# COMP9444 Neural Networks and Deep Learning 5a. Image Processing

Textbook, Sections 7.4, 8.4, 8.7.1

COMP9444 © Alan Blair, 2017-19

COMP9444 19t3 Image Processing 2 COMP9444 19t3 Image Processing

### **MNIST Handwritten Digit Dataset**

- $\blacksquare$  black and white, resolution  $28 \times 28$
- 60,000 images
- 10 classes (0,1,2,3,4,5,6,7,8,9)

COMP9444 19t3 Image Processing 1

#### **Outline**

- Image Datasets and Tasks
- AlexNet
- Data Augmentation (7.4)
- Weight Initialization (8.4)
- Batch Normalization (8.7.1)
- Residual Networks
- Dense Networks
- Style Transfer

COMP9444 © Alan Blair, 2017-19

#### **CIFAR Image Dataset**



- $\blacksquare$  color, resolution  $32 \times 32$
- 50,000 images
- 10 classes

COMP9444 © Alan Blair, 2017-19

COMP9444

© Alan Blair, 2017-19

COMP9444 19t3 Image Processing 4 COMP9444 19t3 Image Processing 5

# **ImageNet LSVRC Dataset**



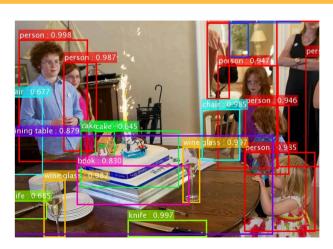
- $\blacksquare$  color, resolution 227 × 227
- 1.2 million images
- 1000 classes

COMP9444 19t3

COMP9444 (C) Alan Blair, 2017-19

Image Processing

### **Object Detection**



#### **Image Processing Tasks**

- image classification
- object detection
- object segmentation
- style transfer
- generating images
- generating art

COMP9444

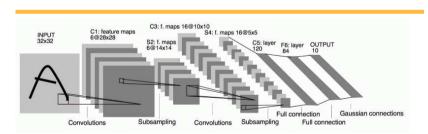
COMP9444

COMP9444 19t3

image captioning

Image Processing

#### LeNet trained on MNIST



The  $5\times 5$  window of the first convolution layer extracts from the original  $32\times 32$  image a  $28\times 28$  array of features. Subsampling then halves this size to  $14\times 14$ . The second Convolution layer uses another  $5\times 5$  window to extract a  $10\times 10$  array of features, which the second subsampling layer reduces to  $5\times 5$ . These activations then pass through two fully connected layers into the 10 output units corresponding to the digits '0' to '9'.

© Alan Blair, 2017-19

COMP9444 19t3 Image Processing 8 COMP9444 19t3 Image Processing

10

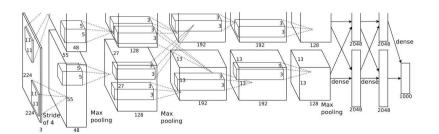
# **ImageNet Architectures**

- AlexNet, 8 layers (2012)
- VGG, 19 layers (2014)
- GoogleNet, 22 layers (2014)
- ResNets, 152 layers (2015)

COMP9444 © Alan Blair, 2017-19

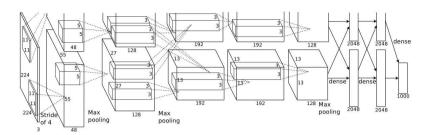
COMP9444 19t3 Image Processing

#### **AlexNet Details**



- 650K neurons
- 630M connections
- 60M parameters
- $\blacksquare$  more parameters that images  $\rightarrow$  danger of overfitting

#### **AlexNet Architecture**



- 5 convolutional layers + 3 fully connected layers
- max pooling with overlapping stride
- softmax with 1000 classes
- 2 parallel GPUs which interact only at certain layers

COMP9444 © Alan Blair, 2017-19

11

© Alan Blair, 2017-19

COMP9444 19t3 Image Processing

#### **Enhancements**

COMP9444

- Rectified Linear Units (ReLUs)
- $\blacksquare$  overlapping pooling (width = 3, stride = 2)
- stochastic gradient descent with momentum and weight decay
- data augmentation to reduce overfitting
- 50% dropout in the fully connected layers

## **Data Augmentation (7.4)**

- ten patches of size  $224 \times 224$  are cropped from each of the original  $227 \times 277$  images (using zero padding)
- the horizontal reflection of each patch is also included.
- at test time, average the predictions on the 10 patches.
- also include changes in intensity to RGB channels

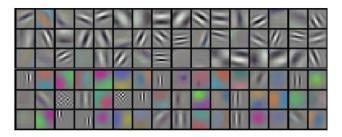
COMP9444 (C) Alan Blair, 2017-19

COMP9444 19t3 Image Processing 14

#### **Dealing with Deep Networks**

- > 10 layers
  - weight initialization
  - batch nomalization
- = > 30 layers
  - skip connections
- > 100 layers
  - ▶ identity skip connections

#### **Convolution Kernels**



- filters on GPU-1 (upper) are color agnostic
- filters on GPU-2 (lower) are color specific
- these resemble Gabor filters

COMP9444

COMP9444 19t3 Image Processing 15

# **Statistics Example: Coin Tossing**

Example: Toss a coin once, and count the number of Heads

Mean 
$$\mu = \frac{1}{2}(0+1) = 0.5$$

Variance 
$$=\frac{1}{2}((0-0.5)^2+(1-0.5)^2))=0.25$$

Standard Deviation 
$$\sigma = \sqrt{\text{Variance}} = 0.5$$

Example: Toss a coin 100 times, and count the number of Heads

Mean 
$$\mu = 100 * 0.5 = 50$$

Variance 
$$= 100 * 0.25 = 25$$

Standard Deviation 
$$\sigma = \sqrt{\text{Variance}} = 5$$

Example: Toss a coin 10000 times, and count the number of Heads

$$\mu = 5000, \qquad \sigma = \sqrt{2500} = 50$$

© Alan Blair, 2017-19

#### **Statistics**

The mean and variance of a set of n samples  $x_1, \ldots, x_n$  are given by

Mean
$$[x] = \frac{1}{n} \sum_{k=1}^{n} x_k$$
  
Var $[x] = \frac{1}{n} \sum_{k=1}^{n} (x_k - \text{Mean}[x])^2 = (\frac{1}{n} \sum_{k=1}^{n} x_k^2) - \text{Mean}[x]^2$ 

Image Processing

If  $w_k, x_k$  are independent and  $y = \sum_{k=1}^n w_k x_k$  then

$$Var[y] = n Var[w] Var[x]$$

COMP9444 © Alan Blair, 2017-19

Image Processing

#### Weight Initialization

If the nework has D layers, with input  $x = x^{(1)}$  and output  $z = x^{(D+1)}$ , then

$$\operatorname{Var}[z] \simeq \left(\prod_{i=1}^{D} G_0 \, n_i^{\text{in}} \operatorname{Var}[w^{(i)}]\right) \operatorname{Var}[x]$$

When we apply gradient descent through backpropagation, the differentials will follow a similar pattern:

$$\operatorname{Var}\left[\frac{\partial}{\partial x}\right] \simeq \left(\prod_{i=1}^{D} G_1 \, n_i^{\operatorname{out}} \operatorname{Var}[w^{(i)}]\right) \operatorname{Var}\left[\frac{\partial}{\partial z}\right]$$

In this equation,  $n_i^{\text{out}}$  is the average number of outgoing connections for each node at layer i, and  $G_1$  is meant to estimate the average value of the derivative of the transfer function.

For Rectified Linear Units, we can assume  $G_0 = G_1 = \frac{1}{2}$ 

#### Weight Initialization (8.4)

Consider one layer (i) of a deep neural network with weights  $w_{ik}^{(i)}$ connecting the activations  $\{x_k^{(i)}\}_{1 \le k \le n_i}$  at the previous layer to  $\{x_i^{(i+1)}\}_{1 < j < n_{i+1}}$  at the next layer, where g() is the transfer function and

$$x_j^{(i+1)} = g(\operatorname{sum}_j^{(i)}) = g\left(\sum_{k=1}^{n_i} w_{jk}^{(i)} x_k^{(i)}\right)$$

Then

18

© Alan Blair, 2017-19

$$Var[sum^{(i)}] = n_i Var[w^{(i)}] Var[x^{(i)}]$$
$$Var[x^{(i+1)}] \simeq G_0 n_i Var[w^{(i)}] Var[x^{(i)}]$$

Where  $G_0$  is a constant whose value is estimated to take account of the transfer function.

If some layers are not fully connected, we replace  $n_i$  with the average number  $n_i^{\text{in}}$  of incoming connections to each node at layer i+1.

COMP9444 © Alan Blair, 2017-19

COMP9444 19t3 Image Processing 19

## Weight Initialization

In order to have healthy forward and backward propagation, each term in the product must be approximately equal to 1. Any deviation from this could cause the activations to either vanish or saturate, and the differentials to either decay or explode exponentially.

$$\operatorname{Var}[z] \simeq \left(\prod_{i=1}^{D} G_0 \, n_i^{\operatorname{in}} \operatorname{Var}[w^{(i)}]\right) \operatorname{Var}[x]$$

$$\operatorname{Var}\left[\frac{\partial}{\partial x}\right] \simeq \left(\prod_{i=1}^{D} G_1 \, n_i^{\operatorname{out}} \operatorname{Var}[w^{(i)}]\right) \operatorname{Var}\left[\frac{\partial}{\partial z}\right]$$

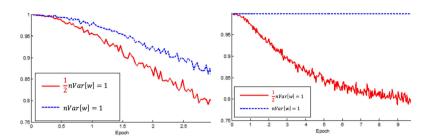
We therefore choose the initial weights  $\{w_{ik}^{(i)}\}$  in each layer (i) such that

$$G_1 n_i^{\text{out}} \text{Var}[w^{(i)}] = 1$$

COMP9444 19t3

23

#### **Weight Initialization**

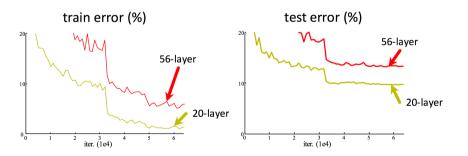


- 22-layer ReLU network (left),  $G_1 = \frac{1}{2}$  converges faster than  $G_1 = 1$
- 30-layer ReLU network (right),  $G_1 = \frac{1}{2}$  is successful while  $G_1 = 1$  fails to learn at all

COMP9444 (C) Alan Blair, 2017-19

COMP9444 19t3 Image Processing

## **Going Deeper**



If we simply stack additional layers, it can lead to higher training error as well as higher test error.

# **Batch Normalization (8.7.1)**

We can normalize the activations  $x_k^{(i)}$  of node k in layer (i) relative to the mean and variance of those activations, calculated over a mini-batch of training items:

 $\hat{x}_{k}^{(i)} = \frac{x_{k}^{(i)} - \text{Mean}[x_{k}^{(i)}]}{\sqrt{\text{Var}[x_{k}^{(i)}]}}$ 

These activations can then be shifted and re-scaled to

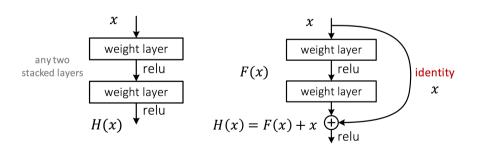
$$y_k^{(i)} = \beta_k^{(i)} + \gamma_k^{(i)} \hat{x}_k^{(i)}$$

 $\beta_k^{(i)}, \gamma_k^{(i)}$  are additional parameters, for each node, which are trained by backpropagation along with the other parameters (weights) in the network. After training is complete,  $\text{Mean}[x_k^{(i)}]$  and  $\text{Var}[x_k^{(i)}]$  are either pre-computed on the entire training set, or updated using running averages.

COMP9444 © Alan Blair, 2017-19

COMP9444 19t3 Image Processing

#### **Residual Networks**



Idea: Take any two consecutive stacked layers in a deep network and add a "skip" connection which bipasses these layers and is added to their output.

COMP9444

COMP9444 19t3

#### **Residual Networks**

■ the preceding layers attempt to do the "whole" job, making *x* as close as possible to the target output of the entire network

Image Processing

- F(x) is a residual component which corrects the errors from previous layers, or provides additional details which the previous layers were not powerful enough to compute
- with skip connections, both training and test error drop as you add more layers
- with more than 100 layers, need to apply ReLU before adding the residual instead of afterwards. This is called an identity skip connection.

COMP9444 © Alan Blair, 2017-19

Image Processing

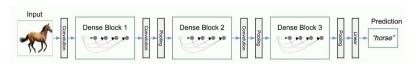
26

# **Texture Synthesis**





#### **Dense Networks**



Recently, good results have been achieved using networks with densely connected blocks, within which each layer is connected by shortcut connections to all the preceding layers.

Image Processing

# **Neural Texture Synthesis**

- 1. pretrain CNN on ImageNet (VGG-19)
- 2. pass input texture through CNN; compute feature map  $F_{ik}^{l}$  for  $i^{th}$  filter at spatial location k in layer (depth) l
- 3. compute the Gram matrix for each pair of features

$$G_{ij}^l = \sum_k F_{ik}^l F_{jk}^l$$

- 4. feed (initially random) image into CNN
- 5. compute L2 distance between Gram matrices of original and new image
- 6. backprop to get gradient on image pixels
- 7. update image and go to step 5.

COMP9444 19t3

© Alan Blair, 2017-19

# **Neural Texture Synthesis**

We can introduce a scaling factor  $w_l$  for each layer l in the network, and define the Cost function as

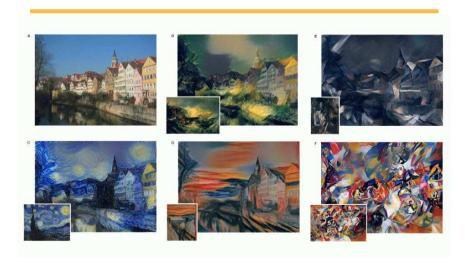
$$E_{\text{style}} = \frac{1}{4} \sum_{l=0}^{L} \frac{w_l}{N_l^2 M_l^2} \sum_{i,j} (G_{ij}^l - A_{ij}^l)^2$$

where  $N_l$ ,  $M_l$  are the number of filters, and size of feature maps, in layer l, and  $G_{ij}^l$ ,  $A_{ij}^l$  are the Gram matrices for the original and synthetic image.

COMP9444 © Alan Blair, 2017-19

Image Processing

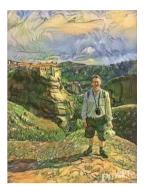
# Neural Style Transfer



#### **Neural Style Transfer**







content

+

style

> n

new image

COMP9444

© Alan Blair, 2017-19

31

COMP9444 19t3 Image Processing

# **Neural Style Transfer**

For Neural Style Transfer, we minimize a cost function which is

$$E_{\text{total}} = \alpha E_{\text{content}} + \beta E_{\text{style}}$$

$$= \frac{\alpha}{2} \sum_{i,k} ||F_{ik}^{l}(x) - F_{ik}^{l}(x_c)||^2 + \frac{\beta}{4} \sum_{l=0}^{L} \frac{w_l}{N_l^2 M_l^2} \sum_{i,j} (G_{ij}^{l} - A_{ij}^{l})^2$$

where

COMP9444

 $x_c, x$  = content image, synthetic image

 $F_{ik}^{l} = i^{\text{th}}$  filter at position k in layer l

 $N_l$ ,  $M_l$  = number of filters, and size of feature maps, in layer l

 $w_l$  = weighting factor for layer l

 $G_{ij}^l, A_{ij}^l$  = Gram matrices for style image, and synthetic image

COMP9444 19t3

COMP9444 19t3 Image Processing 32

# References

- "ImageNet Classification with Deep Convolutional Neural Networks", Krizhevsky et al., 2015.
- "Understanding the difficulty of training deep feedforward neural networks", Glorot & Bengio, 2010.
- "Batch normalization: Accelerating deep network training by reducing internal covariate shift", Ioffe & Szegedy, ICML 2015.
- "Deep Residual Learning for Image Recognition", He et al., 2016.
- "Densely Connected Convolutional Networks", Huang et al., 2016.
- "A Neural Algorithm of Artistic Style", Gatys et al., 2015.

COMP9444 © Alan Blair, 2017-19