



COMP4418: Knowledge Representation and Reasoning

Horn Logic

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Horn clauses

Clauses are used two ways:

- as disjunctions: (rain \vee sleet)
- as implications: (\neg child \vee \neg male \vee boy)

Here focus on 2nd use

Horn clause = at most one +ve literal in clause

- positive / definite clause = exactly one +ve literal

$$[\neg p_1, \neg p_2, \dots, \neg p_n, q]$$

- negative clause = no +ve literals

$$[\neg p_1, \neg p_2, \dots, \neg p_n]$$

Note:

$[\neg p_1, \neg p_2, \dots, \neg p_n, q]$ is a representation for

$(\neg p_1 \vee \neg p_2 \vee \dots \vee \neg p_n \vee q)$ or

$[(p_1 \wedge p_2 \wedge \dots \wedge p_n) \rightarrow q]$

So can read as

If p_1 and p_2 and ... and p_n then q

and write sometimes as

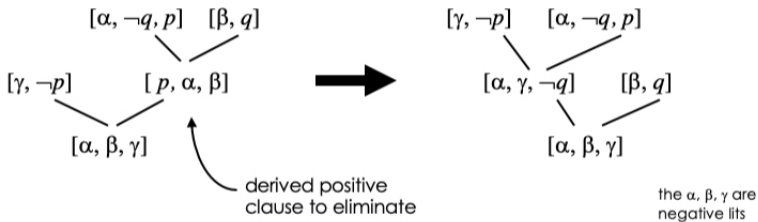
$$p_1 \wedge p_2 \wedge \dots \wedge p_n \rightarrow q$$

Resolution with Horn clauses

Only two possibilities:



It is possible to rearrange derivations (of negative clauses) so that all new derived clauses are negative clauses



Can also change derivations such that each derived clause is a resolvent of the previous derived one (-ve) and some +ve clause in the original set of clauses

- Since each derived clause is negative, one parent must be positive (and so from original set) and one negative
- Continue working backwards until both parents of derived clause are from the original set of clauses
- Eliminate all other clauses not on direct path

SLD Resolution

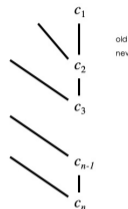
Recurring pattern in derivations

See previously:

- Example 1
- Example 3
- Arithmetic example

But not:

- Example 2
- 3 block example



An *SLD-derivation* of a clause c from a set of clauses S is a sequence of clause c_1, c_2, \dots, c_n such that $c_n = c$, and

1. $c_1 \in S$
2. c_{i+1} is a resolvent of c_i and a clause in S

Write: $S \vdash_{SLD} c$

Note: SLD derivation is just a special form of derivation and where we leave out the elements of S (except c_1)

SLD means S(elected) literals, L(inear) form, D(efinite) clauses

Completeness of SLD

In general, cannot restrict Resolution steps to always use a clause that is in the original set
Proof:

$S = \{[p, q], [p, \neg q], [\neg p, q], [\neg p, \neg q]\}$
then $S \vdash []$.

Need to resolve some $[l]$ and $[\neg l]$ to get $[\]$.

But S does not contain any unit clauses.

So will need to derive both $[l]$ and $[\neg l]$ and then resolve them together.

But can do so for Horn clauses . . .

Theorem: for Horn clauses, $H \vdash []$ iff $H \vdash_{SLD} []$

So: H is unsatisfiable iff $H \vdash_{SLD} []$

This will considerably simplify the search for derivations

Note: in Horn version of SLD-Resolution, each clause c_1, c_2, \dots, c_n will be negative

So clauses H must always contain at least one negative clause, c_1 .

Example 1 (again)

KB:

FirstGrade

FirstGrade \rightarrow Child

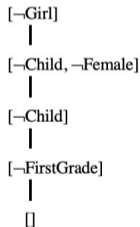
Child \wedge Male \rightarrow Boy

Kindergarten \rightarrow Child

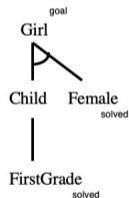
Child \wedge Female \rightarrow Girl

Female

Show $KB \cup \{\neg\text{Girl}\}$ unsatisfiable



or



A goal tree whose nodes are atoms, whose root is the atom to prove, and whose leaves are in the KB

Back-chaining procedure

Satisfiability of a set of Horn clauses with exactly one negative clause

```
Solve  $[q_1, q_2, \dots, q_n] =$           /* to establish conjunction of  $q_i$  */  
  If  $n = 0$  then return YES;          /* empty clause detected */  
  For each  $d \in KB$  do  
    If  $d = [q_1, \neg p_1, \neg p_2, \dots, \neg p_m]$       /* match first  $q$  */  
      and                                           /* replace  $q$  by -ve lits */  
      Solve  $[p_1, p_2, \dots, p_m, q_2, \dots, q_n]$   /* recursively */  
      then return YES  
  end for;                                       /* can't find a clause to eliminate  $q$  */  
  Return NO
```

Depth-first, left-right, back-chaining

- depth-first because attempt p_i before trying q_i
- left-right because try q_i in order, 1, 2, 3, ...
- back-chaining because search from goal q to facts in KB p

This is the execution strategy of Prolog

First-order case requires unification etc.

Problems with back-chaining

Can go into infinite loop

tautologous clause: $[p, \neg p]$

corresponds to Prolog program with $p :- p$.

Previous back-chaining algorithm is inefficient

Example:

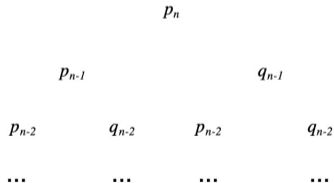
consider $2n$ atoms: $p_1, \dots, p_n, q_1, \dots, q_n$,

and $4n - 4$ clauses:

$(p_i \Rightarrow p_{i+1}), (q_i \Rightarrow q_{i+1}),$

$(p_i \Rightarrow q_{i+1}), (q_i \Rightarrow p_{i+1}).$

with goal p_n has execution tree like this:



search eventually fails after 2^n steps!

Is this inherent in Horn clauses?

Forward-chaining

Simple procedure to determine if Horn $KB \vdash q$.

main idea: mark atoms as solved

1. If q is marked as solved, then return **YES**
2. Is there a $\{p_1, \neg p_2, \dots, \neg p_n\} \in KB$ such that p_2, \dots, p_n are marked as solved, but the positive literal p_1 is not marked as solved?
no: return **NO**
yes: mark p_1 as solved, and go to 1.

FirstGrade example:

Marks: FirstGrade, Child, Female, Girl
then done!

Observe:

- only letters in KB can be marked, so at most a linear number of iterations
- not goal-directed, so not always desirable

A similar procedure with better data structures will run in *linear* time overall

First-order undecidability

Even with just Horn clauses, in the first-order case we still have the possibility of generating an infinite branch of resolvents

KB: $\text{LessThan}(\text{succ}(x),y) \rightarrow \text{LessThan}(x,y)$

Q: $\text{LessThan}(\text{zero},\text{zero})$

As with full Resolution,
there is no way to detect
when this will happen

So there is no procedure
that will test for satisfiability
of first-order Horn clauses

the question is undecidable

$[\neg\text{LessThan}(0,0)]$

$x/0, y/0$

$[\neg\text{LessThan}(1,0)]$

$x/1, y/0$

$[\neg\text{LessThan}(2,0)]$

$x/2, y/0$

...

As with full clauses, the best that can be expected is to give control of the deduction to the *user*
To some extent this is what is done in Prolog, but we will see more in “Procedural Control”