitions with the empty word \(\varepsilon\) as their action) without affecting expressiveness. Subset construction still works.
Non-determinism

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ε-transitions

We can enrich NFAs with transitions that do not have actions (or equivalently, transitions with the empty word ε as their action) without affecting expressiveness. Subset construction still works.

Thus,

$$\text{DFA} = \text{NFA} = \text{NFA}^\varepsilon$$
Modelling with Automata

What sort of runs can this automata produce?
Intersection of Languages

Problem
Let $A$ be a FA such that $\mathcal{L}(A)$ is the set of strings with an even number of $a$s.
Intersection of Languages

**Problem**

Let $A$ be a FA such that $\mathcal{L}(A)$ is the set of strings with an even number of $a$s. Let $B$ be a FA such that $\mathcal{L}(B)$ is the set of strings with an odd number of $b$s.
Intersection of Languages

Problem

Let $A$ be a FA such that $\mathcal{L}(A)$ is the set of strings with an even number of $a$s.
Let $B$ be a FA such that $\mathcal{L}(B)$ is the set of strings with an odd number of $b$s.
How can we combine $A$ and $B$ into a new automata $C$ such that $\mathcal{L}(C) = \mathcal{L}(A) \cap \mathcal{L}(B)$?

(try to come up with a general technique for any automata)
Intersection of Languages

**Problem**

Let $A$ be a FA such that $L(A)$ is the set of strings with an even number of $a$s. Let $B$ be a FA such that $L(B)$ is the set of strings with an odd number of $b$s.

How can we combine $A$ and $B$ into a new automata $C$ such that $L(C) = L(A) \cap L(B)$?

*(try to come up with a general technique for any automata)*

We need to create the **product** of two automata.
Automata Product

Definition

The product of two automata $A_1 = (Q_1, q_0^1, \Sigma_1, \delta_1, F_1)$ and $A_2 = (Q_2, q_0^2, \Sigma_2, \delta_2, F_2)$ is defined as: $(Q, q_0, \Sigma, \delta, F)$ where:
Automata Product

Definition

The **product** of two automata

\[ A_1 = (Q_1, q_0^1, \Sigma_1, \delta_1, F_1) \] and

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- \( Q = Q_1 \times Q_2 \)
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- \( \Sigma = \Sigma_1 \cup \Sigma_2 \)
Automata Product

Definition

The **product** of two automata

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is defined as: \((Q, q_0, \Sigma, \delta, F)\) where:

- \(Q = Q_1 \times Q_2\)
- \(q_0 = (q_0^1, q_0^2)\)
- \(\Sigma = \Sigma_1 \cup \Sigma_2\)
- \(\delta((q_1, q_2), a) = \)

\[
\begin{cases}
\{(q_1', q_2') \mid q_1' \in \delta_1(q_1, a), q_2' \in \delta_2(q_2, a)\} & \text{if } a \in \Sigma_1 \cap \Sigma_2 \\
\{(q_1', q_2) \mid q_1' \in \delta_1(q_1, a)\} & \text{if } a \in \Sigma_1 \setminus \Sigma_2 \\
\{(q_1, q_2') \mid q_2' \in \delta_2(q_2, a)\} & \text{if } a \in \Sigma_2 \setminus \Sigma_1
\end{cases}
\]
Automata Product

Definition

The product of two automata

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is defined as: \((Q, q_0, \Sigma, \delta, F)\) where:

- \(Q = Q_1 \times Q_2\)
- \(q_0 = (q_0^1, q_0^2)\)
- \(\Sigma = \Sigma_1 \cup \Sigma_2\)
- \(\delta((q_1, q_2), a) = \)
  \[
  \begin{cases}
  \{(q'_1, q'_2) | q'_1 \in \delta_1(q_1, a), q'_2 \in \delta_2(q_2, a)\} & \text{if } a \in \Sigma_1 \cap \Sigma_2 \\
  \{(q'_1, q_2) | q'_1 \in \delta_1(q_1, a)\} & \text{if } a \in \Sigma_1 \setminus \Sigma_2 \\
  \{(q_1, q'_2) | q'_2 \in \delta_2(q_2, a)\} & \text{if } a \in \Sigma_2 \setminus \Sigma_1
  \end{cases}
  \]
- \(F = F_1 \times F_2\)
Products can encode communication. Compute the product of these two processes.
Problem

Imagine we extended our notion of actions to allow automata to read or write from a finite set of **bounded** integer variables. Does this affect the expressivity of automata?
Integer Variables

Problem
Imagine we extended our notion of actions to allow automata to read or write from a finite set of bounded integer variables. Does this affect the expressivity of automata?

No. We can encode the integers as automata and use synchronisation.
Different tools offer broadcast or unicast communication. Check the manual!
Bibliography

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