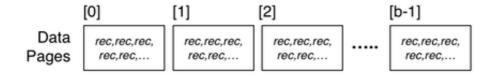
Week 03 Lecture

DBMS Parameters

Our view of relations in DBMSs:

- a relation is a set of r tuples, with average size R bytes
- the tuples are stored in b data pages on disk
- each page has size B bytes and contains up to c tuples
- cost of disk → memory transfer T_r, T_w dominates other costs



... DBMS Parameters 2/71

Typical DBMS/table parameter values:

Quantity	Symbol	E.g. Value
total # tuples	r	10 ⁶
record size	R	128 bytes
total # pages	b	10 ⁵
page size	В	8192 bytes
# tuples per page	С	60
page read/write time	T_r, T_w	10 msec
cost to process one page in memory	-	<i>≅</i> 0

Buffer Pool

Buffer Pool

Buffer operations: (all take single PageId argument)

 $\bullet \ \ request_page(pid), \ \ release_page(pid), \dots$

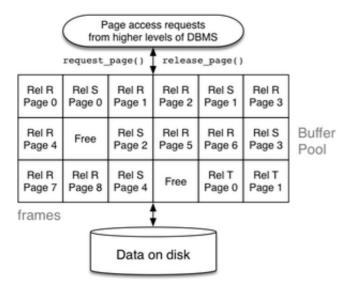
Buffer pool data structures:

- Page frames[NBUFS]; FrameData directory[NBUFS];
- Page is byte[BUFSIZE], FrameData is struct {...}

For each frame, we need to know: (FrameData)

- which Page (i.e. PageId = (ReIId, PageNum)) it contains, or empty/free
- whether it has been modified since loading (dirty bit)
- how many transactions are currently using it (pin count)
- time-stamp for most recent access (assists with replacement)

... Buffer Pool 5/71



Page Replacement Policies

6/71

Several schemes are commonly in use:

- Least Recently Used (LRU)
- Most Recently Used (MRU)
- First in First Out (FIFO)
- Random

LRU / MRU require knowledge of when pages were last accessed

- how to keep track of "last access" time?
- base on request/release ops or on real page usage?

... Page Replacement Policies

7/71

Cost benefit from buffer pool (with n frames) is determined by:

- number of available frames (more ⇒ better)
- replacement strategy vs page access pattern

Example (a): sequential scan, LRU or MRU, $n \ge b$

First scan costs b reads; subsequent scans are "free".

Example (b): sequential scan, MRU, n < b

First scan costs b reads; subsequent scans cost b - n reads.

Example (c): sequential scan, LRU, n < b

All scans cost b reads; known as sequential flooding.

Effect of Buffer Management

8/71

Consider a query to find customers who are also employees:

```
select c.name
from Customer c, Employee e
where c.ssn = e.ssn;

This might be implemented inside the DBMS via nested loops:

for each tuple t1 in Customer {
    for each tuple t2 in Employee {
        if (t1.ssn == t2.ssn)
            append (t1.name) to result set
    }
```

... Effect of Buffer Management

}

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In terms of page-level operations, the algorithm looks like:

```
Rel rC = openRelation("Customer");
Rel rE = openRelation("Employee");
for (int i = 0; i < nPages(rC); i++) {
    PageId pid1 = makePageId(db,rC,i);
    Page p1 = request_page(pid1);
    for (int j = 0; j < nPages(rE); j++) {
        PageId pid2 = makePageId(db,rE,j);
        Page p2 = request_page(pid2);
        // compare all pairs of tuples from p1,p2
        // construct solution set from matching pairs
        release_page(pid2);
    }
    release_page(pid1);
}</pre>
```

Exercise 1: Buffer Management Cost Benefit (i)

10/71

Assume that:

- the Customer relation has b_C pages (e.g. 5)
- the Employee relation has b_E pages (e.g. 4)

Compute how many page reads occur ...

- if we have only 2 buffers (i.e. effectively no buffer pool)
- when a buffer pool with MRU replacement strategy is used
- when a buffer pool with LRU replacement strategy is used

For the last two, buffer pool has n=3 slots ($n < b_C$ and $n < b_E$)

Exercise 2: Buffer Management Cost Benefit (ii)

11/71

If the tables were larger, the above analysis would be tedious.

Write a C program to simulate buffer pool usage

- assuming a nested loop join as above
- argv[1] gives number of pages in "outer" table
- argv[2] gives number of pages in "inner" table
- argv[3] gives number of slots in buffer pool
- argv[4] gives replacement strategy (LRU,MRU,FIFO-Q)

PostgreSQL Buffer Manager

12/71

PostgreSQL buffer manager:

- · provides a shared pool of memory buffers for all backends
- all access methods get data from disk via buffer manager

Buffers are located in a large region of shared memory.

Definitions: src/include/storage/buf*.h

Functions: src/backend/storage/buffer/*.c

Buffer code is also used by backends who want a private buffer pool

... PostgreSQL Buffer Manager

13/71

Buffer pool consists of:

${\it BufferDescriptors}$

• shared fixed array (size NBuffers) of BufferDesc

BufferBlocks

• shared fixed array (size NBuffers) of **Buffer**

Buffer = index values in above arrays

indexes: global buffers 1..NBuffers; local buffers negative

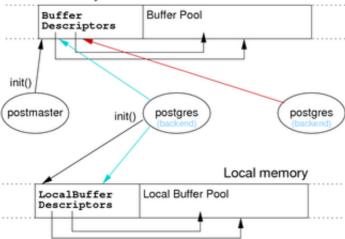
Size of buffer pool is set in postgresql.conf, e.g.

shared buffers = 16MB # min 128KB, 16*8KB buffers

... PostgreSQL Buffer Manager

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Shared memory



... PostgreSQL Buffer Manager

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include/storage/buf.h

• basic buffer manager data types (e.g. Buffer)

include/storage/bufmgr.h

• definitions for buffer manager function interface (i.e. functions that other parts of the system call to use buffer manager)

include/storage/buf_internals.h

• definitions for buffer manager internals (e.g. **BufferDesc**)

Code: backend/storage/buffer/*.c

Commentary: backend/storage/buffer/README

Buffer Pool Data Types

16/71

```
typedef struct buftag {
   RelFileNode rnode;
                          /* physical relation identifier */
   ForkNumber forkNum;
                          /* relative to start of reln */
   BlockNumber blockNum;
} BufferTag;
BufFlags: BM_DIRTY, BM_VALID, BM_TAG_VALID, BM_IO_IN_PROGRESS, ...
typedef struct sbufdesc { (simplified)
   BufferTag tag;
                          /* ID of page contained in buffer */
                          /* see bit definitions above */
   BufFlags flags;
             usage count; /* usage counter for clock sweep */
   uint16
   unsigned refcount;
                          /* # of backends holding pins */
                          /* buffer's index number (from 0) */
   int
             buf id;
             freeNext;
                          /* link in freelist chain */
   int
} BufferDesc;
```

Buffer Pool Functions

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Buffer manager interface:

Buffer ReadBuffer(Relation r, BlockNumber n)

- ensures nth page of file for relation r is loaded (may need to remove an existing unpinned page and read data from file)
- increments reference (pin) count and usage count for buffer
- returns index of loaded page in buffer pool (Buffer value)
- assumes main fork, so no ForkNumber required

Actually a special case of ReadBuffer_Common, which also handles variations like different replacement strategy, forks, temp buffers, ...

... Buffer Pool Functions 18/71

Buffer manager interface (cont):

void ReleaseBuffer(Buffer buf)

- decrement pin count on buffer
- if pin count falls to zero, ensures all activity on buffer is completed before returning

void MarkBufferDirty(Buffer buf)

- marks a buffer as modified
- requires that buffer is pinned and locked
- actual write is done later (e.g. when buffer replaced)

... Buffer Pool Functions 19/71

Additional buffer manager functions:

Page BufferGetPage(Buffer buf)

- finds actual data associated with buffer in pool
- · returns reference to memory where data is located

BufferIsPinned(Buffer buf)

check whether this backend holds a pin on buffer

CheckPointBuffers

- write data in checkpoint logs (for recovery)
- flush all dirty blocks in buffer pool to disk

etc. etc. etc.

... Buffer Pool Functions 20/71

Important internal buffer manager function:

```
BufferDesc *BufferAlloc(
Relation r, ForkNumber f,
BlockNumber n, bool *found)
```

- used by **ReadBuffer** to find a buffer for (r,f,n)
- if (r,f,n) already in pool, pin it and return descriptor
- if no available buffers, select buffer to be replaced
- returned descriptor is pinned and marked as holding (r,f,n)
- does not read; ReadBuffer has to do the actual I/O

Clock-sweep Replacement Strategy

21/71

PostgreSQL page replacement strategy: clock-sweep

- treat buffer pool as circular list of buffer slots
- NextVictimBuffer holds index of next possible evictee
- if page is pinned or "popular", leave it
 - usage count implements "popularity/recency" measure
 - incremented on each access to buffer (up to small limit)
 - · decremented each time considered for eviction
- increment NextVictimBuffer and try again (wrap at end)

For specialised kinds of access (e.g. sequential scan), can allocate a private "buffer ring" with different replacement strategy.

Exercise: PostgreSQL Buffer Pool

22/71

Consider an initally empty buffer pool with only 3 slots.

Show the state of the pool after each of the following:

```
Req R0, Req S0, Rel S0, Req S1, Rel S1, Req S2, Rel S2, Rel R0, Req R1, Req S0, Rel S0, Req S1, Rel S1, Req S2, Rel S2, Rel R1, Req R2, Req S0, Rel S0, Req S1, Rel S1, Req S2, Rel S2, Rel S2, Rel S2, Rel R2
```

Treat BufferDesc entries as

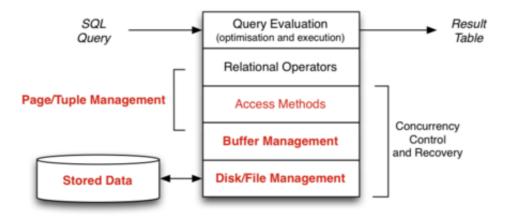
(tag, usage_count, refcount, freeNext)

Assume freeList and nextVictim global variables.

Pages

Page/Tuple Management

24/71



Pages 25/71

Database applications view data as:

- a collection of records (tuples)
- records can be accessed via a TupleId (aka RecordId or RID)
- TupleId = (RelId + PageNum + TupIndex)

The disk and buffer manager provide the following view:

- data is a sequence of fixed-size pages (aka "blocks")
- pages can be (random) accessed via a PageId
- · each page contains zero or more tuple values

Page format = how space/tuples are organised within a Page.

Page Formats 26/71

Ultimately, a Page is simply an array of bytes (byte[]).

We want to interpret/manipulate it as a collection of Records.

Typical operations on Pages:

- request page(pid) ... get page via its PageId
- get_record(rid) ... get record via its TupleId
- rid = insert record(pid, rec) ... add new record into page
- update record(rid, rec) ... update value of specified record
- delete record(rid) ... remove a specified record from a page

Note: rid typically contains (PageId, TupIndex), so no explicit pid needed

... Page Formats 27/71

Factors affecting Page formats:

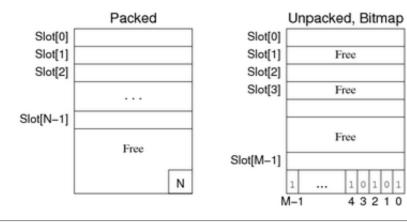
- determined by record size flexibility (fixed, variable)
- how free space within Page is managed
- whether some data is stored outside Page
 - o does Page have an associated overflow chain?
 - are large data values stored elsewhere? (e.g. TOAST)
 - can one tuple span multiple Pages?

Implementation of Page operations critically depends on format.

... Page Formats 28/71

For fixed-length records, use record slots.

- insert: place new record in first available slot
- delete: two possibilities for handling free record slots:



Exercise: Fixed-length Records

29/71

Give examples of table definitions

- · which result in fixed-length records
- · which result in variable-length records

create table R (...);

What are the common features of each type of table?

Page Formats 30/71

For variable-length records, must use slot directory.

Possibilities for handling free-space within block:

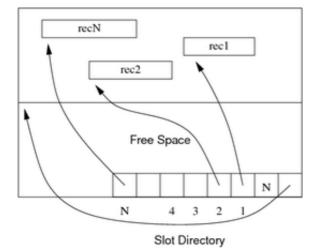
- compacted (one region of free space)
- fragmented (distributed free space)

In practice, a combination is useful:

- normally fragmented (cheap to maintain)
- compacted when needed (e.g. record won't fit)

... Page Formats 31/71

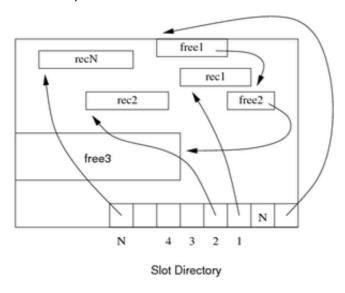
Compacted free space:



Note: "pointers" are implemented as word offsets within block.

... Page Formats 32/71

Fragmented free space:



Example: Inserting Records

33/71

For both of the following page formats

- 1. variable-length records, with compacted free space
- 2. variable-length records, with fragmented free space

implement the insert() function.

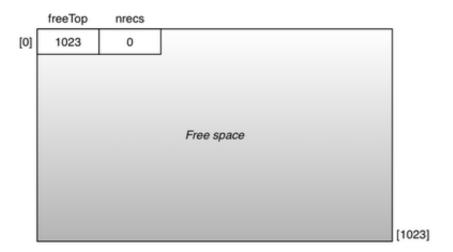
Use the page format on the following slides, but also assume:

- page size is 1024 bytes
- tuples start on 4-byte boundaries
- references into page are all 8-bits (1 byte) long
- a function recSize(r) gives size in bytes

... Example: Inserting Records

34/71

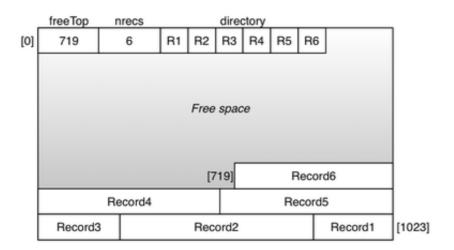
Initial page state (compacted free space) ...



... Example: Inserting Records

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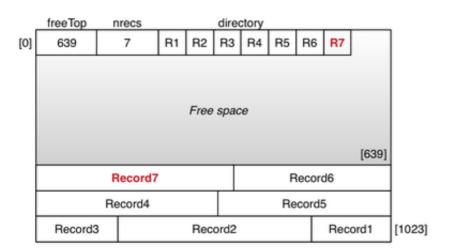
Before inserting record 7 (compacted free space) ...



... Example: Inserting Records

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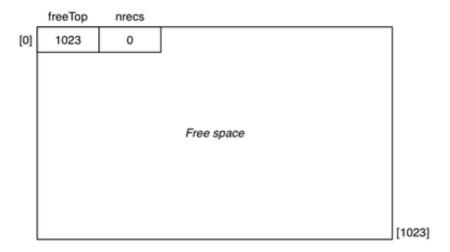
After inserting record 7 (80 bytes) ...



... Example: Inserting Records

37/71

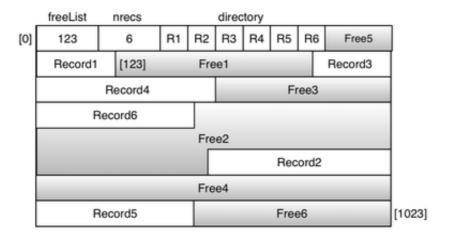
Initial page state (fragmented free space) ...



... Example: Inserting Records

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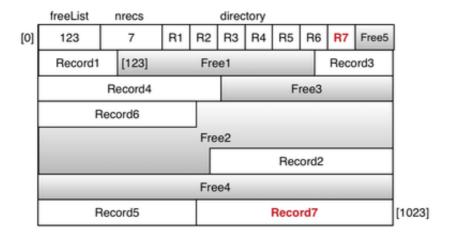
Before inserting record 7 (fragmented free space) ...



... Example: Inserting Records

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After inserting record 7 (80 bytes) ...



Storage Utilisation

40/71

How many records can fit in a page? (denoted C = capacity)

Depends on:

- page size ... typical values: 1KB, 2KB, 4KB, 8KB
- record size ... typical values: 64B, 200B, app-dependent
- page header data ... typically: 4B 32B
- slot directory ... depends on how many records

We typically consider average record size (R)

Given C, HeaderSize + C*SlotSize + C*R ≤ PageSize

Exercise 3: Space Utilisation

41/71

Consider the following page/record information:

- page size = 1KB = 1024 bytes = 2¹⁰ bytes
- records: (a:int,b:varchar(20),c:char(10),d:int)
- records are all aligned on 4-byte boundaries
- c field padded to ensure d starts on 4-byte boundary
- each records has 4 field-offsets at start of record (each 1 byte)
- char(10) field rounded up to 12-bytes to preserve alignment
- maximum size of b values = 20 bytes; average size = 16 bytes
- page has 32-bytes of header information, starting at byte 0
- · only insertions, no deletions or updates

Calculate C = average number of records per page.

Overflows 42/71

Sometimes, it may not be possible to insert a record into a page:

- 1. no free-space fragment large enough
- 2. overall free-space is not large enough
- 3. the record is larger than the page
- 4. no more free directory slots in page

For case (1), can first try to compact free-space within the page.

If still insufficient space, we need an alternative solution ...

... Overflows 43/71

File organisation determines how cases (2)..(4) are handled.

If records may be inserted anywhere that there is free space

- cases (2) and (4) can be handled by making a new page
- case (3) requires either spanned records or "overflow file"

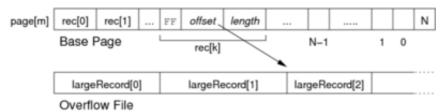
If file organisation determines record placement (e.g. hashed file)

- cases (2) and (4) require an "overflow page"
- case (3) requires an "overflow file"

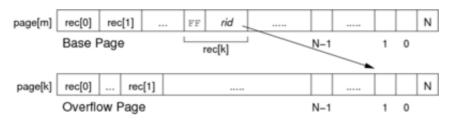
With overflow pages, rid structure may need modifying (rel,page,ovfl,rec)

... Overflows 44/71

Overflow files for very large records and BLOBs:



Record-based handling of overflows:



We discuss overflow pages in more detail when covering Hash Files.

PostgreSQL Page Representation

45/71

Functions: src/backend/storage/page/*.c

Definitions: src/include/storage/bufpage.h

Each page is 8KB (default BLCKSZ) and contains:

- header (free space pointers, flags, xact data)
- · array of (offset,length) pairs for tuples in page
- free space region (between array and tuple data)
- actual tuples themselves (inserted from end towards start)
- (optionally) region for special data (e.g. index data)

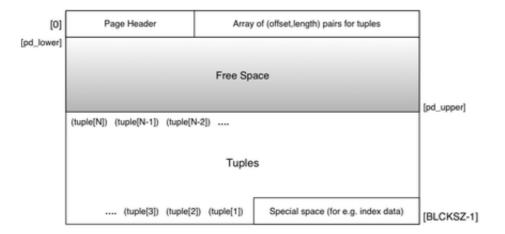
Large data items are stored in separate (TOAST) files (implicit)

Also supports ~SQL-standard BLOBs (explicit large data items)

... PostgreSQL Page Representation

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PostgreSQL page layout:



... PostgreSQL Page Representation

47/71

Page-related data types:

... PostgreSQL Page Representation

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Page-related data types: (cont)

```
typedef struct PageHeaderData
                             // xact log record for last change
  XLogRecPtr
                pd_lsn;
                pd_tli;
  uint16
                             // xact log reference information
                             // flag bits (e.g. free, full, ...
  uint16
                pd flags;
                             // offset to start of free space
  LocationIndex pd_lower;
                             // offset to end of free space
  LocationIndex pd_upper;
  LocationIndex pd special; // offset to start of special space
                pd_pagesize_version;
  TransactionId pd_prune_xid;// is pruning useful in data page?
   ItemIdData
                pd_linp[1]; // beginning of line pointer array
} PageHeaderData;
```

... PostgreSQL Page Representation

typedef PageHeaderData *PageHeader;

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Operations on Pages:

```
void PageInit(Page page, Size pageSize, ...)
```

• initialize a Page buffer to empty page

• in particular, sets pd lower and pd upper

```
OffsetNumber PageAddItem(Page page,
Item item, Size size, ...)
```

- insert one tuple (or index entry) into a Page
- fails if: not enough free space, too many tuples

void PageRepairFragmentation(Page page)

compact tuple storage to give one large free space region

... PostgreSQL Page Representation

50/71

PostgreSQL has two kinds of pages:

- heap pages which contain tuples
- index pages which contain index entries

Both kinds of page have the same page layout.

One important difference:

- index entries tend be a smaller than tuples
- can typically fit more index entries per page

Exercise: PostgreSQL Pages

51/71

Draw diagrams of a PostgreSQL heap page

- · when it is initially empty
- after three tuples have been inserted with lengths of 60, 80, and 70 bytes
- after the 80 byte tuple is deleted (but before vacuuming)
- after a new 50 byte tuple is added

Show the values in the tuple header.

Assume that there is no special space in the page.

Tuples

Records vs Tuples

53/71

A table is defined by a collection of attributes (schema), e.g.

```
create table Employee (
   id# integer primary key,
   name varchar(20), -- or char(20)
   job varchar(10), -- or char(10)
   dept number(4)
);
```

Tuple = collection of attribute values for such a schema, e.g.

```
(33357462, 'Neil Young', 'Musician', 0277)
```

Record = sequence of bytes, containing data for one tuple.

Operations on Records

54/71

Simplest operation to access a record via its RID:

```
Record get_record(RecordId rid) {
    Page buf = request_page(relId(rid), pageNum(rid));
    return get_record_from_page(buf, recNum(rid));
}
where TupleId = RecordId = (RelId, PageNum, TupIndex)
Gives a sequence of bytes, which needs to be "tuple-fied", e.g.
Record r = get_record(rid)
Tuple t = makeTuple(rel, rec)
```

Requires knowledge of relation schema (rel)

```
... Operations on Records
```

55/71

Other operations on records (via their RID) ...

```
update record(rid,rec)
```

• modifies a record "in place" (replaced by new rec)

```
rid = insert record(pid, rec)
```

insert record into specified page, returning RID of new record

```
delete record(rid)
```

• remove record (mark as deleted)

All of the above, first require a page fetch (via buffer pool)

Operations on Tuples

56/71

```
Tuple t = makeTuple(rel,rec)
```

• convert record to tuple data structure (may be identity mapping)

```
Typ getTypField(Tuple t, int fno)
```

extract the fno'th field from a Tuple as a value of type Typ

```
E.g. getIntField(t,1), getStrField(t,2)
```

```
void setTypField(Tuple t, int fno, Typ val)
```

• set the value of the fno'th field of a Tuple to val

```
E.g. setIntField(t,1,42), setStrField(t,2,"abc")
```

Operations for Access Methods

57/71

```
Tuple get_tuple(RecordId rid)
```

• fetch the tuple specified by rid; return reference to Tuple

```
Tuple get_tuple_from_page(Page p, int recNum)
```

• get the recNum'th tuple from an already-buffered page

Access methods typially involve iterators, e.g.

```
Tuple next_tuple(Scan s)
```

- return Tuple immediately following last accessed one
- returns NULL if no more Tuples left in the relation
- Scan holds data on progress through file (e.g. current page)
- Scan may include condition to implement WHERE-clause

Example Query 58/71

```
Example: simple scan of a table ...
```

```
select name from Employee
```

implemented as:

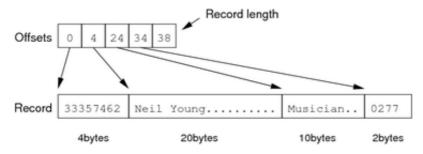
```
DB db = openDatabase("myDB");
Rel r = openRel(db, "Employee");
Scan s = start_scan(r);
Tuple t;
while ((t = next_tuple(s)) != NULL)
{
    char *name = getStrField(t,2);
    printf("%s\n", name);
}
```

Fixed-length Records

59/71

Encoding scheme for fixed-length records:

- record format (length + offsets) stored in catalogue
- data values stored in fixed-size slots in data pages



Since record format is frequently used at query time, should be in memory.

Variable-length Records

60/71

Some encoding schemes for variable-length records:

· Prefix each field by length



Terminate fields by delimiter



· Array of offsets



Converting Records to Tuples

61/71

A Record is an array of bytes (byte[])

representing the data values from a typed Tuple

A Tuple is a collection of named, typed values

• analogous to a struct in C

Information on how to interpret the bytes as typed values

- will be contained in schema data in DBMS catalogue
- · may be stored in the header for the data file
- may be stored partly in the record and partly in the schema

For variable-length records, some formatting info ...

must be stored in the record or in the page directory

... Converting Records to Tuples

62/71

DBMSs typically define a fixed set of field types, e.g.

DATE, FLOAT, INTEGER, NUMBER(n), VARCHAR(n), ...

This determines implementation-level data types:

DATE time_t

FLOAT float, double

INTEGER int, long

NUMBER(n) int[](?)

VARCHAR(n) char[]

... Converting Records to Tuples

63/71

A Tuple can be defined as

- a list of field descriptors for a record instance (where a FieldDesc gives (offset,length,type) information)
- along with a reference to the Record data

```
typedef struct {
    ushort nfields; // # fields
    FieldDesc fields[]; // field descriptions
    Record data;
} Tuple;
```

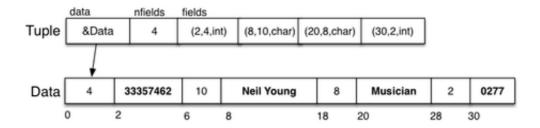
Fields are derived from relation descriptor + record instance data.

... Converting Records to Tuples

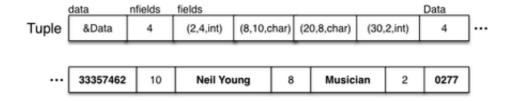
64/71

The data field could be either

a pointer to byte-chunk stored elsewhere in memory



data itself appended to struct (used widely in PostgreSQL)



PostgreSQL Tuples

65/71

Definitions: include/postgres.h, include/access/*tup*.h

Functions: backend/access/common/*tup*.c

- e.g. HeapTuple heap_form_tuple(desc, values[], isnull[])
- e.g. heap_deform_tuple(tuple, desc, values[], isnull[])

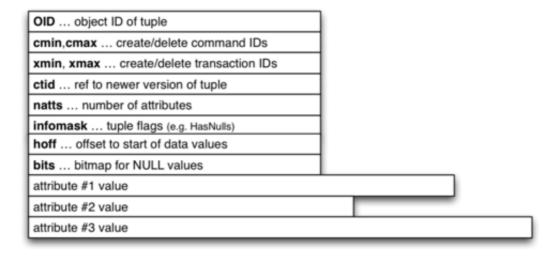
PostgreSQL defines tuples via:

- · a contiguous chunk of memory
- starting with a header giving e.g. #fields, nulls
- followed by the data values (as sequence of Datum)

... PostgreSQL Tuples

66/71

Tuple structure:



... PostgreSQL Tuples 67/71

Tuple-related data types:

```
// representation of a data value
typedef uintptr_t Datum;
```

The actual data value:

- may be stored in the Datum (e.g. int)
- may have a header with length (for varien attributes)
- may be stored in a TOAST file

... PostgreSQL Tuples 68/71

```
Tuple-related data types: (cont)
```

```
typedef struct HeapTupleData
{
    uint32     t_len; // length of *t_data
    ItemPointerData t_self; // SelfItemPointer
    Oid     t_tableOid; // table the tuple came from
    HeapTupleHeader t_data; // tuple header and data
} HeapTupleData;
```

PostgreSQL allocates a single block of data for tuple

- containing the above struct, followed by data byte[]
- no explicit field for data, it comes after bitmap (see next)

... PostgreSQL Tuples 69/71

```
Tuple-related data types: (cont)
```

Note that not all system fields from stored tuple appear

t_cid;

both xmin/xmax are stored, but only one of cmin/cmax

TransactionId t xmin; // inserting xact ID

TransactionId t xmax; // deleting or locking xact ID

... PostgreSQL Tuples 71/71

// inserting/deleting command ID

Operations on Tuples:

CommandId

} HeapTupleFields;

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