

# Symbol Tables

Computing 2 COMP1927 16x1

# SYMBOL TABLES

- **Searching:** like sorting, searching is a fundamental element of many computational tasks
  - data bases
  - dictionaries
  - compiler symbol tables
- **Symbol table:** a symbol table is a data structure of items with keys that supports at least two basic operations:
  - insert a new item (key,value)
    - (student id, student data) – in a database
    - (word, meaning) – in a dictionary
  - return an item identified by a given key

# ABSTRACTING OVER CONCRETE ITEM AND KEY TYPE

- We abstract over the concrete item type by defining these types and some basic operations on them in a separate header file, `Item.h`:

```
typedef int Key;

struct record {
    Key keyval;
    char value[10];
};

typedef struct record *Item;

#define key(A) ((A)->keyval)
#define eq(A,B) {A == B}
#define less(A,B) {A < B}
#define NULLitem NULL // special value for no item

int ITEMscan (Item *); // read from stdin
int ITEMshow (Item); // print to stdout
```

# SYMBOL TABLE AS ABSTRACT DATA TYPE

## ○ Symbol Table ADT:

```
typedef struct symbolTable *ST;

// new symbol table
ST STinit (void);

// number of items in the table
int STcount (ST);

// insert an item
void STinsert (ST, Item);

// find item with given key
Item STsearch (ST, Key);

// delete given item
void STdelete (ST, Item);

// find nth item
Item STselect (ST, int);

// visit items in order of their keys
void STsort (ST, void (*visit)(Item));
```

# SYMBOL TABLE AS ABSTRACT DATA TYPE

- How do we deal with duplicate keys?
  - depends on the application:
    - Do not allow duplicates
      - Insertion of duplicates does nothing – fails silently
      - Insertion of duplicates returns an error
    - store all items with the same key in one entry in the symbol table
    - store duplicates as separate entries in the symbol table
  - Our approach will not allow duplicates and ignore attempts to insert them.

# A SIMPLE SYMBOL TABLE CLIENT PROGRAM

- We start by writing a simple client program:
  - reads items from `stdin`
  - insert item if not yet in table
  - print resulting table in order
  - print out the smallest, largest and median values.

# SYMBOL TABLE IMPLEMENTATIONS

- Symbol tables can be represented in many ways:
  - key-indexed array (max # items, restricted key space)
  - key-sorted arrays (max # items, using binary search)
  - linked lists (unlimited items, sorted list?)
  - binary search trees (unlimited items, traversal orders)
- Costs (assuming  $N$  items):

Type	Search Cost Min	Max	Average
Key Indexed Array	$O(1)$	$O(1)$	$O(1)$
Key sorted Array	$O(1)$	$O(\log n)$	$O(\log n)$
Linked List	$O(1)$	$O(n)$	$O(n)$
Binary Search Tree	$O(1)$	$O(n)$	$O(\log n)$

# IMPLEMENTATION : KEY INDEXED ARRAY

- Use key to determine index position in the array
  - requires dense keys (i.e., few gaps)
  - keys must be integral (or easy to map to integral value)
- Properties:
  - insert, search and delete are constant time  $O(1)$
  - init, select, and sort are linear in table size

∞

	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]
items	NULLitem	1,data	NULLitem	3,data	4,data	5,data	NULLitem	7,data

# IMPLEMENTATION : BINARY SEARCH TREES

## ○ Binary tree:

- key (and maybe items) in internal nodes
- key in a node is
  - larger than any key in its left subtree
  - smaller than any key in its right subtree

## ○ Properties:

- init & count are constant time
- insert, delete, search & select are logarithmic in the number of stored items in average case, linear in worst case (degenerate tree)
- sort linear in numbers of stored items

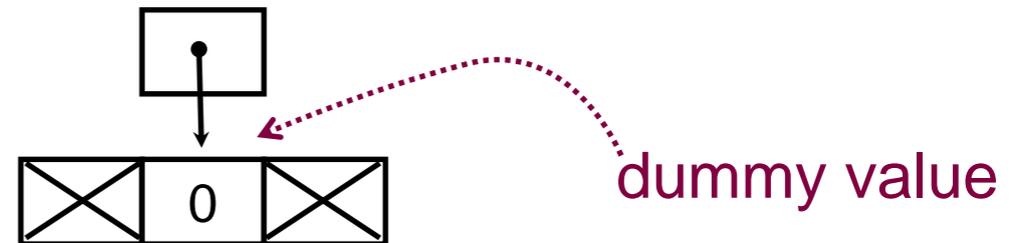
# IMPLEMENTATION : BINARY SEARCH TREES

- In our implementation, we use a **dummy node** to represent empty trees
- Representation of an empty tree:

previously:

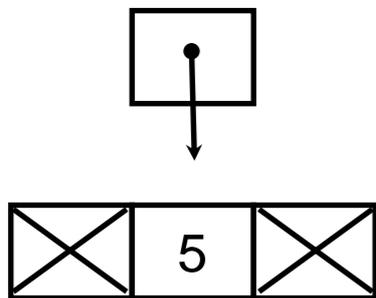


new implementation :

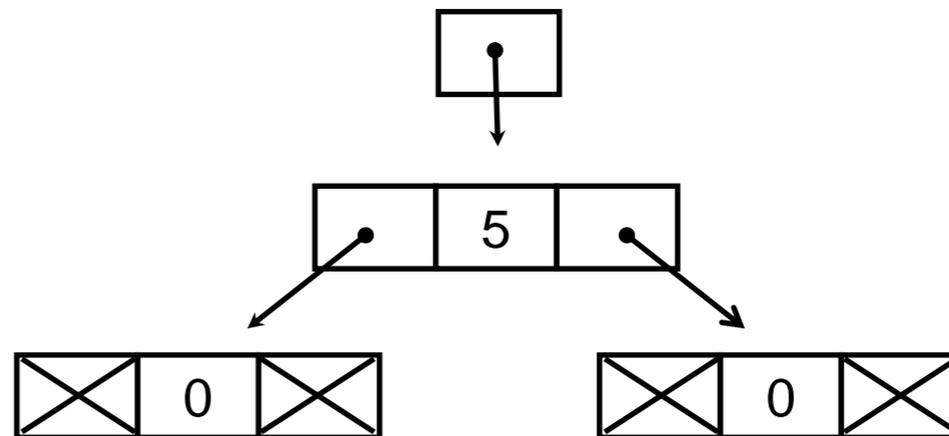


- Representation of a tree with a single value node:

previously:

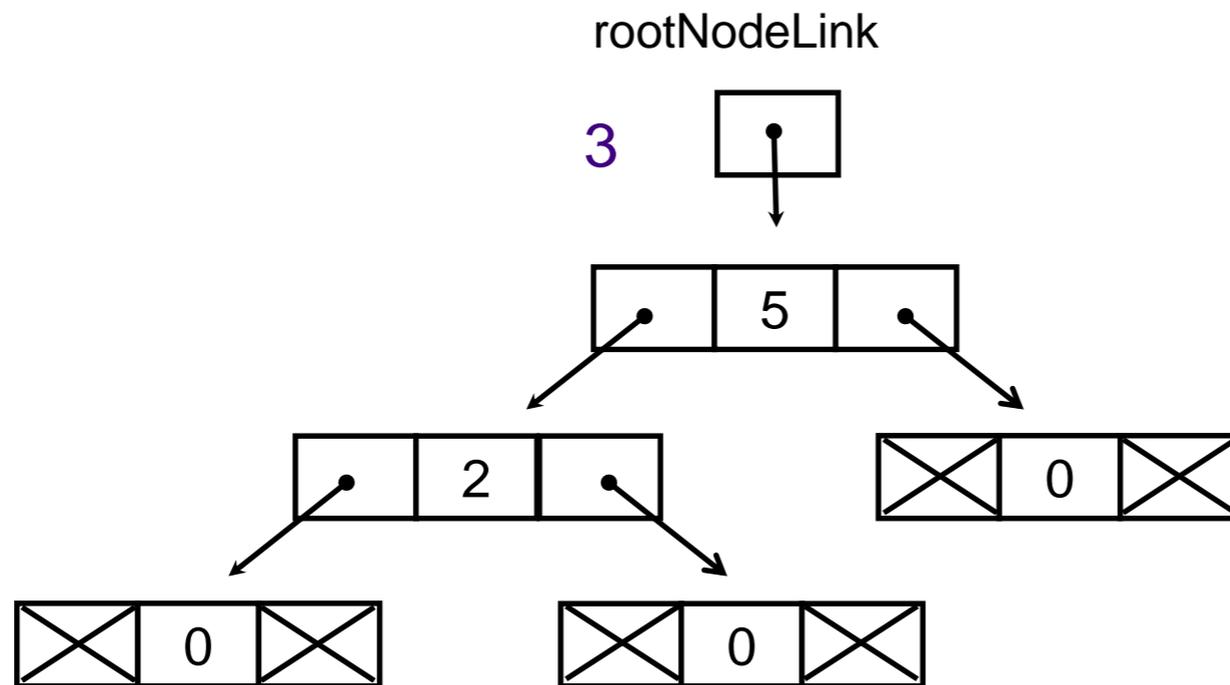


new implementation :



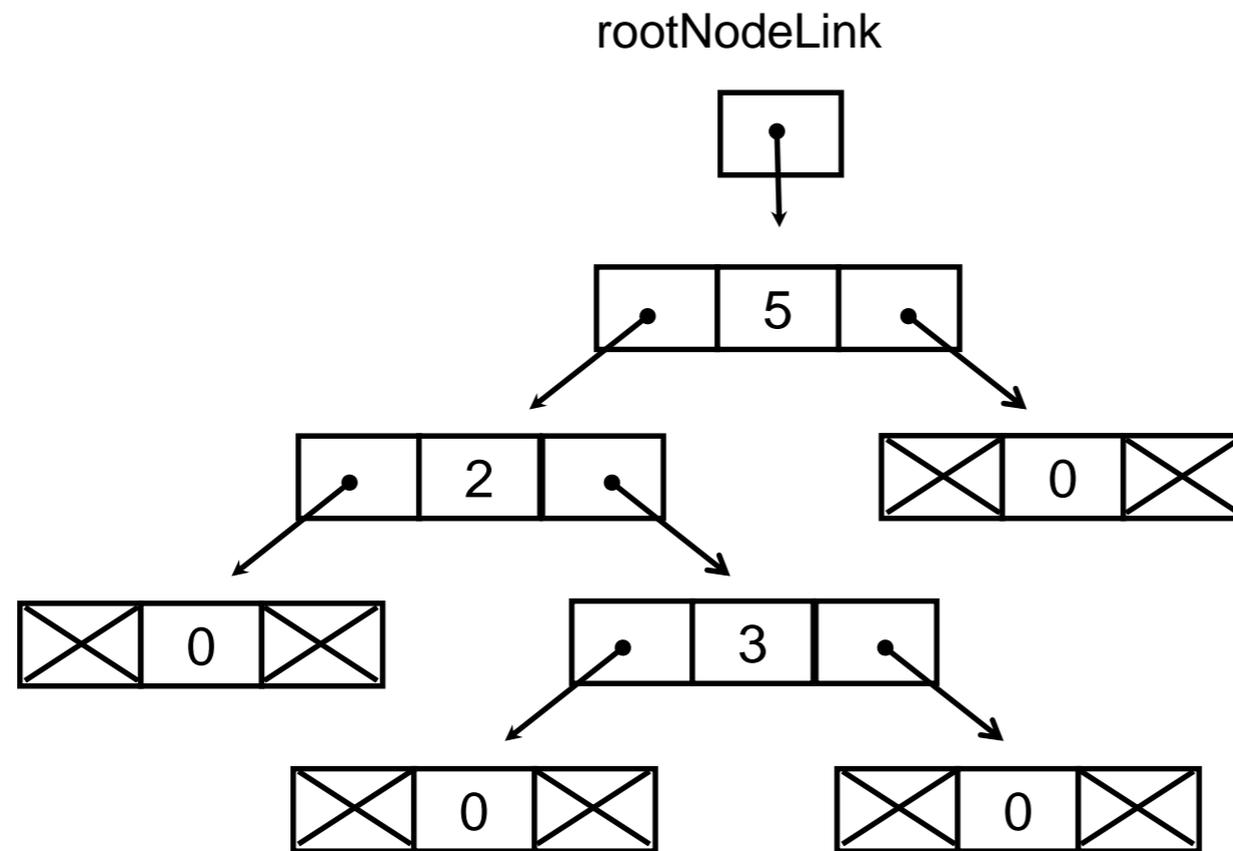
# BINARY SEARCH TREE: INSERTION OF NEW NODE

- Insert item with key '3' into tree:



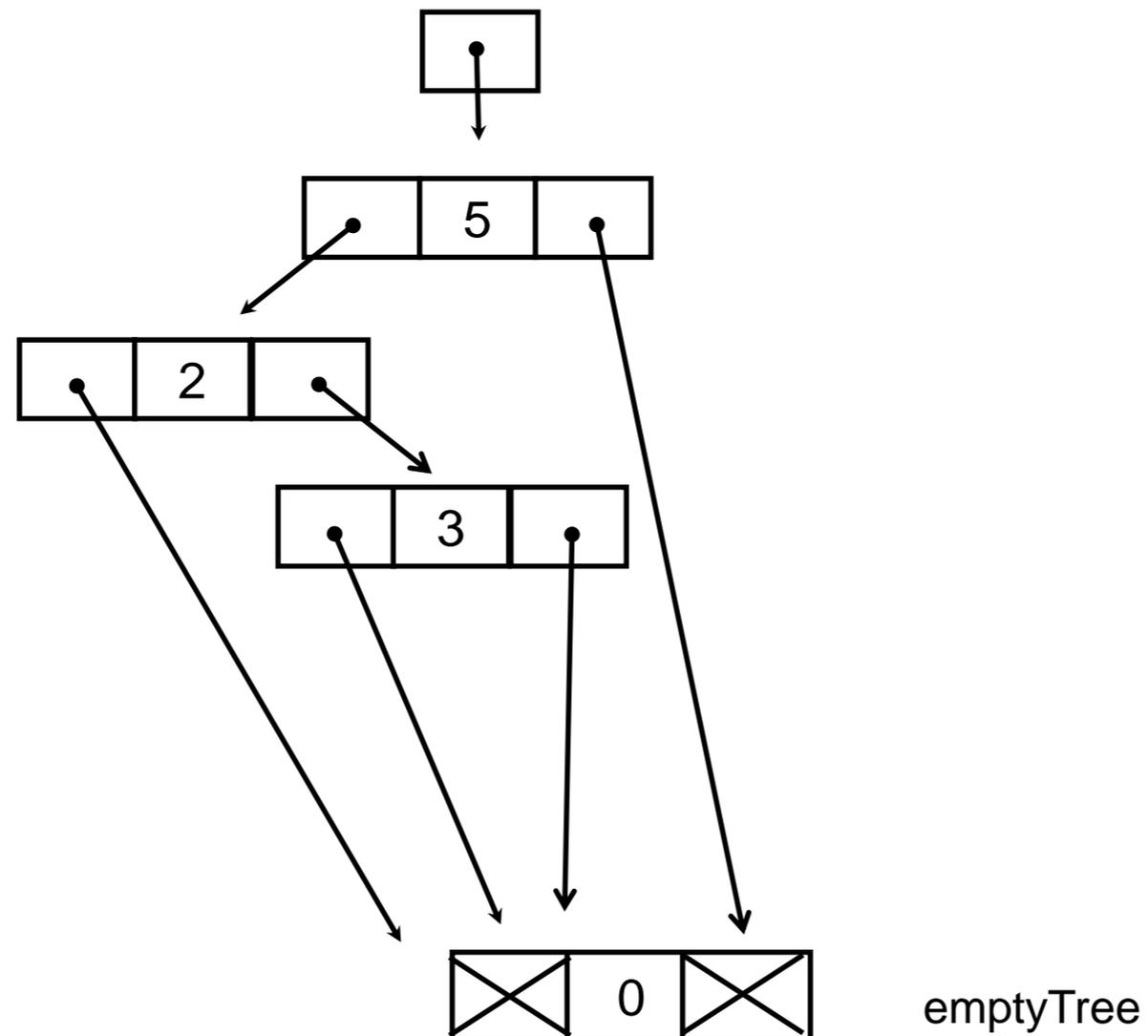
# BINARY SEARCH TREE: INSERTION OF NEW NODE

- Insert item with key '3' into tree:



# BINARY SEARCH TREE

- To save space, all the empty subtrees are actually represented by the same struct:



# IMPLEMENTATION : BINARY SEARCH TREES

- :In our implementation, we use a dummy node to represent empty trees:

```
struct st{
    link root;
}
typedef struct STnode* link;

struct STnode {
    Item item;
    link left;
    link right;
    int size; //Size of sub-tree rooted at this node
};

static link emptyTree = NULL;    // dummy node representing empty tree
static link newNode(Item item, link l, link r, int size);

ST STinit (void) {
    ST st = malloc(sizeof(struct st));
    if(emptyTree == NULL) //only one actual copy of emptyTree is ever created
        emptyTree = newNode(NULLitem, NULL, NULL, 0);
    st->root = emptyTree;
    return st;
}
```

# IMPLEMENTATION : BINARY SEARCH TREES

- Implementation of recursive insertion:

```
link insertR (link currentLink, Item item) {
    Key v = key (item);
    Key currentKey = key (currentLink->item);

    if (currentLink == emptyTree) {
        return newNode(item, emptyTree, emptyTree, 1);
    }

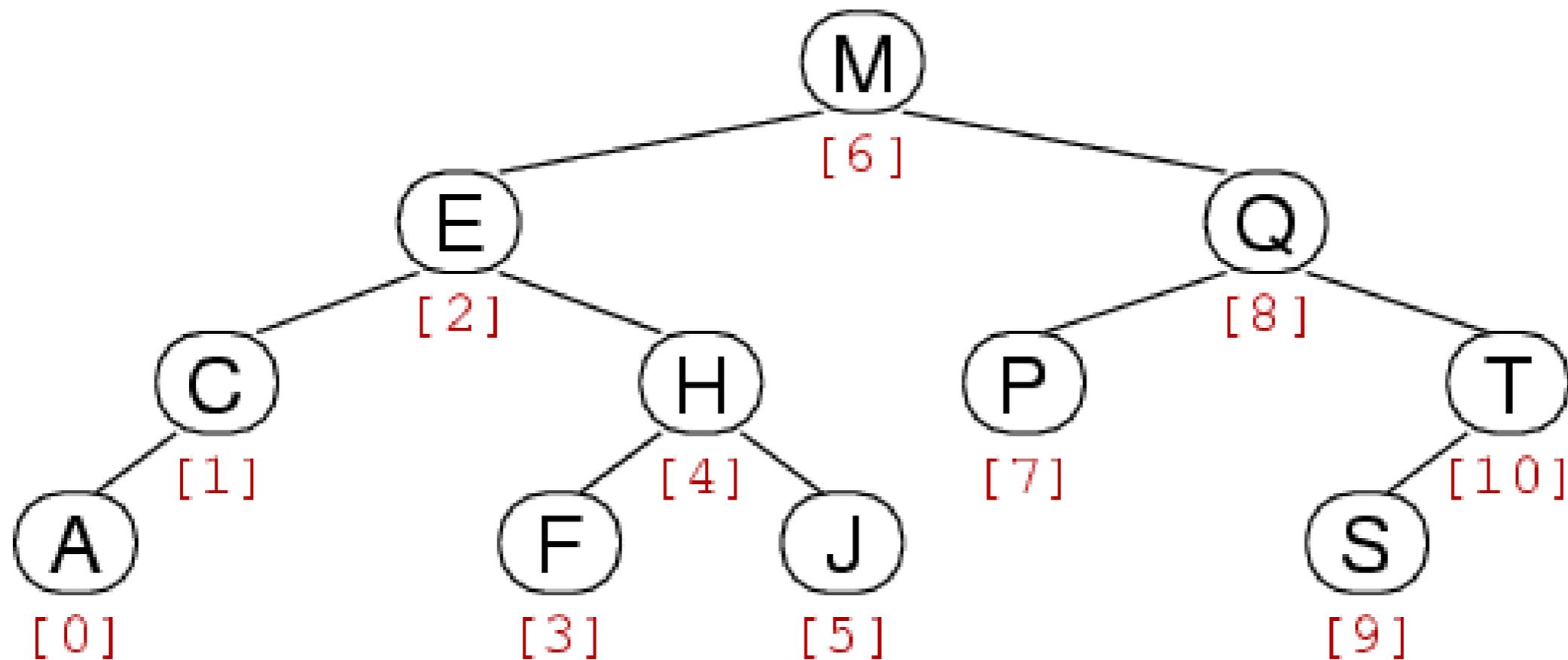
    if (less(v, currentKey)) {
        currentLink->left = insertR (currentLink->left, item);
    } else {
        currentLink->right = insertR (currentLink->right, item);
    }
    (currentLink->size)++;
    return currentLink;
}
```

# BST: SELECT

- How can we select the  $k$ th smallest element of a search tree?
- Can be done quite easily if we store the size of the subtree in each node (start with 0)
  - *Base case 1:* if tree is empty tree
    - search was unsuccessful
  - *Base case 2:* if left subtree has  $k$  items
    - return node item
  - *Recursive case 1:* left subtree has  $m > k$  items
    - continue search of  $k$ th item in left subtree
  - *Recursive case 2:* left subtree has  $m < k$  items
    - continue search of  $(k-m-1)$ th item in right subtree

# SELECT KTH ITEM

- For a tree with N Nodes, indexes are 0..N-1



# IMPLEMENTATION : BINARY SEARCH TREES

## ○ Implementation of select

```
static Item selectR (link currentTree, int k) {
    if (currentTree == emptyTree) {
        return NULLitem;
    }
    if (currentTree->left->size == k) {
        return (currentTree->item);
    }

    if (currentTree->left->size > k) {
        return (selectR (currentTree->left, k));
    }

    return (selectR (currentTree->right, k - 1 - currentTree->left->size));
}

Item STselect (ST s,int k) {
    return (selectR (s->root, k));
}
```

# PERFORMANCE CHARACTERISTICS OF BSTs

- We already discussed the performance of binary search trees:
  - on average,
    - $O(\log n)$  steps to search, insert in a tree with  $n$  items
  - worst case (degenerate tree)
    - $O(n)$  steps

# SYMBOL TABLES AS INDEXES

## ○ Scenario:

- large set of items;
- need efficient access via key
- but also need sequential access to items
- items might be stored in very large array or file

## ○ Solution:

- leave items in place
- use symbol table holding (key,ref) pairs
- Commonly used as an access mechanism in databases.