

# 3. Branching Algorithms

## COMP6741: Parameterized and Exact Computation

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## 1 Introduction

## 2 Maximum Independent Set

- Simple Analysis
- Search Trees and Branching Numbers
- Measure Based Analysis
- Optimizing the measure
- Exponential Time Subroutines
- Structures that arise rarely
- State Based Measures

## 3 Max 2-CSP

## 4 Further Reading

## 1 Introduction

## 2 Maximum Independent Set

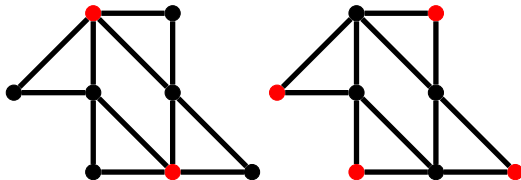
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# Recall: Maximal Independent Sets

- A vertex set  $S \subseteq V$  of a graph  $G = (V, E)$  is an **independent set** in  $G$  if there is no edge  $uv \in E$  with  $u, v \in S$ .
- An independent set is **maximal** if it is not a subset of any other independent set.
- Examples:

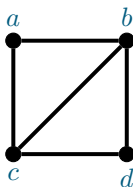


# Enumeration problem: Enumerate all maximal independent sets

ENUM-MIS

Input: graph  $G$

Output: all maximal independent sets of  $G$



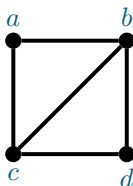
Maximal independent sets:  $\{a, d\}, \{b\}, \{c\}$

# Enumeration problem: Enumerate all maximal independent sets

ENUM-MIS

Input: graph  $G$

Output: all maximal independent sets of  $G$



Maximal independent sets:  $\{a, d\}, \{b\}, \{c\}$

**Note:** Let  $v$  be a vertex of a graph  $G$ . Every maximal independent set contains a vertex from  $N_G[v]$ .

# Branching Algorithm for ENUM-MIS

**Algorithm** `enum-mis`( $G, I$ )

**Input** : A graph  $G = (V, E)$ , an independent set  $I$  of  $G$ .

**Output**: All maximal independent sets of  $G$  that are supersets of  $I$ .

```
1  $G' \leftarrow G - N_G[I]$ 
2 if  $V(G') = \emptyset$  then                                //  $G'$  has no vertex
3   | Output  $I$ 
4 else
5   | Select  $v \in V(G')$  such that  $d_{G'}(v) = \delta(G')$  //  $v$  has min degree in  $G'$ 
6   | Run enum-mis( $G, I \cup \{u\}$ ) for each  $u \in N_{G'}[v]$ 
```

# Running Time Analysis

Let us upper bound by  $L(n) = 2^{\alpha n}$  the number of leaves in any search tree of **enum-mis** for an instance with  $|V(G')| \leq n$ .

We minimize  $\alpha$  (or  $2^\alpha$ ) subject to constraints obtained from the branching:

$$\begin{aligned} L(n) &\geq (d+1) \cdot L(n - (d+1)) && \text{for each integer } d \geq 0. \\ \Leftrightarrow 2^{\alpha n} &\geq d' \cdot 2^{\alpha \cdot (n-d')} && \text{for each integer } d' \geq 1. \\ \Leftrightarrow 1 &\geq d' \cdot 2^{\alpha \cdot (-d')} && \text{for each integer } d' \geq 1. \end{aligned}$$

For fixed  $d'$ , the smallest value for  $2^\alpha$  satisfying the constraint is  $d'^{1/d'}$ . The function  $f(x) = x^{1/x}$  has its maximum value for  $x = e$  and for integer  $x$  the maximum value of  $f(x)$  is when  $x = 3$ .

Therefore, the minimum value for  $2^\alpha$  for which all constraints hold is  $3^{1/3}$ . We can thus set  $L(n) = 3^{n/3}$ .



# Running Time Analysis II

Since the height of the search trees is  $\leq |V(G')|$ , we obtain:

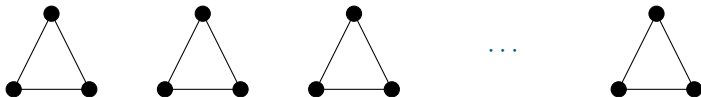
## Theorem 1

Algorithm **enum-mis** has running time  $O^*(3^{n/3}) \subseteq O(1.4423^n)$ , where  $n = |V|$ .

## Corollary 2

A graph on  $n$  vertices has  $O(3^{n/3})$  maximal independent sets.

# Running Time Lower Bound



## Theorem 3

*There is an infinite family of graphs with  $\Omega(3^{n/3})$  maximal independent sets.*

## Branching Algorithm

- **Selection**: Select a local configuration of the problem instance
  - **Recursion**: Recursively solve subinstances
  - **Combination**: Compute an optimal solution of the instance based on the optimal solutions of the subinstances
- 
- **Simplification** rule: 1 recursive call
  - **Branching** rule:  $\geq 2$  recursive calls

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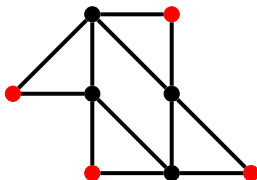
## 4 Further Reading

# MAXIMUM INDEPENDENT SET

## MAXIMUM INDEPENDENT SET

Input: graph  $G$

Output: A largest independent set of  $G$ .



# Branching Algorithm for MAXIMUM INDEPENDENT SET

**Algorithm**  $\text{mis}(G)$

**Input** : A graph  $G = (V, E)$ .

**Output**: The size of a maximum i.s. of  $G$ .

```
1 if  $\Delta(G) \leq 2$  then                                     //  $G$  has max degree  $\leq 2$ 
2   | return the size of a maximum i.s. of  $G$  in polynomial time
3 else if  $\exists v \in V : d(v) = 1$  then                       //  $v$  has degree 1
4   | return  $1 + \text{mis}(G - N[v])$ 
5 else if  $G$  is not connected then
6   | Let  $G_1$  be a connected component of  $G$ 
7   | return  $\text{mis}(G_1) + \text{mis}(G - V(G_1))$ 
8 else
9   | Select  $v \in V$  s.t.  $d(v) = \Delta(G)$                  //  $v$  has max degree
0   | return  $\max(1 + \text{mis}(G - N[v]), \text{mis}(G - v))$ 
```

Line 4:

## Lemma 4

*If  $v \in V$  has degree 1, then  $G$  has a maximum independent set  $I$  with  $v \in I$ .*

## Proof.

Let  $J$  be a maximum independent set of  $G$ .

If  $v \in J$  we are done because we can take  $I = J$ .

If  $v \notin J$ , then  $u \in J$ , where  $u$  is the neighbor of  $v$ , otherwise  $J$  would not be maximum.

Set  $I = (J \setminus \{u\}) \cup \{v\}$ . We have that  $I$  is an independent set, and, since  $|I| = |J|$ ,  $I$  is a maximum independent set containing  $v$ . □

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## Lemma 5 (Simple Analysis Lemma)

Let

- $A$  be a branching algorithm
- $\alpha > 0$ ,  $c \geq 0$  be constants

such that on input  $I$ ,  $A$  calls itself recursively on instances  $I_1, \dots, I_k$ , but, besides the recursive calls, uses time  $O(|I|^c)$ , such that

$$(\forall i : 1 \leq i \leq k) \quad |I_i| \leq |I| - 1, \text{ and} \quad (1)$$

$$2^{\alpha \cdot |I_1|} + \dots + 2^{\alpha \cdot |I_k|} \leq 2^{\alpha \cdot |I|}. \quad (2)$$

Then  $A$  solves any instance  $I$  in time  $O(|I|^{c+1}) \cdot 2^{\alpha \cdot |I|}$ .

# Simple Analysis II

## Proof.

By induction on  $|I|$ .

W.l.o.g., suppose the hypotheses'  $O$  statements hide a constant factor  $d \geq 0$ , and for the base case assume that the algorithm returns the solution to an empty instance in time  $d \leq d \cdot |I|^{c+1} 2^{\alpha \cdot |I|}$ .

Suppose the lemma holds for all instances of size at most  $|I| - 1 \geq 0$ , then the running time of algorithm  $A$  on instance  $I$  is

$$\begin{aligned} T_A(I) &\leq d \cdot |I|^c + \sum_{i=1}^k T_A(I_i) && \text{(by definition)} \\ &\leq d \cdot |I|^c + \sum d \cdot |I_i|^{c+1} 2^{\alpha \cdot |I_i|} && \text{(by the inductive hypothesis)} \\ &\leq d \cdot |I|^c + d \cdot (|I| - 1)^{c+1} \sum 2^{\alpha \cdot |I_i|} && \text{(by (1))} \\ &\leq d \cdot |I|^c + d \cdot (|I| - 1)^{c+1} 2^{\alpha \cdot |I|} && \text{(by (2))} \\ &\leq d \cdot |I|^{c+1} 2^{\alpha \cdot |I|}. \end{aligned}$$

The final inequality uses that  $\alpha \cdot |I| > 0$  and holds for any  $c \geq 0$ . □

# Simple Analysis for **mis**

- At each node of the search tree:  $O(n^2)$
- $G$  disconnected:
  - (1) If  $\alpha \cdot s < 1$ , then  $s < 1/\alpha$ , and the algorithm solves  $G_1$  in constant time (provided  $\alpha > 0$ , which we expect). We can view this rule as a simplification rule, getting rid of  $G_1$  and making one recursive call on  $G - V(G_1)$ .
  - (2) If  $\alpha \cdot (n - s) < 1$ : similar as (1).
  - (3) Otherwise,

$$(\forall s : 1/\alpha \leq s \leq n - 1/\alpha) \quad 2^{\alpha \cdot s} + 2^{\alpha \cdot (n-s)} \leq 2^{\alpha \cdot n}. \quad (3)$$

always satisfied since the function  $2^x$  has slope  $\geq 1$  when  $x \geq 1$ .

- Branch on vertex of degree  $d \geq 3$

$$(\forall d : 3 \leq d \leq n - 1) \quad 2^{\alpha \cdot (n-1)} + 2^{\alpha \cdot (n-1-d)} \leq 2^{\alpha n}. \quad (4)$$

Dividing all these terms by  $2^{\alpha n}$ , the constraints become

$$2^{-\alpha} + 2^{\alpha \cdot (-1-d)} \leq 1. \quad (5)$$

## Compute optimum $\alpha$

The minimum  $\alpha$  satisfying the constraints is obtained by solving a convex mathematical program minimizing  $\alpha$  subject to the constraints (the constraint for  $d = 3$  is sufficient as all other constraints are weaker).

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Alternatively, set  $x := 2^\alpha$ , compute the unique positive real root of each of the **characteristic polynomials**

$$c_d(x) := x^{-1} + x^{-1-d} - 1,$$

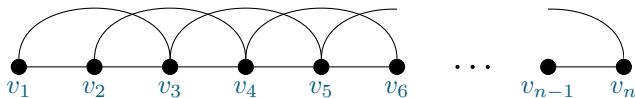
and take the maximum of these roots [Kullmann '99].

$d$	$x$	$\alpha$
3	1.3803	0.4650
4	1.3248	0.4057
5	1.2852	0.3620
6	1.2555	0.3282
7	1.2321	0.3011

# Simple Analysis: Result

- use the Simple Analysis Lemma with  $c = 2$  and  $\alpha = 0.464959$
- running time of Algorithm **mis** upper bounded by  $O(n^3) \cdot 2^{0.464959 \cdot n} = O(2^{0.4650 \cdot n})$  or  $O(1.3803^n)$

# Lower bound



$$T(n) = T(n - 5) + T(n - 3)$$

- for this graph,  $P_n^2$ , the worst case running time is  $1.1938\dots^n \cdot \text{poly}(n)$
- Run time of algo **mis** is  $\Omega(1.1938^n)$

## Mystery

What is the worst-case running time of Algorithm **mis**?

- lower bound  $\Omega(1.1938^n)$
- upper bound  $O(1.3803^n)$



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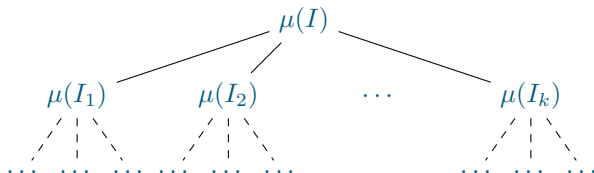
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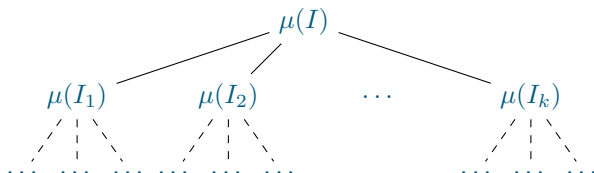
# Search Trees

Denote  $\mu(I) := \alpha \cdot |I|$ .

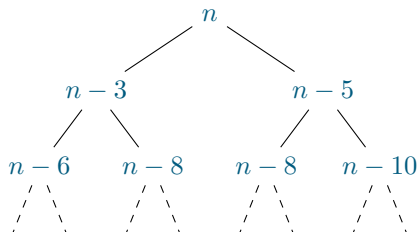


# Search Trees

Denote  $\mu(I) := \alpha \cdot |I|$ .



Example: execution of **mis** on a  $P_n^2$



# Branching number: Definition

Consider a constraint

$$2^{\mu(I)-a_1} + \dots + 2^{\mu(I)-a_k} \leq 2^{\mu(I)}.$$

Its **branching number** is

$$2^{-a_1} + \dots + 2^{-a_k},$$

and is denoted by

$$(a_1, \dots, a_k).$$

Clearly, any constraint with branching number at most 1 is satisfied.

# Branching numbers: Properties

**Dominance** For any  $a_i, b_i$  such that  $a_i \geq b_i$  for all  $i$ ,  $1 \leq i \leq k$ ,

$$(a_1, \dots, a_k) \leq (b_1, \dots, b_k),$$

as  $2^{-a_1} + \dots + 2^{-a_k} \leq 2^{-b_1} + \dots + 2^{-b_k}$ .

In particular, for any  $a, b > 0$ ,

$$\text{either } (a, a) \leq (a, b) \quad \text{or} \quad (b, b) \leq (a, b).$$

**Balance** If  $0 < a \leq b$ , then for any  $\varepsilon$  such that  $0 \leq \varepsilon \leq a$ ,

$$(a, b) \leq (a - \varepsilon, b + \varepsilon)$$

by convexity of  $2^x$ .

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- Goal
  - capture more structural changes when branching into subinstances
- How?
  - potential-function method, a.k.a., **Measure & Conquer**
- Example: Algorithm **mis**
  - advantage when degrees of vertices decrease

Instead of using the number of vertices,  $n$ , to track the progress of **mis**, let us use a measure  $\mu$  of  $G$ .

## Definition 6

A **measure**  $\mu$  for a problem  $P$  is a function from the set of all instances for  $P$  to the set of non negative reals.

Let us use the following measure for the analysis of **mis** on graphs of maximum degree at most 5:

$$\mu(G) = \sum_{i=0}^5 \omega_i n_i,$$

where  $n_i := |\{v \in V : d(v) = i\}|$ .



## Lemma 7 (Measure Analysis Lemma)

Let

- $A$  be a branching algorithm
- $c \geq 0$  be a constant, and
- $\mu(\cdot), \eta(\cdot)$  be two measures for the instances of  $A$ ,

such that on input  $I$ ,  $A$  calls itself recursively on instances  $I_1, \dots, I_k$ , but, besides the recursive calls, uses time  $O(\eta(I)^c)$ , such that

$$(\forall i) \quad \eta(I_i) \leq \eta(I) - 1, \text{ and} \quad (6)$$

$$2^{\mu(I_1)} + \dots + 2^{\mu(I_k)} \leq 2^{\mu(I)}. \quad (7)$$

Then  $A$  solves any instance  $I$  in time  $O(\eta(I)^{c+1}) \cdot 2^{\mu(I)}$ .

# Analysis of mis for degree at most 5

For  $\mu(G) = \sum_{i=0}^5 \omega_i n_i$  to be a valid measure, we constrain that

$$w_d \geq 0 \quad \text{for each } d \in \{0, \dots, 5\}$$

We also constrain that reducing the degree of a vertex does not increase the measure (useful for analysis of the degree-1 simplification rule and the branching rule):

$$-\omega_d + \omega_{d-1} \leq 0 \quad \text{for each } d \in \{1, \dots, 5\}$$

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$$-\omega_d + \omega_{d-1} \leq 0 \quad \text{for each } d \in \{1, \dots, 5\}$$

Lines 1–2 is a halting rule and we merely need that it takes polynomial time so that we can apply Lemma 7.

```
if  $\Delta(G) \leq 2$  then //  $G$  has max degree  $\leq 2$   
└ return the size of a maximum i.s. of  $G$  in polynomial time
```

## Analysis of mis for degree at most 5 (II)

Lines 3–4 of **mis** need to satisfy (7).

```
else if  $\exists v \in V : d(v) = 1$  then //  $v$  has degree 1
└ return 1 + mis( $G - N[v]$ )
```

The simplification rule removes  $v$  and its neighbor  $u$ .  
We get a constraint for each possible degree of  $u$ :

$$\begin{aligned} 2^{\mu(G) - \omega_1 - \omega_d} &\leq 2^{\mu(G)} && \text{for each } d \in \{1, \dots, 5\} \\ \Leftrightarrow 2^{-\omega_1 - \omega_d} &\leq 2^0 && \text{for each } d \in \{1, \dots, 5\} \\ \Leftrightarrow -\omega_1 - \omega_d &\leq 0 && \text{for each } d \in \{1, \dots, 5\} \end{aligned}$$

These constraints are always satisfied since  $\omega_d \geq 0$  for each  $d \in \{0, \dots, 5\}$ .

**Note:** the degrees of  $u$ 's other neighbors (if any) decrease, but this degree change does not increase the measure.

## Analysis of $\text{mis}$ for degree at most 5 (III)

For lines 5–7 of **mis** we consider two cases.

**else if**  $G$  is not connected **then**

```
┌ Let  $G_1$  be a connected component of  $G$   
└ return  $\text{mis}(G_1) + \text{mis}(G - V(G_1))$ 
```

If  $\mu(G_1) < 1$  (or  $\mu(G - V(G_1)) < 1$ , which is handled similarly), then we view this rule as a simplification rule, which takes polynomial time to compute  $\text{mis}(G_1)$ , and then makes a recursive call  $\text{mis}(G - V(G_1))$ . To ensure that instances with measure  $< 1$  can be solved in polynomial time, we constrain that

$$w_d > 0 \quad \text{for each } d \in \{3, 4, 5\}$$

and this will be implied by other constraints.

Otherwise,  $\mu(G_1) \geq 1$  and  $\mu(G - V(G_1)) \geq 1$ , and we need to satisfy (7).

Since  $\mu(G) = \mu(G_1) + \mu(G - V(G_1))$ , the constraints

$$2^{\mu(G_1)} + 2^{\mu(G - V(G_1))} \leq 2^{\mu(G)}$$

are always satisfied since the slope of the function  $2^x$  is at least 1 when  $x \geq 1$ . (I.e., we get no new constraints on  $\omega_1, \dots, \omega_5$ .)

# Analysis of mis for degree at most 5 (IV)

Lines 8–10 of **mis** need to satisfy (7).

**else**

```
Select  $v \in V$  s.t.  $d(v) = \Delta(G)$  //  $v$  has max degree  
return  $\max(1 + \text{mis}(G - N[v]), \text{mis}(G - v))$ 
```

We know that in  $G - N[v]$ , some vertex of  $N^2[v]$  has its degree decreased (unless  $G$  has at most 6 vertices, which can be solved in constant time). Define

$$(\forall d : 2 \leq d \leq 5) \quad h_d := \min_{2 \leq i \leq d} \{w_i - w_{i-1}\}$$

We obtain the following constraints:

$$\begin{aligned} 2^{\mu(G) - w_d - \sum_{i=2}^d p_i \cdot (w_i - w_{i-1})} + 2^{\mu(G) - w_d - \sum_{i=2}^d p_i \cdot w_i - h_d} &\leq 2^{\mu(G)} \\ \Leftrightarrow 2^{-w_d - \sum_{i=2}^d p_i \cdot (w_i - w_{i-1})} + 2^{-w_d - \sum_{i=2}^d p_i \cdot w_i - h_d} &\leq 1 \end{aligned}$$

for all  $d, 3 \leq d \leq 5$  (degree of  $v$ ), and all  $p_i, 2 \leq i \leq d$ , such that  $\sum_{i=2}^d p_i = d$  (number of neighbors of degree  $i$ ).

# Applying the lemma

Our constraints

$$w_d \geq 0$$

$$-\omega_d + \omega_{d-1} \leq 0$$

$$2^{-w_d - \sum_{i=2}^d p_i \cdot (w_i - w_{i-1})} + 2^{-w_d - \sum_{i=2}^d p_i \cdot w_i - h_d} \leq 1$$

are satisfied by the following values:

# Applying the lemma

Our constraints

$$\begin{aligned}w_d &\geq 0 \\-\omega_d + \omega_{d-1} &\leq 0 \\2^{-w_d - \sum_{i=2}^d p_i \cdot (w_i - w_{i-1})} + 2^{-w_d - \sum_{i=2}^d p_i \cdot w_i - h_d} &\leq 1\end{aligned}$$

are satisfied by the following values:

$i$	$w_i$	$h_i$
1	0	0
2	0.25	0.25
3	0.35	0.10
4	0.38	0.03
5	0.40	0.02

These values for  $w_i$  satisfy all the constraints and  $\mu(G) \leq 2n/5$  for any graph of max degree  $\leq 5$ .

Taking  $c = 2$  and  $\eta(G) = n$ , the Measure Analysis Lemma shows that **mis** has run time  $O(n^3)2^{2n/5} = O(1.3196^n)$  on graphs of max degree  $\leq 5$ .



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# Compute optimal weights

- By convex programming [Gaspers, Sorkin 2009]

All constraints are already convex, except conditions for  $h_d$

$$(\forall d : 2 \leq d \leq 5) \quad h_d := \min_{2 \leq i \leq d} \{w_i - w_{i-1}\}$$

$\Downarrow$

$$(\forall i, d : 2 \leq i \leq d \leq 5) \quad h_d \leq w_i - w_{i-1}.$$

Use existing convex programming solvers to find optimum weights.

# Convex program in AMPL

```
param maxd integer = 5;
set DEGREES := 0..maxd;
var W {DEGREES} >= 0; # weight for vertices according to their degrees
var g {DEGREES} >= 0; # weight for degree reductions from deg i
var h {DEGREES} >= 0; # weight for degree reductions from deg <= i
var Wmax; # maximum weight of W[d]

minimize Obj: Wmax; # minimize the maximum weight

subject to MaxWeight {d in DEGREES}:
    Wmax >= W[d];
subject to gNotation {d in DEGREES : 2 <= d}:
    g[d] <= W[d]-W[d-1];
subject to hNotation {d in DEGREES, i in DEGREES : 2 <= i <= d}:
    h[d] <= W[i]-W[i-1];
subject to Deg3 {p2 in 0..3, p3 in 0..3 : p2+p3=3}:
    2^(-W[3] - p2*g[2] - p3*g[3]) + 2^(-W[3] - p2*W[2] - p3*W[3] - h[3]) <=1;
subject to Deg4 {p2 in 0..4, p3 in 0..4, p4 in 0..4 : p2+p3+p4=4}:
    2^(-W[4] - p2*g[2] - p3*g[3] - p4*g[4])
+ 2^(-W[4] - p2*W[2] - p3*W[3] - p4*W[4] - h[4]) <=1;
subject to Deg5 {p2 in 0..5, p3 in 0..5, p4 in 0..5, p5 in 0..5 :
    p2+p3+p4+p5=5}:
    2^(-W[5] - p2*g[2] - p3*g[3] - p4*g[4] - p5*g[5])
+ 2^(-W[5] - p2*W[2] - p3*W[3] - p4*W[4] - p5*W[5] - h[5]) <=1;
```

# Convex program in Python I

```
from numpy import *
from FuncDesigner import oovar, oovars
from openopt import NLP # install from openopt.org

W = oovars(6)('W')
g = [0]+[W[i]-W[i-1] for i in range(1,6)]
h = oovars(6)('h')
Wmax = oovar('Wmax')

obj = Wmax
startPoint = {W:[1 for i in range(6)],
              h:[0 for i in range(6)],
              Wmax:1}
q = NLP(obj, startPoint)

for d in range(6): # positive vars
    q.constraints.append(W[d] >= 0)
for d in range(6): # Max Weight
    q.constraints.append(Wmax >= W[d])
for d in range(2,6): # h notation
    for i in range(2,d+1):
        q.constraints.append(h[d] <= W[i]-W[i-1])
p = [0 for x in range(6)]
for p[2] in range(4): # Deg 3
    p[3] = 3-p[2]
    q.constraints.append( 2*(-W[3]-sum([p[i]*g[i] for i in range(2,4)])))
```

# Convex program in Python II

```
                + 2**(-W[3]-sum([p[i]*W[i] for i in range(2,4)])-h[3])
                <=1)
for p[2] in range(5): # Deg 4
    for p[3] in range(5-p[2]):
        p[4] = 4-sum(p[2:4])
        q.constraints.append( 2**(-W[4]-sum([p[i]*g[i] for i in range(2,5)]))
                             + 2**(-W[4]-sum([p[i]*W[i] for i in range(2,5)])-h[4])
                             <=1)
for p[2] in range(6): # Deg 5
    for p[3] in range(6-p[2]):
        for p[4] in range(6-sum(p[2:4])):
            p[5] = 5-sum(p[2:5])
            q.constraints.append( 2**(-W[5]-sum([p[i]*g[i] for i in range(2,6)]))
                                 + 2**(-W[5]-sum([p[i]*W[i] for i in range(2,6)])-h[5])
                                 <=1)

q.ftol = 1e-10
q.xtol = 1e-10
r = q.solve('ralg') # use pyipopt for better performance
Wmax_opt = r(Wmax)
print(r.xf)
print("Running time: {0}^n".format(2**Wmax_opt))
```

$i$	$w_i$	$h_i$
1	0	0
2	0.206018	0.206018
3	0.324109	0.118091
4	0.356007	0.031898
5	0.358044	0.002037

- use the Measure Analysis Lemma with  $\mu(G) = \sum_{i=1}^5 w_i n_i \leq 0.358044 \cdot n$ ,  $c = 2$ , and  $\eta(G) = n$
- **mis** has running time  $O(n^3)2^{0.358044 \cdot n} = O(1.2817^n)$

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## 4 Further Reading

## Lemma 8 (Combine Analysis Lemma)

Let

- $A$  be a branching algorithm and  $B$  be an algorithm,
- $c \geq 0$  be a constant, and
- $\mu(\cdot), \mu'(\cdot), \eta(\cdot)$  be three measures for the instances of  $A$  and  $B$ ,

such that  $\mu'(I) \leq \mu(I)$  for all instances  $I$ , and on input  $I$ ,  $A$  either solves  $I$  by invoking  $B$  with running time  $O(\eta(I)^{c+1}) \cdot 2^{\mu'(I)}$ , or calls itself recursively on instances  $I_1, \dots, I_k$ , but, besides the recursive calls, uses time  $O(\eta(I)^c)$ , such that

$$(\forall i) \quad \eta(I_i) \leq \eta(I) - 1, \text{ and} \quad (8)$$

$$2^{\mu(I_1)} + \dots + 2^{\mu(I_k)} \leq 2^{\mu(I)}. \quad (9)$$

Then  $A$  solves any instance  $I$  in time  $O(\eta(I)^{c+1}) \cdot 2^{\mu(I)}$ .



# Algorithm **mis** on general graphs

- use the Combine Analysis Lemma with  $A = B = \mathbf{mis}$ ,  $c = 2$ ,  
 $\mu(G) = 0.35805n$ ,  $\mu'(G) = \sum_{i=1}^5 w_i n_i$ , and  $\eta(G) = n$
- for every instance  $G$ ,  $\mu'(G) \leq \mu(G)$  because  $\forall i, w_i \leq 0.35805$
- for each  $d \geq 6$ ,

$$(0.35805, (d + 1) \cdot 0.35805) \leq 1$$

- Thus, Algorithm **mis** has running time  $O(1.2817^n)$  for graphs of arbitrary degrees

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## 4 Further Reading

# Rare Configurations

- Branching on a local configuration  $C$  does not influence overall running time if  $C$  is selected only a constant number of times on the path from the root to a leaf of any search tree corresponding to the execution of the algorithm
- Can be proved formally by using measure

$$\mu'(I) := \begin{cases} \mu(I) + c & \text{if } C \text{ may be selected in the current subtree} \\ \mu(I) & \text{otherwise.} \end{cases}$$

# Avoid branching on regular instances in **mis**

```
else
  Select  $v \in V$  such that
    (1)  $v$  has maximum degree, and
    (2) among all vertices satisfying (1),  $v$  has a neighbor of
        minimum degree
  return  $\max(1 + \mathbf{mis}(G - N[v]), \mathbf{mis}(G - v))$ 
```

New measure:

$$\mu'(G) = \mu(G) + \sum_{d=3}^5 [G \text{ has a } d\text{-regular subgraph}] \cdot C_d$$

where  $C_d, 3 \leq d \leq 5$ , are constants.

The Iverson bracket  $[F] = \begin{cases} 1 & \text{if } F \text{ true} \\ 0 & \text{otherwise} \end{cases}$

# Resulting Branching numbers

For each  $d, 3 \leq d \leq 5$  and all  $p_i, 2 \leq i \leq d$  such that  $\sum_{i=2}^d p_i = d$  and  $p_d \neq d$ ,

$$\left( w_d + \sum_{i=2}^d p_i \cdot (w_i - w_{i-1}), w_d + \sum_{i=2}^d p_i \cdot w_i + h_d \right).$$

All these branching numbers are at most 1 with the optimal set of weights on the next slide

$i$	$w_i$	$h_i$
1	0	0
2	0.207137	0.207137
3	0.322203	0.115066
4	0.343587	0.021384
5	0.347974	0.004387

Thus, the modified Algorithm **mis** has running time  $O(2^{0.3480 \cdot n}) = O(1.2728^n)$ .

Current best algorithm for MIS:  $O(1.1996^n)$  [Xia, Nagamochi '13]

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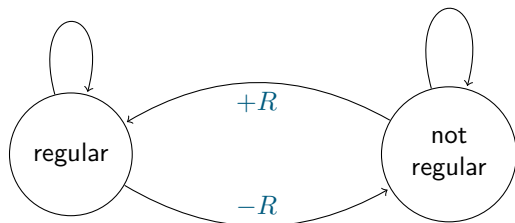
## 4 Further Reading

# State based measures

- “bad” branching always followed by “good” branchings
- **amortize** over branching numbers

$$\mu'(I) := \mu(I) + \Psi(I),$$

where  $\Psi : \mathcal{I} \rightarrow \mathbb{R}^+$  depends on global properties of the instance.





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# Max 2-CSP generalizes Maximum Independent Set

## MAX 2-CSP

- Input: A graph  $G = (V, E)$  and a set  $S$  of *score functions* containing
- a score function  $s_e : \{0, 1\}^2 \rightarrow \mathbb{N}_0$  for each edge  $e \in E$ ,
  - a score function  $s_v : \{0, 1\} \rightarrow \mathbb{N}_0$  for each vertex  $v \in V$ , and
  - a score “function”  $s_\emptyset : \{0, 1\}^0 \rightarrow \mathbb{N}_0$  (which takes no arguments and is just a constant convenient for bookkeeping).

Output: The maximum score  $s(\phi)$  of an assignment  $\phi : V \rightarrow \{0, 1\}$ :

$$s(\phi) := s_\emptyset + \sum_{v \in V} s_v(\phi(v)) + \sum_{uv \in E} s_{uv}(\phi(u), \phi(v)).$$

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## 4 Further Reading

- Chapter 2, *Branching* in  
Fedor V. Fomin and Dieter Kratsch. Exact Exponential Algorithms. Springer, 2010.
- Chapter 6, *Measure & Conquer* in  
Fedor V. Fomin and Dieter Kratsch. Exact Exponential Algorithms. Springer, 2010.
- Chapter 2, *Branching Algorithms* in  
Serge Gaspers. Exponential Time Algorithms: Structures, Measures, and Bounds. VDM Verlag Dr. Mueller, 2010.